

(19) World Intellectual Property Organization
International Bureau



(43) International Publication Date
22 February 2001 (22.02.2001)

PCT

(10) International Publication Number
WO 01/12155 A1

(51) International Patent Classification⁷: **A61K 9/00, 9/14, 9/16, 9/20, 9/22, 9/28, 9/48**

(21) International Application Number: **PCT/US00/18807**

(22) International Filing Date: **10 July 2000 (10.07.2000)**

(25) Filing Language: **English**

(26) Publication Language: **English**

(30) Priority Data:
09/375,636 17 August 1999 (17.08.1999) US

(71) Applicant: **LIPOCINE, INC. [US/US]; Suite 314, 800 North 350 West, Salt Lake City, UT 84103 (US).**

(72) Inventors: **PATEL, Mahesh, V.; 1515 South Preston, Salt Lake City, UT 84108 (US). CHEN, Feng-Jing; 201 East South Temple #420, Salt Lake City, UT 84111 (US).**

(74) Agents: **REED, Dianne, E. et al.; Reed & Associates, 3282 Alpine Road, Portola Valley, CA 94028 (US).**

(81) Designated States (*national*): AE, AG, AL, AM, AT, AU, AZ, BA, BB, BG, BR, BY, BZ, CA, CH, CN, CR, CU, CZ, DE, DK, DM, DZ, EE, ES, FI, GB, GD, GE, GH, GM, HR, HU, ID, IL, IN, IS, JP, KE, KG, KP, KR, KZ, LC, LK, LR, LS, LT, LU, LV, MA, MD, MG, MK, MN, MW, MX, MZ, NO, NZ, PL, PT, RO, RU, SD, SE, SG, SI, SK, SL, TJ, TM, TR, TT, TZ, UA, UG, UZ, VN, YU, ZA, ZW.

(84) Designated States (*regional*): ARIPO patent (GH, GM, KE, LS, MW, MZ, SD, SL, SZ, TZ, UG, ZW), Eurasian patent (AM, AZ, BY, KG, KZ, MD, RU, TJ, TM), European patent (AT, BE, CH, CY, DE, DK, ES, FI, FR, GB, GR, IE, IT, LU, MC, NL, PT, SE), OAPI patent (BF, BJ, CF, CG, CI, CM, GA, GN, GW, ML, MR, NE, SN, TD, TG).

Published:

— *With international search report.*

For two-letter codes and other abbreviations, refer to the "Guidance Notes on Codes and Abbreviations" appearing at the beginning of each regular issue of the PCT Gazette.



WO 01/12155 A1

(54) Title: **COMPOSITIONS AND METHODS FOR ENHANCED ABSORPTION OF HYDROPHILIC THERAPEUTIC AGENTS**

(57) Abstract: The present invention relates to pharmaceutical compositions, pharmaceutical systems, and methods for enhanced absorption of hydrophilic therapeutic agents. Compositions and systems of the present invention include an absorption enhancing carrier, where the carrier is formed from a combination of at least two surfactants, at least one of which is hydrophilic. A hydrophilic therapeutic agent can be incorporated into the composition, or can be co-administered with the composition as part of a pharmaceutical system. The invention also provides methods of treatment with hydrophilic therapeutic agents using these compositions and systems.

1

COMPOSITIONS AND METHODS FOR ENHANCED ABSORPTION OF HYDROPHILIC THERAPEUTIC AGENTS

5

FIELD OF THE INVENTION

The present invention relates to drug, nutrient and diagnostic agent delivery systems, and in particular to pharmaceutical systems and methods for the improved delivery and enhanced absorption of hydrophilic therapeutic agents.

BACKGROUND

10 Hydrophilic therapeutic agents present difficult problems in formulation. While these therapeutic agents are readily soluble in water, and are easily dissolved in the gastrointestinal environment, simple dissolution is not sufficient to provide efficient bioabsorption of the therapeutic agent. Barriers to absorption are presented by the mucous layer, the intestinal epithelial cell membrane, and the junctional structure such as tight
15 junctions between the epithelial cells. Due to the presence of the negatively charged mucosal layer, significant electrostatic binding or repulsion of charged molecules can be encountered. The epithelial cell membranes are composed of phospholipid bilayers in which proteins are embedded via the hydrophobic segments. These bilayers at the apical and/or basolateral cell surface represent very strong barriers for transport of hydrophilic
20 substances, including peptides and proteins. Frequently, hydrophilic therapeutic agents are also subject to enzymatic attack and are degraded before they can be presented to the absorption site.

Some hydrophilic drugs such as acyclovir, foscarnet, tiludronate, pamidronate, alendronate, acarbose, cromolyn sodium, aminoglycoside and cephalosporin antibiotics
25 are poorly absorbed from the gastro-intestinal tract, due to their low octanol-water partition coefficient, charge, and/or size.

Large water-soluble polymers, such as peptides, proteins, genetic material, vaccines and oligonucleotides, are not well absorbed from the intestine, primarily due to their low membrane permeability and enzymatic inactivation. The mammalian body
30 possesses several efficient mechanisms to restrict the entry of macromolecules. These mechanisms include the presence of significant levels of enzymatic activity at various locations prior to entry into systemic circulation.

1 Thus, numerous barriers to absorption of hydrophilic therapeutic agents are present, and these barriers inhibit the effective absorption both of small hydrophilic therapeutic agents, such as conventional non-peptidic drugs, and of macromolecular hydrophilic therapeutic agents, such as proteins, peptides, vaccines and the like.

5 Much effort has been expended to develop methods of overcoming these absorption barriers. For example, the enzymatic barrier can be attacked by administering enzyme inhibitors to prevent or at least lessen the extent of presystemic degradation in the gastrointestinal tract (*see, e.g.,* Bernkop-Schnurch, "The use of inhibitory agents to overcome the enzymatic barrier to perorally administered therapeutic peptides and proteins", *Journal of Controlled Release*, 52, 1-16 (1998)). Other efforts have focused on, for example, the use of absorption promoters to enhance epithelial permeability (*e.g.,* LeCluyse and Sutton, "In vitro models for selection of development candidates. Permeability studies to define mechanisms of absorption enhancement", *Advanced Drug Delivery Reviews*, 23, 163-183 (1997)). However, the effectiveness of absorption
10 enhancers such as permeability enhancers or enzyme inhibitors depends upon the ability of a pharmaceutical carrier to effectively present the absorption enhancers and the hydrophilic therapeutic agent to the absorption site, and prior efforts have not provided carriers which can do so efficiently. Moreover, maintaining effective carrier concentrations at the epithelium is not easily controlled in vivo. Too little carrier, or carrier concentrations only briefly maintained, may be ineffective. Too much carrier, or
15 carrier concentrations maintained for too long, may result in compromised safety.

 Frequently, carrier compositions for hydrophilic therapeutic agents include or are based on triglycerides. For example, U.S. Patent Nos. 5,444,041, 5,646,109 and 5,633,226 to Owen et al. are directed to water-in-oil ("w/o") microemulsions for delivering water-
25 soluble biological actives, such as proteins or peptides. The water-in-oil microemulsions convert into oil-in-water ("o/w") emulsions upon ingestion. The active agent is initially stored in the internal water phase of the w/o microemulsion, and is released when the composition converts to an o/w emulsion upon mixing with bodily fluids. Other oil-based or oil-containing formulations are taught in, for example, U.S. Patent No. 5,120,710 to Liedtke, U.S. Patent No. 5,656,289 to Cho et al. These triglyceride-containing
30 formulations, however, suffer from several disadvantages.

1 U.S. Patent No. 5,206,219 to Desai, for example, teaches compositions having a
particle size of 5 to 50 microns. Typically, emulsions formed from triglyceride-containing
compositions contain colloidal oil particles which are relatively large, ranging from
5 several hundred nanometers to several microns in diameter, in a broad particle size
distribution. Since the particle sizes are on the order of or greater than the wavelength
range of visible light, such emulsions, when prepared in an emulsion dosage form, are
visibly "cloudy" or "milky" to the naked eye. Emulsions are thermodynamically unstable,
and colloidal emulsion particles will spontaneously agglomerate, eventually leading to
complete phase separation. The tendency to agglomerate and phase separate presents
10 problems of storage and handling, and increases the likelihood that pharmaceutical
emulsions initially properly prepared will be in a less optimal, less effective, and poorly-
characterized state upon ultimate administration to a patient. Uncharacterized degradation
is particularly disadvantageous, since increased particle size slows the rate of transport of
the colloidal particle and digestion of the oil component, and hence the rate and extent of
15 absorption of the therapeutic agent. These problems lead to poorly-characterized and
potentially harmful changes in the effective dosage received by the patient, and/or the rate
of drug uptake. Moreover, changes in colloidal emulsion particle size are also believed to
render absorption more sensitive to and dependent upon conditions in the gastrointestinal
tract, such as pH, enzyme activity, bile components, and stomach contents. Such
20 uncertainty in the rate and extent of ultimate absorption of the therapeutic agent severely
compromises the medical professional's ability to safely administer therapeutically
effective dosages. In addition, when such compositions are administered parenterally, the
presence of large particles can block blood capillaries, further compromising patient
safety.

25 U.S. Patent No. 5,626,869 to Nyqvist et al. discloses compositions that would
likely produce discrete lipid particles of relatively large size *in vivo*. Such particles suffer
from the disadvantages of large size and low diffusivity, and are unable to effectively
present any absorption enhancing components to the site of absorption.

30 A further disadvantage of conventional triglyceride-containing compositions is the
dependence of therapeutic agent absorption on the rate and extent of lipolysis. Ultimately
the triglyceride must be digested and the therapeutic agent must be released in order to be
absorbed through the intestinal mucosa. The triglyceride carrier is emulsified by bile salts

1 and hydrolyzed, primarily by pancreatic lipase. The rate and extent of lipolysis, however,
are dependent upon several factors that are difficult to adequately control. For example,
the amount and rate of bile salt secretion affect the lipolysis of the triglycerides, and the
bile salt secretion can vary with stomach contents, with metabolic abnormalities, and with
5 functional changes of the liver, bile ducts, gall bladder and intestine. Lipase availability in
patients with decreased pancreatic secretory function, such as cystic fibrosis or chronic
pancreatitis, may be undesirably low, resulting in a slow and incomplete triglyceride
lipolysis. The activity of lipase is pH dependent, with deactivation occurring at about pH
3, so that the lipolysis rate will vary with stomach contents, and may be insufficient in
10 patients with gastric acid hyper-secretion. Moreover, certain surfactants commonly used
in the preparation of pharmaceutical emulsions, such as polyethoxylated castor oils, may
themselves act as inhibitors of lipolysis.

Other carrier formulations avoid the use of triglycerides, but still suffer
disadvantages. For example, U.S. Patent No. 5,653,987 to Modi et al. is directed to
15 pharmaceutical formulations for oral or nasal delivery of proteinaceous pharmaceutical
agents using small amounts of particular surfactants and a protease inhibitor in an aqueous
medium as absorption enhancers. However, in the gastrointestinal tract, where the volume
of liquids is large and motility is great, polar drugs and the protease inhibitor are diluted
even further upon administration, thus negating any potential benefits, since the
20 composition is unable to deliver meaningful amounts of the absorption enhancers and
pharmaceutical agents to the absorption site.

Thus, there is a need for pharmaceutical compositions that overcome the
limitations of conventional formulations, to provide effective delivery of absorption
enhancers and enhanced absorption of hydrophilic therapeutic agents.

25

SUMMARY OF THE INVENTION

The present invention provides triglyceride-free pharmaceutical systems for
enhanced bioabsorption of hydrophilic therapeutic agents. It has been surprisingly found
that pharmaceutical compositions having absorption enhancing properties can be provided
by using a combination of surfactants in amounts such that when the pharmaceutical
30 composition is mixed with an aqueous diluent, an aqueous dispersion having a very small
average particle size is formed. Such compositions can be co-administered with a
hydrophilic therapeutic agent to increase the rate and/or extent of bioabsorption of the

1 hydrophilic therapeutic agent, or can be provided with a hydrophilic therapeutic agent in the preconcentrate composition or in a diluent solution.

5 In one embodiment, the present invention relates to triglyceride-free pharmaceutical systems having a dosage form of an absorption enhancing composition comprising at least two surfactants, at least one of which is hydrophilic, and a hydrophilic therapeutic agent. The surfactants are present in amounts such that the carrier forms an aqueous dispersion having a very small average particle size upon mixing with an aqueous diluent. The hydrophilic therapeutic agent can be solubilized, suspended, or partially solubilized and suspended, in the absorption enhancing carrier. Alternatively, the hydrophilic therapeutic agent can be provided separately, for co-administration with the dosage form of the absorption enhancing composition.

10 In another embodiment, the present invention provides a triglyceride-free pharmaceutical system for enhanced absorption of a hydrophilic therapeutic agent, including a dosage form of an absorption enhancing composition, and a hydrophilic therapeutic agent, wherein the absorption enhancing composition has at least one hydrophilic surfactant and at least one hydrophobic surfactant. The surfactants are present in amounts such that the carrier forms an aqueous dispersion having a very small average particle size upon mixing with an aqueous diluent. The hydrophilic therapeutic agent can be solubilized, suspended, or partially solubilized and suspended, in the dosage form of the absorption enhancing composition, or provided in a separate dosage form.

15 In another embodiment, the present invention provides a method of improving the bioabsorption of a hydrophilic therapeutic agent administered to a patient. The method includes the steps of providing a dosage form of an absorption enhancing composition, providing a hydrophilic therapeutic agent, and administering the dosage form of the absorption enhancing composition and the hydrophilic therapeutic agent to a patient. The method improves bioabsorption by improving the consistency of delivery of the hydrophilic therapeutic agent to the absorption site, and providing absorption enhancers at the absorption site.

20 These and other features of the present invention will become more fully apparent from the following description and appended claims, or may be learned by the practice of the invention as set forth hereinafter.

1

DETAILED DESCRIPTION OF THE PREFERRED EMBODIMENTS

The present invention overcomes the problems described above characteristic of conventional formulations of hydrophilic therapeutic agents by providing unique pharmaceutical systems for enhanced absorption of hydrophilic therapeutic agents. The pharmaceutical systems include absorption-enhancing components which, when the compositions are mixed with an aqueous diluent either *in vitro* or *in vivo*, form aqueous dispersions having a very small particle size. The combination of absorption enhancing compounds at relatively high concentration, very small particle sizes upon dispersion, and the absence of triglycerides unexpectedly enhances the rate, extent and/or consistency of bioabsorption of hydrophilic therapeutic agents present in, or co-administered with, the absorption enhancing compositions.

The term "absorption enhancement" as used herein means an improvement in one or more of the rate of bioabsorption, the extent of bioabsorption, and the consistency of the rate and/or extent of bioabsorption. Without wishing to be bound by theory, it is believed that the absorption enhancement provided by the pharmaceutical systems of the present invention is a result of one or more of the following factors:

- (1) effective presentation of an absorption enhancer to the site of enhancement;
- (2) modulation of facilitated/active transport;
- (3) transcellular permeability enhancement through favorable membrane perturbations;
- (4) inhibition of efflux related transporters;
- (5) inhibition of luminal or cellular enzymatic inactivation;
- (6) paracellular transport enhancement through loosening of tight junctions;
- (7) induction of specific transporters to facilitate transport;
- (8) altered biological binding characteristics;
- (9) reduced degradation of the hydrophilic therapeutic agent;
- (10) induction of transient water channels; and/or
- (11) increased partitioning of the hydrophilic therapeutic agent by association with the absorption enhancer.

30

1 **A. Pharmaceutical Compositions and Methods**

 In one embodiment, the present invention provides a triglyceride-free pharmaceutical system including an absorption enhancing composition. The absorption enhancing composition includes at least two surfactants, at least one of which is a hydrophilic surfactant. Preferably, the carrier includes at least one hydrophilic surfactant and at least one hydrophobic surfactant. The surfactants are present in amounts such that upon dilution with an aqueous diluent, either *in vitro* or *in vivo*, the carrier forms an aqueous dispersion having a small average particle size. The hydrophilic and hydrophobic surfactants are believed to function as absorption enhancers, and the hydrophilic surfactant additionally assists the functionality of other absorption enhancing hydrophilic or hydrophobic surfactants.

1. Surfactants

 The absorption enhancing composition includes at least two surfactants, at least one of which is a hydrophilic surfactant. Preferably, the composition includes at least one hydrophilic surfactant and at least one hydrophobic surfactant. As is well known in the art, the terms "hydrophilic" and "hydrophobic" are relative terms. To function as a surfactant, a compound must necessarily include polar or charged hydrophilic moieties as well as non-polar hydrophobic (lipophilic) moieties; *i.e.*, a surfactant compound must be amphiphilic. An empirical parameter commonly used to characterize the relative hydrophilicity and hydrophobicity of non-ionic amphiphilic compounds is the hydrophilic-lipophilic balance ("HLB" value). Surfactants with lower HLB values are more hydrophobic, and have greater solubility in oils, while surfactants with higher HLB values are more hydrophilic, and have greater solubility in aqueous solutions.

 Using HLB values as a rough guide, hydrophilic surfactants are generally considered to be those compounds having an HLB value greater than about 10, as well as anionic, cationic, or zwitterionic compounds for which the HLB scale is not generally applicable. Similarly, hydrophobic surfactants are compounds having an HLB value less than about 10.

 It should be appreciated that the HLB value of a surfactant is merely a rough guide generally used to enable formulation of industrial, pharmaceutical and cosmetic emulsions. For many important surfactants, including several polyethoxylated surfactants, it has been reported that HLB values can differ by as much as about 8 HLB units,

1 depending upon the empirical method chosen to determine the HLB value (Schott, *J. Pharm. Sciences*, 79(1), 87-88 (1990)). Likewise, for certain polypropylene oxide
containing block copolymers (PLURONIC® surfactants, BASF Corp.), the HLB values
may not accurately reflect the true physical chemical nature of the compounds. Finally,
5 commercial surfactant products are generally not pure compounds, but are complex
mixtures of compounds, and the HLB value reported for a particular compound may more
accurately be characteristic of the commercial product of which the compound is a major
component. Different commercial products having the same primary surfactant
component can, and typically do, have different HLB values. In addition, a certain
10 amount of lot-to-lot variability is expected even for a single commercial surfactant
product. Keeping these inherent difficulties in mind, and using HLB values as a guide,
one skilled in the art can readily identify surfactants having suitable hydrophilicity or
hydrophobicity for use in the present invention, as described herein.

The hydrophilic surfactant can be any hydrophilic surfactant suitable for use in
15 pharmaceutical compositions. Such surfactants can be anionic, cationic, zwitterionic or
non-ionic, although non-ionic hydrophilic surfactants are presently preferred. As
discussed above, these non-ionic hydrophilic surfactants will generally have HLB values
greater than about 10. Mixtures of hydrophilic surfactants are also within the scope of the
invention.

20 Similarly, the hydrophobic surfactant can be any hydrophobic surfactant suitable
for use in pharmaceutical compositions. In general, suitable hydrophobic surfactants will
have an HLB value less than about 10. Mixtures of hydrophobic surfactants are also
within the scope of the invention.

The choice of specific hydrophobic and hydrophilic surfactants should be made
25 keeping in mind the particular hydrophilic therapeutic agent to be used in the composition,
and the range of polarity appropriate for the chosen hydrophilic therapeutic agent, as
discussed in more detail below. With these general principles in mind, a very broad range
of surfactants is suitable for use in the present invention. Such surfactants can be grouped
into the following general chemical classes detailed in the Tables herein. The HLB values
30 given in the Tables below generally represent the HLB value as reported by the
manufacturer of the corresponding commercial product. In cases where more than one
commercial product is listed, the HLB value in the Tables is the value as reported for one

1 of the commercial products, a rough average of the reported values, or a value that, in the judgment of the present inventors, is more reliable. It should be emphasized that the invention is not limited to the surfactants in the Tables, which show representative, but not exclusive, lists of available surfactants.

5 1.1. Polyethoxylated Fatty Acids

Although polyethylene glycol (PEG) itself does not function as a surfactant, a variety of PEG-fatty acid esters have useful surfactant properties. Among the PEG-fatty acid monoesters, esters of lauric acid, oleic acid, and stearic acid are especially useful. Among the surfactants of Table 1, preferred hydrophilic surfactants include PEG-8 laurate, 10 PEG-8 oleate, PEG-8 stearate, PEG-9 oleate, PEG-10 laurate, PEG-10 oleate, PEG-12 laurate, PEG-12 oleate, PEG-15 oleate, PEG-20 laurate and PEG-20 oleate. Examples of polyethoxylated fatty acid monoester surfactants commercially available are shown in Table 1.

15 Table 1: PEG-Fatty Acid Monoester Surfactants

	COMPOUND	COMMERCIAL PRODUCT (Supplier)	HLB
	PEG 4-100 monolaurate	Crodet L series (Croda)	>9
	PEG 4-100 monooleate	Crodet O series (Croda)	>8
20	PEG 4-100 monostearate	Crodet S series (Croda), Myrj Series (Atlas/ICI)	>6
	PEG 400 distearate	Cithrol 4DS series (Croda)	>10
	PEG 100,200,300 monolaurate	Cithrol ML series (Croda)	>10
	PEG 100,200,300 monooleate	Cithrol MO series (Croda)	>10
	PEG 400 dioleate	Cithrol 4DO series (Croda)	>10
25	PEG 400-1000 monostearate	Cithrol MS series (Croda)	>10
	PEG-1 stearate	Nikkol MYS-1EX (Nikko), Coster K1 (Condea)	2
	PEG-2 stearate	Nikkol MYS-2 (Nikko)	4
	PEG-2 oleate	Nikkol MYO-2 (Nikko)	4.5
30	PEG-4 laurate	Mapeg® 200 ML (PPG), Kessco® PEG 200ML (Stepan), LIPOPEG 2L (LIPO Chem.)	9.3
	PEG-4 oleate	Mapeg® 200 MO (PPG), Kessco® PEG200 MO (Stepan),	8.3
	PEG-4 stearate	Kessco® PEG 200 MS (Stepan), Hodag 20 S (Calgene), Nikkol MYS-4 (Nikko)	6.5

1	PEG-5 stearate	Nikkol TMGS-5 (Nikko)	9.5
	PEG-5 oleate	Nikkol TMGO-5 (Nikko)	9.5
	PEG-6 oleate	Algon OL 60 (Auschem SpA), Kessco® PEG 300 MO (Stepan), Nikkol MYO-6 (Nikko), Emulgante A6 (Condea)	8.5
5	PEG-7 oleate	Algon OL 70 (Auschem SpA)	10.4
	PEG-6 laurate	Kessco® PEG300 ML (Stepan)	11.4
	PEG-7 laurate	Lauridac 7 (Condea)	13
	PEG-6 stearate	Kessco® PEG300 MS (Stepan)	9.7
	PEG-8 laurate	Mapeg® 400 ML (PPG), LIPOPEG 4DL(Lipo Chem.)	13
10	PEG-8 oleate	Mapeg® 400 MO (PPG), Emulgante A8 (Condea); Kessco PEG 400 MO (Stepan)	12
	PEG-8 stearate	Mapeg® 400 MS (PPG), Myrj 45	12
	PEG-9 oleate	Emulgante A9 (Condea)	>10
	PEG-9 stearate	Cremophor S9 (BASF)	>10
15	PEG-10 laurate	Nikkol MYL-10 (Nikko), Lauridac 10 (Croda)	13
	PEG-10 oleate	Nikkol MYO-10 (Nikko)	11
	PEG-10 stearate	Nikkol MYS-10 (Nikko), Coster K100 (Condea)	11
	PEG-12 laurate	Kessco® PEG 600ML (Stepan)	15
	PEG-12 oleate	Kessco® PEG 600MO (Stepan)	14
20	PEG-12 ricinoleate	(CAS # 9004-97-1)	>10
	PEG-12 stearate	Mapeg® 600 MS (PPG), Kessco® PEG 600MS (Stepan)	14
	PEG-15 stearate	Nikkol TMGS-15 (Nikko), Koster K15 (Condea)	14
	PEG-15 oleate	Nikkol TMGO-15 (Nikko)	15
	PEG-20 laurate	Kessco® PEG 1000 ML (Stepan)	17
25	PEG-20 oleate	Kessco® PEG 1000 MO (Stepan)	15
	PEG-20 stearate	Mapeg® 1000 MS (PPG), Kessco® PEG 1000 MS (Stepan), Myrj 49	16
	PEG-25 stearate	Nikkol MYS-25 (Nikko)	15
	PEG-32 laurate	Kessco® PEG 1540 ML (Stepan)	16
30	PEG-32 oleate	Kessco® PEG 1540 MO (Stepan)	17
	PEG-32 stearate	Kessco® PEG 1540 MS (Stepan)	17
	PEG-30 stearate	Myrj 51	>10

1	PEG-40 laurate	Crodet L40 (Croda)	17.9
	PEG-40 oleate	Crodet O40 (Croda)	17.4
	PEG-40 stearate	Myrj 52, Emerest® 2715 (Henkel), Nikkol MYS-40 (Nikko)	>10
5	PEG-45 stearate	Nikkol MYS-45 (Nikko)	18
	PEG-50 stearate	Myrj 53	>10
	PEG-55 stearate	Nikkol MYS-55 (Nikko)	18
	PEG-100 oleate	Crodet O-100 (Croda)	18.8
	PEG-100 stearate	Myrj 59, Arlacel 165 (ICI)	19
10	PEG-200 oleate	Albunol 200 MO (Taiwan Surf.)	>10
	PEG-400 oleate	LACTOMUL (Henkel), Albunol 400 MO (Taiwan Surf.)	>10
	PEG-600 oleate	Albunol 600 MO (Taiwan Surf.)	>10

1.2 PEG-Fatty Acid Diesters

15 Polyethylene glycol (PEG) fatty acid diesters are also suitable for use as surfactants in the compositions of the present invention. Among the surfactants in Table 2, preferred hydrophilic surfactants include PEG-20 dilaurate, PEG-20 dioleate, PEG-20 distearate, PEG-32 dilaurate and PEG-32 dioleate. Representative PEG-fatty acid diesters are shown in Table 2.

20

Table 2: PEG-Fatty Acid Diester Surfactants

	COMPOUND	COMMERCIAL PRODUCT (Supplier)	HLB
	PEG-4 dilaurate	Mapeg® 200 DL (PPG), Kessco® PEG 200 DL (Stepan), LIPOPEG 2-DL (Lipo Chem.)	7
25	PEG-4 dioleate	Mapeg® 200 DO (PPG),	6
	PEG-4 distearate	Kessco® 200 DS (Stepan)	5
	PEG-6 dilaurate	Kessco® PEG 300 DL (Stepan)	9.8
	PEG-6 dioleate	Kessco® PEG 300 DO (Stepan)	7.2
	PEG-6 distearate	Kessco® PEG 300 DS (Stepan)	6.5
30	PEG-8 dilaurate	Mapeg® 400 DL (PPG), Kessco® PEG 400 DL (Stepan), LIPOPEG 4 DL (Lipo Chem.)	11
	PEG-8 dioleate	Mapeg® 400 DO (PPG), Kessco® PEG 400 DO (Stepan), LIPOPEG 4 DO (Lipo Chem.)	8.8

1	PEG-8 distearate	Mapeg® 400 DS (PPG), CDS 400 (Nikkol)	11
	PEG-10 dipalmitate	Polyaldo 2PKFG	>10
	PEG-12 dilaurate	Kessco® PEG 600 DL (Stepan)	11.7
5	PEG-12 distearate	Kessco® PEG 600 DS (Stepan)	10.7
	PEG-12 dioleate	Mapeg® 600 DO (PPG), Kessco® 600 DO(Stepan)	10
	PEG-20 dilaurate	Kessco® PEG 1000 DL (Stepan)	15
	PEG-20 dioleate	Kessco® PEG 1000 DO (Stepan)	13
	PEG-20 distearate	Kessco® PEG 1000 DS (Stepan)	12
10	PEG-32 dilaurate	Kessco® PEG 1540 DL (Stepan)	16
	PEG-32 dioleate	Kessco® PEG 1540 DO (Stepan)	15
	PEG-32 distearate	Kessco® PEG 1540 DS (Stepan)	15
	PEG-400 dioleate	Cithrol 4DO series (Croda)	>10
	PEG-400 distearate	Cithrol 4DS series (Croda)	>10

15

1.3 PEG-Fatty Acid Mono- and Di-ester Mixtures

In general, mixtures of surfactants are also useful in the present invention, including mixtures of two or more commercial surfactant products. Several PEG-fatty acid esters are marketed commercially as mixtures or mono- and diesters. Representative surfactant mixtures are shown in Table 3.

20

Table 3: PEG-Fatty Acid Mono- and Diester Mixtures

	COMPOUND	COMMERCIAL PRODUCT (Supplier)	HLB
25	PEG 4-150 mono, dilaurate	Kessco® PEG 200-6000 mono, dilaurate (Stepan)	
	PEG 4-150 mono, dioleate	Kessco® PEG 200-6000 mono, dioleate (Stepan)	
	PEG 4-150 mono, distearate	Kessco® 200-6000 mono, distearate (Stepan)	

1.4 Polyethylene Glycol Glycerol Fatty Acid Esters

Suitable PEG glycerol fatty acid esters are shown in Table 4. Among the surfactants in the Table, preferred hydrophilic surfactants are PEG-20 glyceryl laurate,

30

- 1 PEG-30 glyceryl laurate, PEG-40 glyceryl laurate, PEG-20 glyceryl oleate, and PEG-30 glyceryl oleate.

Table 4: PEG Glycerol Fatty Acid Esters

5

COMPOUND	COMMERCIAL PRODUCT (Supplier)	HLB
PEG-20 glyceryl laurate	Tagat® L (Goldschmidt)	16
PEG-30 glyceryl laurate	Tagat® L2 (Goldschmidt)	16
PEG-15 glyceryl laurate	Glycerox L series (Croda)	15
10 PEG-40 glyceryl laurate	Glycerox L series (Croda)	15
PEG-20 glyceryl stearate	Capmul® EMG (ABITEC), Aldo® MS-20 KFG (Lonza)	13
PEG-20 glyceryl oleate	Tagat® O (Goldschmidt)	>10
PEG-30 glyceryl oleate	Tagat® O2 (Goldschmidt)	>10

15

1.5. Alcohol - Oil Transesterification Products

A large number of surfactants of different degrees of hydrophobicity or hydrophilicity can be prepared by reaction of alcohols or polyalcohols with a variety of natural and/or hydrogenated oils. Most commonly, the oils used are castor oil or hydrogenated castor oil, or an edible vegetable oil such as corn oil, olive oil, peanut oil, palm kernel oil, apricot kernel oil, or almond oil. Preferred alcohols include glycerol, propylene glycol, ethylene glycol, polyethylene glycol, maltol, sorbitol, and pentaerythritol. Among these alcohol-oil transesterified surfactants, preferred hydrophilic surfactants are PEG-35 castor oil (Incrocas-35), PEG-40 hydrogenated castor oil (Cremophor RH 40), PEG-25 trioleate (TAGAT® TO), PEG-60 corn glycerides (Crovol M70), PEG-60 almond oil (Crovol A70), PEG-40 palm kernel oil (Crovol PK70), PEG-50 castor oil (Emalex C-50), PEG-50 hydrogenated castor oil (Emalex HC-50), PEG-8 caprylic/capric glycerides (Labrasol), and PEG-6 caprylic/capric glycerides (Softigen 767). Preferred hydrophobic surfactants in this class include PEG-5 hydrogenated castor oil, PEG-7 hydrogenated castor oil, PEG-9 hydrogenated castor oil, PEG-6 corn oil (Labrafil® M 2125 CS), PEG-6 almond oil (Labrafil® M 1966 CS), PEG-6 apricot kernel oil (Labrafil® M 1944 CS), PEG-6 olive oil (Labrafil® M 1980 CS), PEG-6 peanut oil (Labrafil® M 1969 CS), PEG-6 hydrogenated palm kernel oil (Labrafil® M 2130 BS),

20

25

30

1 PEG-6 palm kernel oil (Labrafil® M 2130 CS), PEG-6 triolein (Labrafil® M 2735 CS),
 PEG-8 corn oil (Labrafil® WL 2609 BS), PEG-20 corn glycerides (Crovol M40), and
 PEG-20 almond glycerides (Crovol A40). The latter two surfactants are reported to have
 5 HLB values of 10, which is generally considered to be the approximate border line
 between hydrophilic and hydrophobic surfactants. For purposes of the present invention,
 these two surfactants are considered to be hydrophobic. Representative surfactants of this
 class suitable for use in the present invention are shown in Table 5.

10 Table 5: Transesterification Products of Oils and Alcohols

	COMPOUND	COMMERCIAL PRODUCT (Supplier)	HLB
	PEG-3 castor oil	Nikkol CO-3 (Nikko)	3
	PEG-5, 9, and 16 castor oil	ACCONON CA series (ABITEC)	6-7
	PEG-20 castor oil	Emalex C-20 (Nihon Emulsion), Nikkol CO-20 TX (Nikko)	11
15	PEG-23 castor oil	Emulgante EL23	>10
	PEG-30 castor oil	Emalex C-30 (Nihon Emulsion), Alkamuls® EL 620 (Rhone-Poulenc), Incrocas 30 (Croda)	11
	PEG-35 castor oil	Cremophor EL and EL-P (BASF), Emulphor EL, Incrocas-35 (Croda), Emulgin RO 35 (Henkel)	
20	PEG-38 castor oil	Emulgante EL 65 (Condea)	
	PEG-40 castor oil	Emalex C-40 (Nihon Emulsion), Alkamuls® EL 719 (Rhone-Poulenc)	13
	PEG-50 castor oil	Emalex C-50 (Nihon Emulsion)	14
	PEG-56 castor oil	Eumulgin® PRT 56 (Pulcra SA)	>10
	PEG-60 castor oil	Nikkol CO-60TX (Nikko)	14
25	PEG-100 castor oil	Thornley	>10
	PEG-200 castor oil	Eumulgin® PRT 200 (Pulcra SA)	>10
	PEG-5 hydrogenated castor oil	Nikkol HCO-5 (Nikko)	6
	PEG-7 hydrogenated castor oil	Simusol® 989 (Seppic), Cremophor WO7 (BASF)	6
	PEG-10 hydrogenated castor oil	Nikkol HCO-10 (Nikko)	6.5
30	PEG-20 hydrogenated castor oil	Nikkol HCO-20 (Nikko)	11
	PEG-25 hydrogenated castor oil	Simusol® 1292 (Seppic), Cerex ELS 250 (Auschem SpA)	11
	PEG-30 hydrogenated castor oil	Nikkol HCO-30 (Nikko)	11

1	PEG-40 hydrogenated castor oil	Cremophor RH 40 (BASF), Croduret (Croda), Emulgin HRE 40 (Henkel)	13
	PEG-45 hydrogenated castor oil	Cerex ELS 450 (Auschem Spa)	14
	PEG-50 hydrogenated castor oil	Emalex HC-50 (Nihon Emulsion)	14
5	PEG-60 hydrogenated castor oil	Nikkol HCO-60 (Nikko); Cremophor RH 60 (BASF)	15
	PEG-80 hydrogenated castor oil	Nikkol HCO-80 (Nikko)	15
	PEG-100 hydrogenated castor oil	Nikkol HCO -100 (Nikko)	17
	PEG-6 corn oil	Labrafil® M 2125 CS (Gattefosse)	4
10	PEG-6 almond oil	Labrafil® M 1966 CS (Gattefosse)	4
	PEG-6 apricot kernel oil	Labrafil® M 1944 CS (Gattefosse)	4
	PEG-6 olive oil	Labrafil® M 1980 CS (Gattefosse)	4
	PEG-6 peanut oil	Labrafil® M 1969 CS (Gattefosse)	4
	PEG-6 hydrogenated palm kernel oil	Labrafil® M 2130 BS (Gattefosse)	4
15	PEG-6 palm kernel oil	Labrafil® M 2130 CS (Gattefosse)	4
	PEG-6 triolein	Labrafil® M 2735 CS (Gattefosse)	4
	PEG-8 corn oil	Labrafil® WL 2609 BS (Gattefosse)	6-7
	PEG-20 corn glycerides	Crovol M40 (Croda)	10
20	PEG-20 almond glycerides	Crovol A40 (Croda)	10
	PEG-25 trioleate	TAGAT® TO (Goldschmidt)	11
	PEG-40 palm kernel oil	Crovol PK-70	>10
	PEG-60 corn glycerides	Crovol M70 (Croda)	15
	PEG-60 almond glycerides	Crovol A70 (Croda)	15
25	PEG-4 caprylic/capric triglyceride	Labrafac® Hydro (Gattefosse),	4-5
	PEG-8 caprylic/capric glycerides	Labrasol (Gattefosse), Labrafac CM 10 (Gattefosse)	>10
	PEG-6 caprylic/capric glycerides	SOFTIGEN® 767 (Hüls), Glycerox 767 (Croda)	19
	Lauroyl macrogol-32 glyceride	GELUCIRE 44/14 (Gattefosse)	14
30	Stearoyl macrogol glyceride	GELUCIRE 50/13 (Gattefosse)	13
	Mono, di, tri, tetra esters of vegetable oils and sorbitol	SorbitoGlyceride (Gattefosse)	<10
	Pentaerythrityl tetraisostearate	Crodamol PTIS (Croda)	<10

1	Pentaerythrityl distearate	Albunol DS (Taiwan Surf.)	<10
	Pentaerythrityl tetraoleate	Liponate PO-4 (Lipo Chem.)	<10
	Pentaerythrityl tetrastearate	Liponate PS-4 (Lipo Chem.)	<10
5	Pentaerythrityl tetracaprylate/tetracaprate	Liponate PE-810 (Lipo Chem.), Crodamol PTC (Croda)	<10
	Pentaerythrityl tetraoctanoate	Nikkol Pentarate 408 (Nikko)	

Also included as oils in this category of surfactants are oil-soluble vitamins, such as vitamins A, D, E, K, etc. Thus, derivatives of these vitamins, such as tocopheryl PEG-1000 succinate (TPGS, available from Eastman), are also suitable surfactants.

1.6. Polyglycerized Fatty Acids

Polyglycerol esters of fatty acids are also suitable surfactants for the present invention. Among the polyglyceryl fatty acid esters, preferred hydrophobic surfactants include polyglyceryl oleate (Plurol Oleique), polyglyceryl-2 dioleate (Nikkol DGDO), and polyglyceryl-10 trioleate. Preferred hydrophilic surfactants include polyglyceryl-10 laurate (Nikkol Decaglyn 1-L), polyglyceryl-10 oleate (Nikkol Decaglyn 1-O), and polyglyceryl-10 mono, dioleate (Caprol® PEG 860). Polyglyceryl polyricinoleates (Polymuls) are also preferred hydrophilic and hydrophobic surfactants. Examples of suitable polyglyceryl esters are shown in Table 6.

Table 6: Polyglycerized Fatty Acids

COMPOUND	COMMERCIAL PRODUCT (Supplier)	HLB
Polyglyceryl-2 stearate	Nikkol DGMS (Nikko)	5-7
25 Polyglyceryl-2 oleate	Nikkol DGMO (Nikko)	5-7
Polyglyceryl-2 isostearate	Nikkol DGMIS (Nikko)	5-7
Polyglyceryl-3 oleate	Caprol® 3GO (ABITEC), Drewpol 3-1-O (Stepan)	6.5
Polyglyceryl-4 oleate	Nikkol Tetraglyn 1-O (Nikko)	5-7
Polyglyceryl-4 stearate	Nikkol Tetraglyn 1-S (Nikko)	5-6
30 Polyglyceryl-6 oleate	Drewpol 6-1-O (Stepan), Nikkol Hexaglyn 1-O (Nikko)	9
Polyglyceryl-10 laurate	Nikkol Decaglyn 1-L (Nikko)	15
Polyglyceryl-10 oleate	Nikkol Decaglyn 1-O (Nikko)	14

1	Polyglyceryl-10 stearate	Nikkol Decaglyn 1-S (Nikko)	12
	Polyglyceryl-6 ricinoleate	Nikkol Hexaglyn PR-15 (Nikko)	>8
	Polyglyceryl-10 linoleate	Nikkol Decaglyn 1-LN (Nikko)	12
5	Polyglyceryl-6 pentaoleate	Nikkol Hexaglyn 5-O (Nikko)	<10
	Polyglyceryl-3 dioleate	Cremophor GO32 (BASF)	<10
	Polyglyceryl-3 distearate	Cremophor GS32 (BASF)	<10
	Polyglyceryl-4 pentaoleate	Nikkol Tetraglyn 5-O (Nikko)	<10
	Polyglyceryl-6 dioleate	Caprol® 6G20 (ABITEC); Hodag PGO-62 (Calgene), PLUROL OLEIQUE CC 497 (Gattefosse)	8.5
10	Polyglyceryl-2 dioleate	Nikkol DGDO (Nikko)	7
	Polyglyceryl-10 trioleate	Nikkol Decaglyn 3-O (Nikko)	7
	Polyglyceryl-10 pentaoleate	Nikkol Decaglyn 5-O (Nikko)	3.5
	Polyglyceryl-10 septaoleate	Nikkol Decaglyn 7-O (Nikko)	3
15	Polyglyceryl-10 tetraoleate	Caprol® 10G40 (ABITEC); Hodag PGO-62 (CALGENE), Drewpol 10-4-O (Stepan)	6.2
	Polyglyceryl-10 decaisostearate	Nikkol Decaglyn 10-IS (Nikko)	<10
	Polyglyceryl-10I decaoleate	Drewpol 10-10-O (Stepan), Caprol 10G100 (ABITEC), Nikkol Decaglyn 10-O	3.5
	Polyglyceryl-10 mono, dioleate	Caprol® PGE 860 (ABITEC)	11
20	Polyglyceryl polyricinoleate	Polymuls (Henkel)	3-20

1.7. Propylene Glycol Fatty Acid Esters

Esters of propylene glycol and fatty acids are suitable surfactants for use in the present invention. In this surfactant class, preferred hydrophobic surfactants include propylene glycol monolaurate (Lauroglycol FCC), propylene glycol ricinoleate (Propymuls), propylene glycol monooleate (Myverol P-O6), propylene glycol dicaprylate/dicaprate (Captex® 200), and propylene glycol dioctanoate (Captex® 800). Examples of surfactants of this class are given in Table 7.

Table 7: Propylene Glycol Fatty Acid Esters

	COMPOUND	COMMERCIAL PRODUCT (Supplier)	HLB
	Propylene glycol monocaprylate	Capryol 90 (Gattefosse), Nikkol Sefsol 218 (Nikko)	<10
5	Propylene glycol monolaurate	Lauroglycol 90 (Gattefosse), Lauroglycol FCC (Gattefosse)	<10
	Propylene glycol oleate	Lutrol OP2000 (BASF)	<10
	Propylene glycol myristate	Mirpyl	<10
	Propylene glycol monostearate	ADM PGME-03 (ADM), LIPO PGMS (Lipo Chem.), Aldo® PGHMS (Lonza)	3-4
10	Propylene glycol hydroxy stearate		<10
	Propylene glycol ricinoleate	PROPYMULS (Henkel)	<10
	Propylene glycol isostearate		<10
	Propylene glycol monooleate	Myverol P-O6 (Eastman)	<10
	Propylene glycol dicaprylate/dicaprate	Captex® 200 (ABITEC), Miglyol® 840 (Hüls), Neobee® M-20 (Stepan)	>6
15	Propylene glycol dioctanoate	Captex® 800 (ABITEC)	>6
	Propylene glycol caprylate/caprate	LABRAFAC PG (Gattefosse)	>6
	Propylene glycol dilaurate		>6
	Propylene glycol distearate	Kessco® PGDS (Stepan)	>6
20	Propylene glycol dicaprylate	Nikkol Sefsol 228 (Nikko)	>6
	Propylene glycol dicaprate	Nikkol PDD (Nikko)	>6

Table 7 includes both mono- and diesters of propylene glycol, and both may be used in one embodiment of the pharmaceutical systems of the present invention. In another embodiment, the absorption enhancing composition is free of both triglycerides and propylene glycol diesters.

1.8. Mixtures of Propylene Glycol Esters - Glycerol Esters

In general, mixtures of surfactants are also suitable for use in the present invention. In particular, mixtures of propylene glycol fatty acid esters and glycerol fatty acid esters are suitable and are commercially available. One preferred mixture is composed of the oleic acid esters of propylene glycol and glycerol (Arlacel 186). Examples of these surfactants are shown in Table 8.

1

Table 8: Glycerol/Propylene Glycol Fatty Acid Esters

	COMPOUND	COMMERCIAL PRODUCT (Supplier)	HLB
5	Oleic	ATMOS 300, ARLACEL 186 (ICI)	3-4
	Stearic	ATMOS 150	3-4

1.9. Mono- and Diglycerides

10 A particularly important class of surfactants is the class of mono- and diglycerides. These surfactants are generally hydrophobic. Preferred hydrophobic surfactants in this class of compounds include glyceryl monooleate (Peceol), glyceryl ricinoleate, glyceryl laurate, glyceryl dilaurate (Capmul® GDL), glyceryl dioleate (Capmul® GDO), glyceryl mono/dioleate (Capmul® GMO-K), glyceryl caprylate/caprate (Capmul® MCM), caprylic acid mono/diglycerides (Imwitor® 988), and mono- and diacetylated monoglycerides 15 (Myvacet® 9-45). Examples of these surfactants are given in Table 9.

Table 9: Mono- and Diglyceride Surfactants

	COMPOUND	COMMERCIAL PRODUCT (Supplier)	HLB
20	Monopalmitolein (C16:1)	(Larodan)	<10
	Monoelaidin (C18:1)	(Larodan)	<10
	Monocaproin (C6)	(Larodan)	<10
	Monocaprylin	(Larodan)	<10
25	Monocaprin	(Larodan)	<10
	Monolaurin	(Larodan)	<10
	Glyceryl monomyristate (C14)	Nikkol MGM (Nikko)	3-4
	Glyceryl monooleate (C18:1)	PECEOL (Gattefosse), Hodag GMO-D, Nikkol MGO (Nikko)	3-4
30	Glyceryl monooleate	RYLO series (Danisco), DIMODAN series (Danisco), EMULDAN (Danisco), ALDO® MO FG (Lonza), Kessco GMO (Stepan), MONOMULS® series (Henkel), TEGIN O, DREWMULSE GMO (Stepan), Atlas G-695 (ICI), GMOorphic 80 (Eastman), ADM DMG-40, 70, and 100 (ADM), Myverol (Eastman)	3-4
	Glycerol monooleate/linoleate	OLICINE (Gattefosse)	3-4

1	Glycerol monolinoleate	Maisine (Gattefosse), MYVEROL 18-92, Myverol 18-06 (Eastman)	3-4
	Glyceryl ricinoleate	Softigen® 701 (Hüls), HODAG GMR-D (Calgene), ALDO® MR (Lonza)	6
	Glyceryl monolaurate	ALDO® MLD (Lonza), Hodag GML (Calgene)	6.8
5	Glycerol monopalmitate	Emalex GMS-P (Nihon)	4
	Glycerol monostearate	Capmul® GMS (ABITEC), Myvaplex (Eastman), IMWITOR® 191 (Hüls), CUTINA GMS, Aldo® MS (Lonza), Nikkol MGS series (Nikko)	5-9
	Glyceryl mono-,dioleate	Capmul® GMO-K (ABITEC)	<10
	Glyceryl palmitic/stearic	CUTINA MD-A, ESTAGEL-G18	<10
10	Glyceryl acetate	Lamegin® EE (Grünau GmbH)	<10
	Glyceryl laurate	Imwitor® 312 (Hüls), Monomuls® 90-45 (Grünau GmbH), Aldo® MLD (Lonza)	4
	Glyceryl citrate/lactate/oleate/linoleate	Imwitor® 375 (Hüls)	<10
15	Glyceryl caprylate	Imwitor® 308 (Hüls), Capmul® MCMC8 (ABITEC)	5-6
	Glyceryl caprylate/caprate	Capmul® MCM (ABITEC)	5-6
	Caprylic acid mono,diglycerides	Imwitor® 988 (Hüls)	5-6
	Caprylic/capric glycerides	Imwitor® 742 (Hüls)	<10
	Mono-and diacetylated monoglycerides	Myvacet® 9-45, Myvacet® 9-40, Myvacet® 9-08 (Eastman), Lamegin® (Grünau)	3.8-4
20	Glyceryl monostearate	Aldo® MS, Arlacel 129 (ICI), LIPO GMS (Lipo Chem.), Imwitor® 191 (Hüls), Myvaplex (Eastman)	4.4
	Lactic acid esters of mono,diglycerides	LAMEGIN GLP (Henkel)	<10
	Dicaproin (C6)	(Larodan)	<10
25	Dicaprin (C10)	(Larodan)	<10
	Diocetoin (C8)	(Larodan)	<10
	Dimyristin (C14)	(Larodan)	<10
	Dipalmitin (C16)	(Larodan)	<10
	Distearin	(Larodan)	<10
30	Glyceryl dilaurate (C12)	Capmul® GDL (ABITEC)	3-4
	Glyceryl dioleate	Capmul® GDO (ABITEC)	3-4
	Glycerol esters of fatty acids	GELUCIRE 39/01 (Gattefosse), GELUCIRE 43/01 (Gattefosse)	1

1		GELUCIRE 37/06 (Gattefosse)	6
	Dipalmitolein (C16:1)	(Larodan)	<10
	1,2 and 1,3-diolein (C18:1)	(Larodan)	<10
5	Dielaidin (C18:1)	(Larodan)	<10
	Dilinolein (C18:2)	(Larodan)	<10

1.10. Sterol and Sterol Derivatives

10 Sterols and derivatives of sterols are suitable surfactants for use in the present invention. These surfactants can be hydrophilic or hydrophobic. Preferred derivatives include the polyethylene glycol derivatives. A preferred hydrophobic surfactant in this class is cholesterol. A preferred hydrophilic surfactant in this class is PEG-24 cholesterol ether (Solulan C-24). Examples of surfactants of this class are shown in Table 10.

15 Table 10: Sterol and Sterol Derivative Surfactants

	COMPOUND	COMMERCIAL PRODUCT (Supplier)	HLB
	Cholesterol, sitosterol, lanosterol		<10
	PEG-24 cholesterol ether	Solulan C-24 (Amerchol)	>10
20	PEG-30 cholestanol	Nikkol DHC (Nikko)	>10
	Phytosterol	GENEROL series (Henkel)	<10
	PEG-25 phyto sterol	Nikkol BPSH-25 (Nikko)	>10
	PEG-5 soya sterol	Nikkol BPS-5 (Nikko)	<10
	PEG-10 soya sterol	Nikkol BPS-10 (Nikko)	<10
25	PEG-20 soya sterol	Nikkol BPS-20 (Nikko)	<10
	PEG-30 soya sterol	Nikkol BPS-30 (Nikko)	>10

1.11. Polyethylene Glycol Sorbitan Fatty Acid Esters

30 A variety of PEG-sorbitan fatty acid esters are available and are suitable for use as surfactants in the present invention. In general, these surfactants are hydrophilic, although several hydrophobic surfactants of this class can be used. Among the PEG-sorbitan fatty acid esters, preferred hydrophilic surfactants include PEG-20 sorbitan monolaurate

1 (Tween-20), PEG-20 sorbitan monopalmitate (Tween-40), PEG-20 sorbitan monostearate (Tween-60), and PEG-20 sorbitan monooleate (Tween-80). Examples of these surfactants are shown in Table 11.

5 Table 11: PEG-Sorbitan Fatty Acid Esters

	COMPOUND	COMMERCIAL PRODUCT (Supplier)	HLB
	PEG-10 sorbitan laurate	Liposorb L-10 (Lipo Chem.)	>10
	PEG-20 sorbitan monolaurate	Tween-20 (Atlas/ICI), Crillet 1 (Croda), DACOL MLS 20 (Condea)	17
10	PEG-4 sorbitan monolaurate	Tween-21 (Atlas/ICI), Crillet 11 (Croda)	13
	PEG-80 sorbitan monolaurate	Hodag PSML-80 (Calgene); T-Maz 28	>10
	PEG-6 sorbitan monolaurate	Nikkol GL-1 (Nikko)	16
	PEG-20 sorbitan monopalmitate	Tween-40 (Atlas/ICI), Crillet 2 (Croda)	16
	PEG-20 sorbitan monostearate	Tween-60 (Atlas/ICI), Crillet 3 (Croda)	15
15	PEG-4 sorbitan monostearate	Tween-61 (Atlas/ICI), Crillet 31 (Croda)	9.6
	PEG-8 sorbitan monostearate	DACOL MSS (Condea)	>10
	PEG-6 sorbitan monostearate	Nikkol TS106 (Nikko)	11
	PEG-20 sorbitan tristearate	Tween-65 (Atlas/ICI), Crillet 35 (Croda)	11
	PEG-6 sorbitan tetrastearate	Nikkol GS-6 (Nikko)	3
20	PEG-60 sorbitan tetrastearate	Nikkol GS-460 (Nikko)	13
	PEG-5 sorbitan monooleate	Tween-81 (Atlas/ICI), Crillet 41 (Croda)	10
	PEG-6 sorbitan monooleate	Nikkol TO-106 (Nikko)	10
	PEG-20 sorbitan monooleate	Tween-80 (Atlas/ICI), Crillet 4 (Croda)	15
25	PEG-40 sorbitan oleate	Emalex ET 8040 (Nihon Emulsion)	18
	PEG-20 sorbitan trioleate	Tween-85 (Atlas/ICI), Crillet 45 (Croda)	11
	PEG-6 sorbitan tetraoleate	Nikkol GO-4 (Nikko)	8.5
	PEG-30 sorbitan tetraoleate	Nikkol GO-430 (Nikko)	12
	PEG-40 sorbitan tetraoleate	Nikkol GO-440 (Nikko)	13
30	PEG-20 sorbitan monoisostearate	Tween-120 (Atlas/ICI), Crillet 6 (Croda)	>10
	PEG sorbitol hexaoleate	Atlas G-1086 (ICI)	10
	PEG-6 sorbitol hexastearate	Nikkol GS-6 (Nikko)	3

1.12. Polyethylene Glycol Alkyl Ethers

Ethers of polyethylene glycol and alkyl alcohols are suitable surfactants for use in the present invention. Preferred hydrophobic ethers include PEG-3 oleyl ether (Volpo 3) and PEG-4 lauryl ether (Brij 30). Examples of these surfactants are shown in Table 12.

Table 12: Polyethylene Glycol Alkyl Ethers

COMPOUND	COMMERCIAL PRODUCT (Supplier)	HLB
PEG-2 oleyl ether, oleth-2	Brij 92/93 (Atlas/ICI)	4.9
PEG-3 oleyl ether, oleth-3	Volpo 3 (Croda)	<10
PEG-5 oleyl ether, oleth-5	Volpo 5 (Croda)	<10
PEG-10 oleyl ether, oleth-10	Volpo 10 (Croda), Brij 96/97 (Atlas/ICI)	12
PEG-20 oleyl ether, oleth-20	Volpo 20 (Croda), Brij 98/99 (Atlas/ICI)	15
PEG-4 lauryl ether, laureth-4	Brij 30 (Atlas/ICI)	9.7
PEG-9 lauryl ether		>10
PEG-23 lauryl ether, laureth-23	Brij 35 (Atlas/ICI)	17
PEG-2 cetyl ether	Brij 52 (ICI)	5.3
PEG-10 cetyl ether	Brij 56 (ICI)	13
PEG-20 cetyl ether	Brij 58 (ICI)	16
PEG-2 stearyl ether	Brij 72 (ICI)	4.9
PEG-10 stearyl ether	Brij 76 (ICI)	12
PEG-20 stearyl ether	Brij 78 (ICI)	15
PEG-100 stearyl ether	Brij 700 (ICI)	>10

1.13. Sugar Esters

Esters of sugars are suitable surfactants for use in the present invention. Preferred hydrophilic surfactants in this class include sucrose monopalmitate and sucrose monolaurate. Examples of such surfactants are shown in Table 13.

1

Table 13: Sugar Ester Surfactants

COMPOUND	COMMERCIAL PRODUCT (Supplier)	HLB
Sucrose distearate	SUCRO ESTER 7 (Gattefosse), Crodesta F-10 (Croda)	3
5 Sucrose distearate/monostearate	SUCRO ESTER 11 (Gattefosse), Crodesta F-110 (Croda)	12
Sucrose dipalmitate		7.4
Sucrose monostearate	Crodesta F-160 (Croda)	15
Sucrose monopalmitate	SUCRO ESTER 15 (Gattefosse)	>10
Sucrose monolaurate	Saccharose monolaurate 1695 (Mitsubishi-Kasei)	15

10

1.14. Polyethylene Glycol Alkyl Phenols

Several hydrophilic PEG-alkyl phenol surfactants are available, and are suitable for use in the present invention. Examples of these surfactants are shown in Table 14.

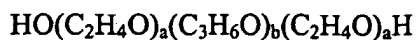
15

Table 14: Polyethylene Glycol Alkyl Phenol Surfactants

COMPOUND	COMMERCIAL PRODUCT (Supplier)	HLB
PEG-10-100 nonyl phenol	Triton X series (Rohm & Haas), Igepal CA series (GAF, USA), Antarox CA series (GAF, UK)	>10
20 PEG-15-100 octyl phenol ether	Triton N-series (Rohm & Haas), Igepal CO series (GAF, USA), Antarox CO series (GAF, UK)	>10

1.15. Polyoxyethylene-Polyoxypropylene Block Copolymers

The POE-POP block copolymers are a unique class of polymeric surfactants. The
 25 unique structure of the surfactants, with hydrophilic POE and hydrophobic POP moieties
 in well-defined ratios and positions, provides a wide variety of surfactants suitable for use
 in the present invention. These surfactants are available under various trade names,
 including Synperonic PE series (ICI); Pluronic® series (BASF), Emkalyx, Lutrol (BASF),
 Supronic, Monolan, Pluracare, and Plurodac. The generic term for these polymers is
 30 "poloxamer" (CAS 9003-11-6). These polymers have the formula:



where "a" and "b" denote the number of polyoxyethylene and polyoxypropylene units, respectively.

1 Preferred hydrophilic surfactants of this class include Poloxamers 108, 188, 217, 238, 288, 338, and 407. Preferred hydrophobic surfactants in this class include Poloxamers 124, 182, 183, 212, 331, and 335.

5 Examples of suitable surfactants of this class are shown in Table 15. Since the compounds are widely available, commercial sources are not listed in the Table. The compounds are listed by generic name, with the corresponding "a" and "b" values.

Table 15: POE-POP Block Copolymers

COMPOUND	a, b values in $\text{HO}(\text{C}_2\text{H}_4\text{O})_a(\text{C}_3\text{H}_6\text{O})_b(\text{C}_2\text{H}_4\text{O})_a\text{H}$	HLB
10 Poloxamer 105	a = 11 b = 16	8
Poloxamer 108	a = 46 b = 16	>10
Poloxamer 122	a = 5 b = 21	3
Poloxamer 123	a = 7 b = 21	7
Poloxamer 124	a = 11 b = 21	>7
15 Poloxamer 181	a = 3 b = 30	
Poloxamer 182	a = 8 b = 30	2
Poloxamer 183	a = 10 b = 30	
Poloxamer 184	a = 13 b = 30	
Poloxamer 185	a = 19 b = 30	
20 Poloxamer 188	a = 75 b = 30	29
Poloxamer 212	a = 8 b = 35	
Poloxamer 215	a = 24 b = 35	
Poloxamer 217	a = 52 b = 35	
Poloxamer 231	a = 16 b = 39	
Poloxamer 234	a = 22 b = 39	
25 Poloxamer 235	a = 27 b = 39	
Poloxamer 237	a = 62 b = 39	24
Poloxamer 238	a = 97 b = 39	
Poloxamer 282	a = 10 b = 47	
Poloxamer 284	a = 21 b = 47	
30 Poloxamer 288	a = 122 b = 47	>10
Poloxamer 331	a = 7 b = 54	0.5
Poloxamer 333	a = 20 b = 54	
Poloxamer 334	a = 31 b = 54	

1	Poloxamer 335	a = 38 b = 54
	Poloxamer 338	a = 128 b = 54
	Poloxamer 401	a = 6 b = 67
	Poloxamer 402	a = 13 b = 67
5	Poloxamer 403	a = 21 b = 67
	Poloxamer 407	a = 98 b = 67

1.16. Sorbitan Fatty Acid Esters

10 Sorbitan esters of fatty acids are suitable surfactants for use in the present invention. Among these esters, preferred hydrophobic surfactants include sorbitan monolaurate (Arlacel 20), sorbitan monopalmitate (Span-40), sorbitan monooleate (Span-80), sorbitan monostearate, and sorbitan tristearate. Examples of these surfactants are shown in Table 16.

Table 16: Sorbitan Fatty Acid Ester Surfactants

15	COMPOUND	COMMERCIAL PRODUCT (Supplier)	HLB
	Sorbitan monolaurate	Span-20 (Atlas/ICI), Crill 1 (Croda), Arlacel 20 (ICI)	8.6
	Sorbitan monopalmitate	Span-40 (Atlas/ICI), Crill 2 (Croda), Nikkol SP-10 (Nikko)	6.7
	Sorbitan monooleate	Span-80 (Atlas/ICI), Crill 4 (Croda), Crill 50 (Croda)	4.3
20	Sorbitan monostearate	Span-60 (Atlas/ICI), Crill 3 (Croda), Nikkol SS-10 (Nikko)	4.7
	Sorbitan trioleate	Span-85 (Atlas/ICI), Crill 45 (Croda), Nikkol SO-30 (Nikko)	4.3
	Sorbitan sesquioleate	Arlacel-C (ICI), Crill 43 (Croda), Nikkol SO-15 (Nikko)	3.7
	Sorbitan tristearate	Span-65 (Atlas/ICI) Crill 35 (Croda), Nikkol SS-30 (Nikko)	2.1
	Sorbitan monoisostearate	Crill 6 (Croda), Nikkol SI-10 (Nikko)	4.7
25	Sorbitan sesquisteate	Nikkol SS-15 (Nikko)	4.2

1.17. Lower Alcohol Fatty Acid Esters

30 Esters of lower alcohols (C₂ to C₄) and fatty acids (C₈ to C₁₈) are suitable surfactants for use in the present invention. Among these esters, preferred hydrophobic surfactants include ethyl oleate (Crodamol EO), isopropyl myristate (Crodamol IPM), and isopropyl palmitate (Crodamol IPP). Examples of these surfactants are shown in Table 17.

1

Table 17: Lower Alcohol Fatty Acid Ester Surfactants

COMPOUND	COMMERCIAL PRODUCT (Supplier)	HLB
Ethyl oleate	Crodamol EO (Croda), Nikkol EOO (Nikko)	<10
Isopropyl myristate	Crodamol IPM (Croda)	<10
Isopropyl palmitate	Crodamol IPP (Croda)	<10
Ethyl linoleate	Nikkol VF-E (Nikko)	<10
Isopropyl linoleate	Nikkol VF-IP (Nikko)	<10

5

10

1.18. Ionic Surfactants

15

20

Ionic surfactants, including cationic, anionic and zwitterionic surfactants, are suitable hydrophilic surfactants for use in the present invention. Preferred anionic surfactants include fatty acid salts and bile salts. Preferred cationic surfactants include carnitines. Specifically, preferred ionic surfactants include sodium oleate, sodium lauryl sulfate, sodium lauryl sarcosinate, sodium dioctyl sulfosuccinate, sodium cholate, sodium taurocholate; lauroyl carnitine; palmitoyl carnitine; and myristoyl carnitine. Examples of such surfactants are shown in Table 18. For simplicity, typical counterions are shown in the entries in the Table. It will be appreciated by one skilled in the art, however, that any bioacceptable counterion may be used. For example, although the fatty acids are shown as sodium salts, other cation counterions can also be used, such as alkali metal cations or ammonium. Unlike typical non-ionic surfactants, these ionic surfactants are generally available as pure compounds, rather than commercial (proprietary) mixtures. Because these compounds are readily available from a variety of commercial suppliers, such as Aldrich, Sigma, and the like, commercial sources are not generally listed in the Table.

25

Table 18: Ionic Surfactants

COMPOUND	HLB
FATTY ACID SALTS	>10
Sodium caproate	
Sodium caprylate	
Sodium caprate	
Sodium laurate	
Sodium myristate	
Sodium myristolate	
Sodium palmitate	
Sodium palmitoleate	

30

1	Sodium oleate	18
	Sodium ricinoleate	
	Sodium linoleate	
	Sodium linolenate	
	Sodium stearate	
5	Sodium lauryl sulfate (dodecyl)	40
	Sodium tetradecyl sulfate	
	Sodium lauryl sarcosinate	
	Sodium dioctyl sulfosuccinate [sodium docusate (Cyttec)]	
	BILE SALTS	>10
	Sodium cholate	
	Sodium taurocholate	
10	Sodium glycocholate	
	Sodium deoxycholate	
	Sodium taurodeoxycholate	
	Sodium glycodeoxycholate	
	Sodium ursodeoxycholate	
	Sodium chenodeoxycholate	
15	Sodium taurochenodeoxycholate	
	Sodium glyco cheno deoxycholate	
	Sodium cholylsarcosinate	
	Sodium N-methyl taurocholate	
	Sodium lithocholate	
	PHOSPHOLIPIDS	
	Egg/Soy lecithin [Epikuron™ (Lucas Meyer), Ovothin™ (Lucas Meyer)]	
20	Lyso egg/soy lecithin	
	Hydroxylated lecithin	
	Lysophosphatidylcholine	
	Cardiolipin	
	Sphingomyelin	
	Phosphatidylcholine	
	Phosphatidyl ethanolamine	
25	Phosphatidic acid	
	Phosphatidyl glycerol	
	Phosphatidyl serine	
	PHOSPHORIC ACID ESTERS	
	Diethanolammonium polyoxyethylene-10 oleyl ether phosphate	
	Esterification products of fatty alcohols or fatty alcohol ethoxylates with phosphoric acid or anhydride	
30	CARBOXYLATES	
	Ether carboxylates (by oxidation of terminal OH group of fatty alcohol ethoxylates)	
	Succinylated monoglycerides [LAMEGIN ZE (Henkel)]	

- 1 Sodium stearyl fumarate
 Stearoyl propylene glycol hydrogen succinate
 Mono/diacetylated tartaric acid esters of mono- and diglycerides
 Citric acid esters of mono-, diglycerides
 Glyceryl-lacto esters of fatty acids (CFR ref. 172.852)
- 5 Acyl lactylates:
 lactylic esters of fatty acids
 calcium/sodium stearoyl-2-lactylate
 calcium/sodium stearoyl lactylate
- Alginate salts
 Propylene glycol alginate
- SULFATES AND SULFONATES**
- 10 Ethoxylated alkyl sulfates
 Alkyl benzene sulfones
 α -olefin sulfonates
 Acyl isethionates
 Acyl taurates
 Alkyl glyceryl ether sulfonates
 Octyl sulfosuccinate disodium
- 15 Disodium undecylenamideo-MEA-sulfosuccinate
- CATIONIC Surfactants** >10
- Lauroyl carnitine
 Palmitoyl carnitine
 Myristoyl carnitine
 Hexadecyl triammonium bromide
 Decyl trimethyl ammonium bromide
- 20 Cetyl trimethyl ammonium bromide
 Dodecyl ammonium chloride
 Alkyl benzyldimethylammonium salts
 Diisobutyl phenoxyethoxydimethyl benzylammonium salts
 Alkylpyridinium salts
- Betaines (trialkylglycine):
 Lauryl betaine (N-lauryl,N,N-dimethylglycine)
- 25 Ethoxylated amines:
 Polyoxyethylene-15 coconut amine
-

1.19 Ionizable Surfactants

30 Ionizable surfactants, when present in their un-ionized (neutral, non-salt) form, are hydrophobic surfactants suitable for use in the compositions and methods of the present invention, and in their ionized form, are hydrophilic surfactants suitable for use in the present invention. Particular examples of such surfactants include free fatty acids, particularly C₆-C₂₂ fatty acids, and bile acids. More specifically, suitable unionized

1 ionizable surfactants include the free fatty acid and bile acid forms of any of the fatty acid
salts and bile salts shown in Table 18. Preferred ionizable surfactants include fatty acids
and their corresponding salts, such as caprylic acid/sodium caprylate, oleic acid/sodium
oleate, capric acid/sodium caprate; ricinoleic acid/sodium ricinoleate, linoleic acid/sodium
5 linoleate, and lauric acid/sodium laurate; trihydroxy bile acids and their salts, such as
cholic acid (natural), glycocholic acid and taurocholic acid; dihydroxy bile acids and their
salts, such as deoxycholic acid (natural), glycodeoxycholic acid, taurodeoxycholic acid,
chenodeoxycholic acid (natural), glycochenodeoxycholic acid, taurochenodeoxycholic
acid, ursodeoxycholic acid, tauroursodeoxycholic acid, and glycoursodeoxycholic acid;
10 monohydroxy bile acids and their salts, such as lithocholic acid (natural); sulfated bile salt
derivatives; sarchocholate; fusidic acid and its derivatives; phospholipids, such as
phosphatidyl choline, phosphatidyl ethanolamine, phosphatidyl serine, PD inisitol,
lysolecithin, and palmitoyl lysophosphatidyl choline; carnitines, such as palmitoyl
carnitine, lauroyl carnitine and myristoyl carnitine; cyclodextrins, including alpha, beta
15 and gamma cyclodextrins; and modified cyclodextrins, such as hydroxy propyl and
sulfobutyl ether.

1.20 Preferred Surfactants and Surfactant Combinations

Among the above-listed surfactants, several combinations are preferred. In all of
the preferred combinations, the absorption enhancing composition includes at least one
20 hydrophilic surfactant. Preferred non-ionic hydrophilic surfactants include
alkylglucosides; alkylmaltosides; alkylthioglucosides; lauryl macrogolglycerides;
polyoxyethylene alkyl ethers; polyoxyethylene alkylphenols; polyethylene glycol fatty
acids esters; polyethylene glycol glycerol fatty acid esters; polyoxyethylene sorbitan fatty
acid esters; polyoxyethylene-polyoxypropylene block copolymers; polyglycerol fatty acid
25 esters; polyoxyethylene glycerides; polyoxyethylene sterols, derivatives, and analogues
thereof; polyoxyethylene vegetable oils; polyoxyethylene hydrogenated vegetable oils;
reaction mixtures of polyols with fatty acids, glycerides, vegetable oils, hydrogenated
vegetable oils, and sterols; sugar esters, sugar ethers; sucroglycerides; and mixtures
thereof.

30 More preferably, the non-ionic hydrophilic surfactant is selected from the group
consisting of polyoxyethylene alkylethers; polyethylene glycol fatty acids esters;
polyethylene glycol glycerol fatty acid esters; polyoxyethylene sorbitan fatty acid esters;

1 polyoxyethylene-polyoxypropylene block copolymers; polyglyceryl fatty acid esters;
polyoxyethylene glycerides; polyoxyethylene vegetable oils; and polyoxyethylene
hydrogenated vegetable oils. The glyceride can be a monoglyceride, diglyceride,
triglyceride, or a mixture.

5 Also preferred are non-ionic hydrophilic surfactants that are reaction mixtures of
polyols and fatty acids, glycerides, vegetable oils, hydrogenated vegetable oils or sterols.
These reaction mixtures are largely composed of the transesterification products of the
reaction, along with often complex mixtures of other reaction products. The polyol is
preferably glycerol, ethylene glycol, polyethylene glycol, sorbitol, propylene glycol,
10 pentaerythritol, or a saccharide.

Several particularly preferred absorption enhancing compositions are those which
include as a non-ionic hydrophilic surfactant PEG-10 laurate, PEG-12 laurate, PEG-20
laurate, PEG-32 laurate, PEG-32 dilaurate, PEG-12 oleate, PEG-15 oleate, PEG-20 oleate,
PEG-20 dioleate, PEG-32 oleate, PEG-200 oleate, PEG-400 oleate, PEG-15 stearate,
15 PEG-32 distearate, PEG-40 stearate, PEG-100 stearate, PEG-20 dilaurate, PEG-25
glyceryl trioleate, PEG-32 dioleate, PEG-20 glyceryl laurate, PEG-30 glyceryl laurate,
PEG-20 glyceryl stearate, PEG-20 glyceryl oleate, PEG-30 glyceryl oleate, PEG-30
glyceryl laurate, PEG-40 glyceryl laurate, PEG-40 palm kernel oil, PEG-50 hydrogenated
castor oil, PEG-40 castor oil, PEG-35 castor oil, PEG-60 castor oil, PEG-40 hydrogenated
20 castor oil, PEG-60 hydrogenated castor oil, PEG-60 corn oil, PEG-6 caprate/caprylate
glycerides, PEG-8 caprate/caprylate glycerides, polyglyceryl-10 laurate, PEG-30
cholesterol, PEG-25 phyto sterol, PEG-30 soya sterol, PEG-20 trioleate, PEG-40 sorbitan
oleate, PEG-80 sorbitan laurate, polysorbate 20, polysorbate 80, POE-9 lauryl ether, POE-
23 lauryl ether, POE-10 oleyl ether, POE-20 oleyl ether, POE-20 stearyl ether, tocopheryl
25 PEG-100 succinate, PEG-24 cholesterol, polyglyceryl-10 oleate, Tween 40, Tween 60,
sucrose monostearate, sucrose monolaurate, sucrose monopalmitate, PEG 10-100 nonyl
phenol series, PEG 15-100 octyl phenol series, or a poloxamer.

Among these preferred surfactants, more preferred are PEG-20 laurate, PEG-20
oleate, PEG-35 castor oil, PEG-40 palm kernel oil, PEG-40 hydrogenated castor oil, PEG-
30 60 corn oil, PEG-25 glyceryl trioleate, polyglyceryl-10 laurate, PEG-6 caprate/caprylate
glycerides, PEG-8 caprate/caprylate glycerides, PEG-30 cholesterol, polysorbate 20,
polysorbate 80, POE-9 lauryl ether, POE-23 lauryl ether, POE-10 oleyl ether, PEG-24

1 cholesterol, sucrose monostearate, sucrose monolaurate and poloxamers. Most preferred
are PEG-35 castor oil, PEG-40 hydrogenated castor oil, PEG-60 corn oil, PEG-25 glyceryl
trioleate, PEG-6 caprate/caprylate glycerides, PEG-8 caprate/caprylate glycerides,
polysorbate 20, polysorbate 80, tocopheryl PEG-1000 succinate, PEG-24 cholesterol, and
5 hydrophilic poloxamers.

The hydrophilic surfactant can also be, or include as a component, an ionic
surfactant, *i.e.*, the ionized form of an ionizable surfactant. Preferred ionic surfactants
include the ionized form of alkyl ammonium salts; bile acids and salts, analogues, and
derivatives thereof; fusidic acid and derivatives thereof; fatty acid derivatives of amino
10 acids, oligopeptides, and polypeptides; glyceride derivatives of amino acids, oligopeptides,
and polypeptides; acyl lactylates; mono-,diacetylated tartaric acid esters of mono-
,diglycerides; succinylated monoglycerides; citric acid esters of mono-,diglycerides;
alginate salts; propylene glycol alginate; lecithins and hydrogenated lecithins; lysolecithin
and hydrogenated lysolecithins; lysophospholipids and derivatives thereof; phospholipids
15 and derivatives thereof; salts of alkylsulfates; salts of fatty acids; sodium docusate;
carnitines; and mixtures thereof.

More preferable ionized ionizable surfactants include the ionized form of bile acids
and salts, analogues, and derivatives thereof; lecithins, lysolecithin, phospholipids,
lysophospholipids and derivatives thereof; salts of alkylsulfates; salts of fatty acids;
20 sodium docusate; acyl lactylates; mono-,diacetylated tartaric acid esters of mono-
,diglycerides; succinylated monoglycerides; citric acid esters of mono-,diglycerides;
carnitines; and mixtures thereof.

More specifically, preferred ionized ionizable surfactants are the ionized forms of
lecithin, lysolecithin, phosphatidylcholine, phosphatidylethanolamine,
25 phosphatidylglycerol, phosphatidic acid, phosphatidylserine, lysophosphatidylcholine,
lysophosphatidylethanolamine, lysophosphatidylglycerol, lysophosphatidic acid,
lysophosphatidylserine, PEG-phosphatidylethanolamine, PVP-phosphatidylethanolamine,
lactic esters of fatty acids, stearyl-2-lactylate, stearyl lactylate, succinylated
monoglycerides, mono/diacetylated tartaric acid esters of mono/diglycerides, citric acid
30 esters of mono/diglycerides, cholate, taurocholate, glycocholate, deoxycholate,
taurodeoxycholate, chenodeoxycholate, glycodeoxycholate, glycochenodeoxycholate,
taurochenodeoxycholate, ursodeoxycholate, tauroursodeoxycholate,

1 glyoursodeoxycholate, cholylsarcosine, N-methyl taurocholate, caproate, caprylate, caprate, laurate, myristate, palmitate, oleate, ricinoleate, linoleate, linolenate, stearate, lauryl sulfate, teracecyl sulfate, docusate, lauroyl carnitines, palmitoyl carnitines, myristoyl carnitines, and salts and mixtures thereof.

5 Particularly preferred ionized ionizable surfactants are the ionized forms of lecithin, lysolecithin, phosphatidylcholine, phosphatidylethanolamine, phosphatidylglycerol, lysophosphatidylcholine, PEG-phosphatidylethanolamine, lactic esters of fatty acids, stearyl-2-lactylate, stearyl lactylate, succinylated monoglycerides, mono/diacetylated tartaric acid esters of mono/diglycerides, citric acid esters of
10 mono/diglycerides, cholate, taurocholate, glycocholate, deoxycholate, taurodeoxycholate, glycodeoxycholate, cholylsarcosine, caproate, caprylate, caprate, laurate, oleate, lauryl sulfate, docusate, and salts and mixtures thereof, with the most preferred ionic surfactants being lecithin, lactic esters of fatty acids, stearyl-2-lactylate, stearyl lactylate, succinylated monoglycerides, mono/diacetylated tartaric acid esters of mono/diglycerides,
15 citric acid esters of mono/diglycerides, taurocholate, caprylate, caprate, oleate, lauryl sulfate, docusate, and salts and mixtures thereof.

The absorption enhancing compositions include at least two surfactants, at least one of which is hydrophilic. In one embodiment, the present invention includes at two surfactants that are hydrophilic, and preferred hydrophilic surfactants are listed above. In
20 another embodiment, the composition includes at least one hydrophilic surfactant and at least one hydrophobic surfactant.

In this embodiment, the hydrophobic surfactant can be an unionized ionizable surfactant. Preferably, the unionized ionizable surfactant is the unionized form of a surfactant selected from the group consisting of bile acids and analogues and derivatives thereof; lecithins, lysolecithin, phospholipids, lysophospholipids and derivatives thereof;
25 carnitine fatty acid esters; alkylsulfates; fatty acids; acyl lactylates; mono-,diacetylated tartaric acid esters of mono-,diglycerides; succinylated monoglycerides; citric acid esters of mono-,diglycerides; and mixtures thereof.

More preferably, the un-ionized ionizable surfactant is the un-ionized form of a surfactant selected from the group consisting of lecithin, lysolecithin, phosphatidylcholine, phosphatidylethanolamine, phosphatidylglycerol, phosphatidic acid, phosphatidylserine, lysophosphatidylcholine, lysophosphatidylethanolamine, lysophosphatidylglycerol,
30

1 lysophosphatidic acid, lysophosphatidylserine, PEG-phosphatidylethanolamine, PVP-
phosphatidylethanolamine, lactic esters of fatty acids, stearyl-2-lactylate, stearyl
lactylate, succinylated monoglycerides, mono/diacetylated tartaric acid esters of
5 mono/diglycerides, citric acid esters of mono/diglycerides, cholic acid, taurocholic acid,
glycocholic acid, deoxycholic acid, taurodeoxycholic acid, chenodeoxycholic acid,
glycodeoxycholic acid, glycochenodeoxycholic acid, taurochenodeoxycholic acid,
ursodeoxycholic acid, lithocholic acid, tauroursodeoxycholic acid, glyoursodeoxycholic
acid, cholylsarcosine, N-methyl taurocholic acid, caproic acid, caprylic acid, capric acid,
10 lauric acid, myristic acid, palmitic acid, oleic acid, ricinoleic acid, linoleic acid, linolenic
acid, stearic acid, lauryl sulfate, tetraacetyl sulfate, lauroyl carnitine, palmitoyl carnitine,
myristoyl carnitine, and mixtures thereof.

Still more preferably, the un-ionized ionizable surfactant is the un-ionized form of
a surfactant selected from the group consisting of lecithin, lysolecithin,
phosphatidylcholine, phosphatidylethanolamine, phosphatidylglycerol,
15 lysophosphatidylcholine, PEG-phosphatidylethanolamine, lactic esters of fatty acids,
stearyl-2-lactylate, stearyl lactylate, succinylated monoglycerides, mono/diacetylated
tartaric acid esters of mono/diglycerides, citric acid esters of mono/diglycerides, cholic
acid, taurocholic acid, glycocholic acid, deoxycholic acid, chenodeoxycholic acid,
lithocholic acid, ursodeoxycholic acid, taurodeoxycholic acid, glycodeoxycholic acid,
20 cholylsarcosine, caproic acid, caprylic acid, capric acid, lauric acid, oleic acid, lauryl
sulfate, lauroyl carnitine, palmitoyl carnitine, myristoyl carnitine, and mixtures thereof.

Most preferably, the un-ionized ionizable surfactant is the un-ionized form of a
surfactant selected from the group consisting of lecithin, lactic esters of fatty acids,
stearyl-2-lactylate, stearyl lactylate, succinylated monoglycerides, mono/diacetylated
25 tartaric acid esters of mono/diglycerides, citric acid esters of mono/diglycerides,
chenodeoxycholic acid, lithocholic acid, ursodeoxycholic acid, taurocholic acid, caprylic
acid, capric acid, oleic acid, lauryl sulfate, docusate, lauroyl carnitine, palmitoyl carnitine,
myristoyl carnitine, and mixtures thereof.

The hydrophobic surfactants can also be alcohols; polyoxyethylene alkylethers;
30 fatty acids; glycerol fatty acid esters; acetylated glycerol fatty acid esters; lower alcohol
fatty acids esters; polyethylene glycol fatty acids esters; polyethylene glycol glycerol fatty
acid esters; polypropylene glycol fatty acid esters; polyoxyethylene glycerides; lactic acid

1 derivatives of mono/diglycerides; propylene glycol diglycerides; sorbitan fatty acid esters;
polyoxyethylene sorbitan fatty acid esters; polyoxyethylene-polyoxypropylene block
copolymers; transesterified vegetable oils; sterols; sterol derivatives; sugar esters; sugar
ethers; sucroglycerides; polyoxyethylene vegetable oils; polyoxyethylene hydrogenated
5 vegetable oils; and the un-ionized (neutral) forms of ionizable surfactants.

As with the hydrophilic surfactants, hydrophobic surfactants can be reaction mixtures of polyols and fatty acids, glycerides, vegetable oils, hydrogenated vegetable oils, and sterols.

10 Preferably, the hydrophobic surfactant is selected from the group consisting of fatty acids; lower alcohol fatty acid esters; polyethylene glycol glycerol fatty acid esters; polypropylene glycol fatty acid esters; polyoxyethylene glycerides; glycerol fatty acid esters; acetylated glycerol fatty acid esters; lactic acid derivatives of mono/diglycerides; sorbitan fatty acid esters; polyoxyethylene sorbitan fatty acid esters; polyoxyethylene-polyoxypropylene block copolymers; polyoxyethylene vegetable oils; polyoxyethylene
15 hydrogenated vegetable oils; and reaction mixtures of polyols and fatty acids, glycerides, vegetable oils, hydrogenated vegetable oils, and sterols.

More preferred are lower alcohol fatty acids esters; polypropylene glycol fatty acid esters; propylene glycol fatty acid esters; glycerol fatty acid esters; acetylated glycerol fatty acid esters; lactic acid derivatives of mono/diglycerides; sorbitan fatty acid esters;
20 polyoxyethylene vegetable oils; and mixtures thereof, with glycerol fatty acid esters and acetylated glycerol fatty acid esters being most preferred. Among the glycerol fatty acid esters, the esters are preferably mono- or diglycerides, or mixtures of mono- and diglycerides, where the fatty acid moiety is a C₆ to C₂₂ fatty acid.

Also preferred are hydrophobic surfactants which are the reaction mixture of
25 polyols and fatty acids, glycerides, vegetable oils, hydrogenated vegetable oils, and sterols. Preferred polyols are polyethylene glycol, sorbitol, propylene glycol, and pentaerythritol.

Specifically preferred hydrophobic surfactants include myristic acid; oleic acid; lauric acid; stearic acid; palmitic acid; PEG 1-4 stearate; PEG 2-4 oleate; PEG-4 dilaurate;
30 PEG-4 dioleate; PEG-4 distearate; PEG-6 dioleate; PEG-6 distearate; PEG-8 dioleate; PEG 3-16 castor oil; PEG 5-10 hydrogenated castor oil; PEG 6-20 corn oil; PEG 6-20 almond oil; PEG-6 olive oil; PEG-6 peanut oil; PEG-6 palm kernel oil; PEG-6

1 hydrogenated palm kernel oil; PEG-4 capric/caprylic triglyceride, mono, di, tri, tetra esters
of vegetable oil and sorbitol; pentaerythrityl di, tetra stearate, isostearate, oleate, caprylate,
or caprate; polyglyceryl 2-4 oleate, stearate, or isostearate; polyglyceryl 4-10 pentaoleate;
5 polyglyceryl-3 dioleate; polyglyceryl-6 dioleate; polyglyceryl-10 trioleate; polyglyceryl-3
distearate; propylene glycol mono- or diesters of a C₆ to C₂₀ fatty acid; monoglycerides of
C₆ to C₂₀ fatty acids; acetylated monoglycerides of C₆ to C₂₀ fatty acids; diglycerides of C₆
to C₂₀ fatty acids; lactic acid derivatives of monoglycerides; lactic acid derivatives of
diglycerides; cholesterol; phytosterol; PEG 5-20 soya sterol; PEG-6 sorbitan tetra,
10 hexastearate; PEG-6 sorbitan tetraoleate; sorbitan monolaurate; sorbitan monopalmitate;
sorbitan mono, trioleate; sorbitan mono, tristearate; sorbitan monoisostearate; sorbitan
sesquioleate; sorbitan sesquistearate; PEG 2-5 oleyl ether; POE 2-4 lauryl ether; PEG-2
cetyl ether; PEG-2 stearyl ether; sucrose distearate; sucrose dipalmitate; ethyl oleate;
isopropyl myristate; isopropyl palmitate; ethyl linoleate; isopropyl linoleate; and
poloxamers.

15 Among the specifically preferred hydrophobic surfactants, most preferred are oleic
acid; lauric acid; glyceryl monocaprate; glyceryl monocaprylate; glyceryl monolaurate;
glyceryl monooleate; glyceryl dicaprate; glyceryl dicaprylate; glyceryl dilaurate; glyceryl
dioleate; acetylated monoglycerides; propylene glycol oleate; propylene glycol laurate;
polyglyceryl-3 oleate; polyglyceryl-6 dioleate; PEG-6 corn oil; PEG-20 corn oil; PEG-20
20 almond oil; sorbitan monooleate; sorbitan monolaurate; POE-4 lauryl ether; POE-3 oleyl
ether; ethyl oleate; and poloxamers.

2. Therapeutic Agents

The hydrophilic therapeutic agents suitable for use in the pharmaceutical systems
and methods of the present invention are not particularly limited, as the absorption
25 enhancing compositions are surprisingly capable of delivering a wide variety of
hydrophilic therapeutic agents. Suitable hydrophilic therapeutic agents include
hydrophilic drugs (*i.e.*, conventional non-peptidic drugs), hydrophilic macromolecules
such as cytokines, peptidomimetics, peptides, proteins, toxoids, sera, antibodies, vaccines,
nucleosides, nucleotides and genetic material, and other hydrophilic compounds, such as
30 nucleic acids. The aqueous solubility of the hydrophilic therapeutic agent should be
greater than about 1 mg/mL.

1 The hydrophilic therapeutic agent can be solubilized or suspended in a
preconcentrate (before dilution with an aqueous diluent), added to the preconcentrate prior
to dilution, added to the diluted preconcentrate, or added to an aqueous diluent prior to
mixing with the preconcentrate. The hydrophilic therapeutic agent can also be co-
5 administered as part of an independent dosage form, for therapeutic effect. Optionally, the
hydrophilic therapeutic agent can be present in a first, solubilized amount, and a second,
non-solubilized (suspended) amount. Such hydrophilic therapeutic agents can be any
agents having therapeutic or other value when administered to an animal, particularly to a
mammal, such as drugs, nutrients, cosmetics (cosmeceuticals), and diagnostic agents. It
10 should be understood that while the invention is described with particular reference to its
value for oral dosage forms, the invention is not so limited. Thus, hydrophilic drugs,
nutrients, cosmetics and diagnostic agents which derive their therapeutic or other value
from, for example, transmembrane (transport across a membrane barrier of therapeutic
significance), nasal, buccal, rectal, vaginal or pulmonary administration, are still
15 considered to be suitable for use in the present invention.

Specific non-limiting examples of therapeutic agents that can be used in the
pharmaceutical compositions of the present invention include analgesics and anti-
inflammatory agents, anthelmintics, anti-arrhythmic agents, anti-asthma agents, anti-
bacterial agents, anti-viral agents, anti-coagulants, anti-depressants, anti-diabetics, anti-
20 epileptics, anti-fungal agents, anti-gout agents, anti-hypertensive agents, anti-malarials,
anti-migraine agents, anti-muscarinic agents, anti-neoplastic agents and
immunosuppressants, anti-protozoal agents, anti-thyroid agents, anti-tussives, anxiolytic,
sedatives, hypnotics and neuroleptics, β -Blockers, cardiac inotropic agents,
corticosteroids, diuretics, anti-parkinsonian agents, gastro-intestinal agents, histamine H₁-
25 receptor antagonists, keratolytics, lipid regulating agents, muscle relaxants, anti-anginal
agents, nutritional agents, analgesics, sex hormones, stimulants, cytokines,
peptidomimetics, peptides, proteins, toxoids, sera, antibodies, vaccines, nucleosides,
nucleotides and genetic material, and nucleic acids. Amphiphilic therapeutic agents are
also included, provided they have a water solubility of greater than about 1 mg/mL.

30 In one embodiment, the hydrophilic therapeutic agent is a nutritional agent.

 In another embodiment, the hydrophilic therapeutic agent is a cosmeceutical agent.

 In another embodiment, the hydrophilic therapeutic agent is a diagnostic agent.

1 Although the invention is not limited thereby, examples of hydrophilic therapeutic
agents suitable for use in the compositions and methods of the present invention include
the following preferred compounds, as well as their pharmaceutically acceptable salts,
isomers, esters, ethers and other derivatives:

5 acarbose; acyclovir; acetyl cysteine; acetylcholine chloride; alatrofloxacin;
alendronate; alglucerase; amantadine hydrochloride; ambenonium; amifostine; amiloride
hydrochloride; aminocaproic acid; amphotericin B; antihemophilic factor (human);
antihemophilic factor (porcine); antihemophilic factor (recombinant); aprotinin;
asparaginase; atenolol; atracurium besylate; atropine; azithromycin; aztreonam; BCG
10 vaccine; bacitracin; becalermine; belladonna; bepridil hydrochloride; bleomycin sulfate;
calcitonin human; calcitonin salmon; carboplatin; capecitabine; capreomycin sulfate;
cefamandole nafate; cefazolin sodium; cefepime hydrochloride; cefixime; cefonicid
sodium; cefoperazone; cefotetan disodium; cefotaxime; cefoxitin sodium; ceftizoxime;
ceftriaxone; cefuroxime axetil; cephalixin; cephalixin sodium; cholera vaccine; chronic
15 gonadotropin; cidofovir; cisplatin; cladribine; clidinium bromide; clindamycin and
clindamycin derivatives; ciprofloxacin; clondronate; colistimethate sodium; colistin
sulfate; corticotropin; cosyntropin; cromalyn sodium; cytarabine; daltaperin sodium;
danaproid; deforoxamine; denileukin diftitox; desmopressin; diatrizoate meglumine and
diatrizoate sodium; dicyclomine; didanosine; dirithromycin; dopamine hydrochloride;
20 domase alpha; doxacurium chloride; doxorubicin; editronate disodium; elanaprilat;
enkephalin; enoxacin; enoxaprin sodium; ephedrine; epinephrine; epoetin alpha;
erythromycin; esmol hydrochloride; factor IX; famciclovir; fludarabine; fluoxetine;
foscarnet sodium; ganciclovir; granulocyte colony stimulating factor; granulocyte-
macrophage stimulating factor; growth hormones- recombinant human; growth
25 hormone- bovine; gentamycin; glucagon; glycopyrolate; gonadotropin releasing hormone
and synthetic analogs thereof; GnRH; gonadorelin; grepafloxacin; hemophilus B conjugate
vaccine; Hepatitis A virus vaccine inactivated; Hepatitis B virus vaccine inactivated;
heparin sodium; indinavir sulfate; influenza virus vaccine; interleukin-2; interleukin-3;
insulin-human; insulin lispro; insulin procine; insulin NPH; insulin aspart; insulin
30 glargine; insulin detemir; interferon alpha; interferon beta; ipratropium bromide;
isofosfamide; japanese encephalitis virus vaccine; lamivudine; leucovorin calcium;
leuprolide acetate; levofloxacin; lincomycin and lincomycin derivatives; lobucavir;

1 lomefloxacin; loracarbef; mannitol; measles virus vaccine; meningococcal vaccine;
 menotropins; mephenzolate bromide; mesalmine; methanamine; methotrexate;
 methscopolamine; metformin hydrochloride; metoprolol; mezocillin sodium; mivacurium
 5 chloride; mumps viral vaccine; nedocromil sodium; neostigmine bromide; neostigmine
 methyl sulfate; neutontin; norfloxacin; octreotide acetate; ofloxacin; olpadronate;
 oxytocin; pamidronate disodium; pancuronium bromide; paroxetine; pefloxacin;
 pentamidine isethionate; pentostatin; pentoxifylline; periciclovir; pentagastrin;
 phentolamine mesylate; phenylalanine; physostigmine salicylate; plague vaccine;
 piperacillin sodium; platelet derived growth factor-human; pneumococcal vaccine
 10 polyvalent; poliovirus vaccine inactivated; poliovirus vaccine live (OPV); polymixin B
 sulfate; pralidoxine chloride; pramlintide; pregabalin; propofenone; propenthaline
 bromide; pyridostigmine bromide; rabies vaccine; residronate; ribavarin; rimantadine
 hydrochloride; rotavirus vaccine; salmetrol xinafoate; sincalide; small pox vaccine;
 solatol; somatostatin; sparfloxacin; spectinomycin; stavudine; streptokinase; streptozocin;
 15 suxamethonium chloride; tacrine hydrochloride; terbutaline sulfate; thiopeta; ticarcillin;
 tiludronate; timolol; tissue type plasminogen activator; TNFR:Fc; TNK-tPA; trandolapril;
 trimetrexate gluconate; trospectinomycin; trovafloxacin; tubocurarine chloride; tumor
 necrosis factor; typhoid vaccine live; urea; urokinase; vancomycin; valaciclovir; valsartan;
 varicella virus vaccine live; vasopressin and vasopressin derivatives; vecoronium bromide;
 20 vinblastin; vincristine; vinorelbine; vitamin B12 ; warfarin sodium; yellow fever vaccine;
 zalcitabine; zanamavir; zolandronate; and zidovudine.

Among the listed hydrophilic therapeutic agents, more preferred therapeutic agents are:

25 acarbose; acyclovir; atracurium besylate; alendronate; alglucerase; amantadine
 hydrochloride; amphotericin B; antihemophilic factor (human); antihemophilic factor
 (porcine); antihemophilic factor (recombinant; azithromycin; calcitonin human; calcitonin
 salmon; capecitabine; cefazolin sodium; cefonicid sodium; cefoperazone; cefoxitin
 sodium; ceftizoxime; ceftriaxone; cefuroxime axetil; cephalixin; chionic gonadotropin;
 30 cidofovir; cladribine ; clindamycin and clindamycin derivatives; corticotropin;
 cosyntropin; cromalyn sodium; cytarabine; daltaperin sodium; danaproid; desmopressin;
 didanosine; dirithromycin; editronate disodium; enoxaprin sodium; epoetin alpha; factor
 IX; famciclovir; fludarabine; foscarnet sodium; ganciclovir; granulocyte colony

1 stimulating factor; granulocyte-macrophage stimulating factor; growth hormones-
 recombinant human; growth hormone- Bovine; gentamycin; glucagon; gonadotropin
 releasing hormone and synthetic analogs thereof; GnRH; gonadorelin; hemophilus B
 conjugate vaccine; Hepatitis A virus vaccine inactivated; Hepatitis B virus vaccine
 5 inactivated; heparin sodium; indinavir sulfate; influenza virus vaccine; interleukin-2;
 interleukin-3; insulin-human; insulin lispro; insulin procine; insulin NPH; insulin aspart;
 insulin glargine; insulin detemir; interferon alpha; interferon beta; ipratropium bromide;
 isofosfamide; lamivudine; leucovorin calcium; leuprolide acetate; lincomycin and
 lincomycin derivatives; metformin hydrochloride; nedocromil sodium; neostigmine
 10 bromide; neostigmine methyl sulfate; neotontin; octreotide acetate; olpadronate;
 pamidronate disodium; pancuronium bromide; pentamidine isethionate; pentagastrin;
 physostigmine salicylate; poliovirus vaccine live (OPV); pyridostigmine bromide;
 residronate; ribavarin; rimantadine hydrochloride; rotavirus vaccine; salmetrol xinafoate;
 somatostatin; spectinomycin; stavudine; streptokinase; ticarcillin; tiludronate; tissue type
 15 plasminogen activator; TNFR:Fc; TNK-tPA; trimetrexate gluconate; trospectinomycin;
 tumor necrosis factor; typhoid vaccine live; urokinase; vancomycin; valaciclovir;
 vasopressin and vasopressin derivatives; vinblastin; vincristine; vinorelbine; warfarin
 sodium; zalcitabine; zanamavir; and zidovudine.

The most preferred hydrophilic therapeutic agents are:

20 acarbose; alendronate; amantadine hydrochloride; azithromycin; calcitonin human;
 calcitonin salmon; ceftriaxone; cefuroxime axetil; chrionic gonadotropin; cromalyn
 sodium; daltaperin sodium; danaproid; desmopressin; didanosine; editronate disodium;
 enoxaprin sodium; epoetin alpha; factor IX; famciclovir; foscarnet sodium; ganciclovir;
 granulocyte colony stimulating factor; granulocyte-macrophage stimulating factor; growth
 25 hormones- recombinant human; growth hormone- Bovine; glucagon; gonadotropin
 releasing hormone and synthetic analogs thereof; GnRH; gonadorelin; heparin sodium;
 indinavir sulfate; influenza virus vaccine; interleukin-2; interleukin-3; insulin-human;
 insulin lispro; insulin procine interferon alpha; interferon beta; leuprolide acetate;
 metformin hydrochloride; nedocromil sodium; neostigmine bromide; neostigmine methyl
 30 sulfate; neotontin; octreotide acetate; olpadronate; pamidronate disodium; residronate;
 rimantadine hydrochloride; salmetrol xinafoate; somatostatin; stavudine; ticarcillin;
 tiludronate; tissue type plasminogen activator; TNFR:Fc; TNK-tPA; tumor necrosis

1 factor; typhoid vaccine live; vancomycin; valaciclovir; vasopressin and vasopressin derivatives; zalcitabine; zanamavir and zidovudine.

Of course, salts, metabolic precursors, derivatives and mixtures of therapeutic agents may also be used where desired.

5 **3. Solubilizers**

If desired, the pharmaceutical compositions of the present invention can optionally include additional compounds to enhance the solubility of the therapeutic agent or the triglyceride in the composition. Examples of such compounds, referred to as "solubilizers", include:

10 alcohols and polyols, such as ethanol, isopropanol, butanol, benzyl alcohol, ethylene glycol, propylene glycol, butanediols and isomers thereof, glycerol, pentaerythritol, sorbitol, mannitol, transcitol, dimethyl isosorbide, polyethylene glycol, polypropylene glycol, polyvinylalcohol, hydroxypropyl methylcellulose and other cellulose derivatives, cyclodextrins and cyclodextrin derivatives;

15 ethers of polyethylene glycols having an average molecular weight of about 200 to about 6000, such as tetrahydrofurfuryl alcohol PEG ether (glycofurol, available commercially from BASF under the trade name Tetraglycol) or methoxy PEG (Union Carbide);

20 amides, such as 2-pyrrolidone, 2-piperidone, ϵ -caprolactam, N-alkylpyrrolidone, N-hydroxyalkylpyrrolidone, N-alkylpiperidone, N-alkylcaprolactam, dimethylacetamide, and polyvinylpyrrolidone;

25 esters, such as ethyl propionate, tributylcitrate, acetyl triethylcitrate, acetyl tributyl citrate, triethylcitrate, ethyl oleate, ethyl caprylate, ethyl butyrate, triacetin, propylene glycol monoacetate, propylene glycol diacetate, ϵ -caprolactone and isomers thereof, δ -valerolactone and isomers thereof, β -butyrolactone and isomers thereof;

30 and other solubilizers known in the art, such as dimethyl acetamide, dimethyl isosorbide (Arlasolve DMI (ICI)), N-methyl pyrrolidones (Pharmasolve (ISP)), monooctanoin, diethylene glycol monoethyl ether (available from Gattefosse under the trade name Transcutol), and water.

Mixtures of solubilizers are also within the scope of the invention. Except as indicated, these compounds are readily available from standard commercial sources.

1 Preferred solubilizers include triacetin, triethylcitrate, ethyl oleate, ethyl caprylate,
dimethylacetamide, N-methylpyrrolidone, N-hydroxyethylpyrrolidone,
polyvinylpyrrolidone, hydroxypropyl methylcellulose, hydroxypropyl cyclodextrins,
ethanol, polyethylene glycol 200-100, glycofurol, transcitol, propylene glycol, and
5 dimethyl isosorbide. Particularly preferred solubilizers include sorbitol, glycerol, triacetin,
ethyl alcohol, PEG-400, glycofurol and propylene glycol.

The amount of solubilizer that can be included in compositions of the present
invention is not particularly limited. Of course, when such compositions are ultimately
administered to a patient, the amount of a given solubilizer is limited to a bioacceptable
10 amount, which is readily determined by one of skill in the art. In some circumstances, it
may be advantageous to include amounts of solubilizers far in excess of bioacceptable
amounts, for example, to maximize the concentration of therapeutic agent, with excess
solubilizer removed prior to providing the composition to a patient using conventional
techniques, such as distillation or evaporation. Thus, if present, the solubilizer can be in a
15 concentration of 50%, 100%, 200%, or up to about 400% by weight, based on the weight
of the carrier. If desired, very small amounts of solubilizers may also be used, such as
25%, 10%, 5%, 1% or even less. Typically, the solubilizer will be present in an amount of
about 1% to about 100%, more typically about 5% to about 25% by weight or about 10%
to about 25% by weight.

20 4. Concentrations

The components of the absorption enhancing compositions of the present invention
are present in amounts such that upon dilution with an aqueous diluent, the carrier forms
an aqueous dispersion having a small particle size. The hydrophilic and optional
hydrophobic surfactants should be present in amounts sufficient to improve the absorption
25 of the hydrophilic therapeutic agent. It is surprisingly found that relatively large amounts
of the surfactants can be used while still maintaining a small particle size upon dilution.

Without wishing to be bound by theory, it is believed that the absorption enhancers
present in the compositions are able to enhance absorption by one or more of the following
factors: effective presentation of an absorption enhancer to the site of enhancement;
30 modulation of facilitated/active transport; transcellular permeability enhancement through
favorable membrane perturbations; inhibition of efflux related transporters; inhibition of
luminal or cellular enzymatic inactivation; paracellular transport enhancement through

1 loosening of tight junctions; induction of specific transporters to facilitate transport;
altered biological binding characteristics; reduced degradation of the hydrophilic
therapeutic agent; induction of transient water channels; and/or increased partitioning of
the hydrophilic therapeutic agent by association with the absorption enhancer. The
5 functionality is believed to be due to a combination of small particle size, appropriate
absorption enhancers in amounts chosen to provide small particle size upon dilution, and
non-dependence upon lipolysis by avoiding the use of triglycerides. Preferably, diesters of
propylene glycol are also avoided.

10 The presence of at least two surfactants, at least one of which is hydrophilic, is
believed to be particularly advantageous to provide better presentation of the absorption
enhancing components at the absorption site. For example, the presence of each
surfactant is believed to assist the absorption enhancement functionality of the other
surfactants by reducing the size of the particles containing the absorption enhancing
15 surfactant to minimize aqueous boundary layer control, and/or by solubilizing water-
immiscible absorption enhancing surfactants to increase the thermodynamic activity of the
surfactant at the absorption site.

A preferred method of assessing the appropriate component concentrations is to
quantitatively measure the size of the particles of which the dispersion is composed.
These measurements can be performed on commercially available particle size analyzers,
20 such as, for example, a Nicomp particle size analyzer available from Particle Size
Systems, Inc., of Santa Barbara, CA. Using this measure, aqueous dispersions according
to the present invention have average particle sizes much smaller than the wavelength of
visible light, whereas dispersions containing relative amounts of the components outside
the appropriate range have more complex particle size distributions, with much greater
25 average particle sizes. It is desirable that the average particle size be less than about 200
nm, preferably less than about 100, more preferably less than about 50 nm, still more
preferably less than about 30 nm, and most preferably less than about 20 nm. It is also
preferred that the particle size distribution be mono-modal. These particle sizes can be
measured at dilution amounts of 10 to 250-fold or more, preferably about 100 to about
30 250-fold, as is typical of the dilution expected in the gastrointestinal tract.

In a preferred embodiment, the components of the absorption enhancing
compositions are present in amounts such that the aqueous dispersion formed upon

1 dilution with an aqueous medium has a small particle size and is also substantially
optically clear. The composition in the preconcentrate form, *i.e.*, before dilution with an
aqueous diluent, need not be clear, as it is the clarity upon dilution with an aqueous diluent
that is preferred. The dilution can be *in vitro* or *in vivo*, and optical clarity should be
5 assessed at dilutions of about 10 to 250-fold or more, preferably about 100 to 250-fold, as
is encountered in the gastrointestinal environment. It should be appreciated that when the
desired dosage form includes an amount of the hydrophilic therapeutic agent that is
suspended, but not solubilized, in the composition, the appropriate concentrations of the
other components are determined by the optical clarity of the diluted composition without
10 the suspended therapeutic agent.

In this preferred embodiment, the relative amounts of the components are readily
determined by observing the properties of the resultant dispersion; *i.e.*, when the relative
amounts are within the preferred range, the resultant aqueous dispersion is optically clear.
When the relative amounts are outside the preferred range, the resulting dispersion is
15 visibly "cloudy", resembling a conventional emulsion or multiple-phase system. The
optical clarity of the aqueous dispersion can be measured using standard quantitative
techniques for turbidity assessment. One convenient procedure to measure turbidity is to
measure the amount of light of a given wavelength transmitted by the solution, using, for
example, a UV-visible spectrophotometer. Using this measure, optical clarity corresponds
20 to high transmittance, since cloudier solutions will scatter more of the incident radiation,
resulting in lower transmittance measurements. If this procedure is used, care should be
taken to insure that the composition itself does not absorb light of the chosen wavelength,
as any true absorbance necessarily reduces the amount of transmitted light and falsely
increases the quantitative turbidity value. In the absence of chromophores at the chosen
25 wavelength, suitable dispersions at a dilution of 100X should have an apparent absorbance
of less than about 0.3, preferably less than about 0.2, and more preferably less than about
0.1.

Other methods of characterizing optical clarity known in the art may also be used,
and any or all of the available methods may be used to ensure that the resulting aqueous
30 dispersions possess the preferred optical clarity.

In one embodiment, the hydrophilic therapeutic agent is formulated in the dosage
form of the absorption enhancing composition, and is present in any amount up to the

1 maximum amount that can be solubilized in the composition. In another embodiment, the
hydrophilic therapeutic agent is present in the dosage form of the absorption enhancing
composition in a first amount which is solubilized, and a second amount that remains
unsolubilized but dispersed. This may be desirable when, for example, a larger dose of the
5 hydrophilic therapeutic agent is desired. Of course, in this embodiment, the optical clarity
or particle size of the resultant aqueous dispersion is determined before the second non-
solubilized amount of the hydrophilic therapeutic agent is added. In another embodiment,
the hydrophilic therapeutic agent is present in a dosage form separate from the dosage
form of the absorption enhancing composition, and the amount of hydrophilic therapeutic
10 agent is any convenient amount that can be formulated in the separate dosage form, such
as a therapeutically effective amount. This separate dosage form of the hydrophilic
therapeutic agent can be a dosage form of the present invention, or any conventional
dosage form, preferably triglyceride free, such as a commercial dosage form.

15 Other considerations well known to those skilled in the art will further inform the
choice of specific proportions of the components. These considerations include the degree
of bioacceptability of the compounds, and the desired dosage of hydrophilic therapeutic
agent to be provided.

Keeping the considerations discussed above in mind, it is important that the
composition include sufficient amounts of the absorption enhancing components to
20 provide a therapeutically meaningful increase in the rate and/or extent of bioabsorption.
Thus, in general the total amount of absorption enhancing components forming the carrier
should be at least about 10% by weight, preferably at least about 20%, based on the total
weight of the preconcentrate composition. As shown in the examples herein, the total
amount of the absorption enhancing components can be far greater than 20%, and these
25 compositions are also within the scope of the present invention.

It is preferred that when the absorption enhancing composition includes at least
two surfactants selected from the group consisting of sodium lauryl sulfate, oleic acid,
linoleic acid, monoolein, lecithin, lysolecithin, deoxycholate, taurodeoxycholate,
glycochenodeoxycholate, polyoxyethylene X-lauryl ether, where X is from 9 to 20,
30 sodium tauro-24,25-dihydrofusidate, polyoxyethylene ether, polyoxyethylene sorbitan
esters, p-t-octylphenoxypolyoxyethylene, N-lauryl- β -D-maltopyranoside, 1-
dodecylazacycloheptane-2-azone, and phospholipids, each surfactant is present in an

1 amount of greater than 10% by weight, based on the total weight of the pharmaceutical system.

Alternatively, appropriate coating can be applied to the dosage form to enable sufficient concentration/amount of the absorption enhancing surfactant/therapeutic agent/inhibitor at the site of absorption.

5. Stability

5.1 Enzyme Inhibitors

When the hydrophilic therapeutic agent is subject to enzymatic degradation, the compositions can include an enzyme inhibiting agent as an absorption enhancing agent. Enzyme inhibiting agents are shown for example; in Bernskop-Schnurch, A., "The use of inhibitory agents to overcome enzymatic barrier to perorally administered therapeutic peptides and proteins", *J. Controlled Release* 52, 1-16 (1998), the disclosure of which is incorporated herein by reference.

Generally, inhibitory agents can be divided into the following classes:

15 Inhibitors that are not based on amino acids, such as P-aminobenzamidine, FK-448, camostat mesylate, sodium glycocholate;

Amino acids and modified amino acids, such as aminoboronic acid derivatives and n-acetylcysteine;

20 Peptides and modified peptides, such as bacitracin, phosphinic acid dipeptide derivatives, pepstatin, antipain, leupeptin, chymostatin, elastatin, bestatin, hosphoramindon, puromycin, cytochalasin potatocarboxy peptidase inhibitor, and amastatin;

Polypeptide protease inhibitors, such as aprotinin (bovine pancreatic trypsin inhibitor), Bowman-Birk inhibitor and soybean trypsin inhibitor, chicken egg white trypsin inhibitor, chicken ovoidinhibitor, and human pancreatic trypsin inhibitor;

25 Complexing agents, such as EDTA, EGTA, 1,10- phenanthroline and hydroxychinoline; and

Mucoadhesive polymers and polymer-inhibitor conjugates, such as polyacrylate derivatives, chitosan, cellulotics, chitosan-EDTA, chitosan-EDTA-antipain, polyacrylic acid-bacitracin, carboxymethyl cellulose-pepstatin, polyacrylic acid-Bowman-Birk inhibitor.

1 The choice and levels of the enzyme inhibitor are based on toxicity, specificity of
the proteases and the potency of the inhibition. Enteric coated compositions of the present
invention protect hydrophilic therapeutic peptides or proteins in a restricted area of drug
liberation and absorption, and reduce or even exclude extensive dilution effects. The
5 inhibitor can be suspended or solubilized in the composition preconcentrate, or added to
the aqueous diluent or as a beverage.

Without wishing to be bound by theory, it is believed that an inhibitor can function
solely or in combination as:

10 a competitive inhibitor, by binding at the substrate binding site of the enzyme,
thereby preventing the access to the substrate; examples of inhibitors believed to operate
by this mechanism are antipain, elastatinal and the Bowman Birk inhibitor;

 a non-competitive inhibitor which can be simultaneously bound to the enzyme site
along with the substrate, as their binding sites are not identical; and/or

15 a complexing agent due to loss in enzymatic activity caused by deprivation of
essential metal ions out of the enzyme structure.

5.2 Water-Free Preconcentrates

 In a particular embodiment, the preconcentrate absorption enhancing composition--
i.e., the composition before dispersion in an aqueous medium-- is free of water. Water-
free compositions are preferred to increase the physical and/or chemical stability of the
20 composition or of individual components thereof, allowing for longer storage. In addition,
water-free compositions offer advantages in processing, such as, for example, ease in
encapsulation.

6. Other Additives

25 Other additives conventionally used in pharmaceutical compositions can be
included, and these additives are well known in the art. Such additives include
detackifiers, anti-foaming agents, buffering agents, antioxidants, preservatives, chelating
agents, viscomodulators, tonicifiers, flavorants, colorants odorants, opacifiers, suspending
agents, binders, fillers, plasticizers, lubricants, and mixtures thereof. The amounts of such
additives can be readily determined by one skilled in the art, according to the particular
30 properties desired.

 An acid or a base may be added to the composition to facilitate processing, or to
prevent degradation of the hydrophilic therapeutic agent. Examples of pharmaceutically

1 acceptable bases include amino acids, amino acid esters, ammonium hydroxide, potassium
hydroxide, sodium hydroxide, sodium hydrogen carbonate, aluminum hydroxide, calcium
carbonate, magnesium hydroxide, magnesium aluminum silicate, synthetic aluminum
silicate, synthetic hydrotalcite, magnesium aluminum hydroxide, diisopropylethylamine,
5 ethanolamine, ethylenediamine, triethanolamine, triethylamine, triisopropanolamine, and
the like. Also suitable are bases which are salts of a pharmaceutically acceptable acid,
such as acetic acid, acrylic acid, adipic acid, alginic acid, alkanesulfonic acid, amino acids,
ascorbic acid, benzoic acid, boric acid, butyric acid, carbonic acid, citric acid, fatty acids,
formic acid, fumaric acid, gluconic acid, hydroquinosulfonic acid, isoascorbic acid, lactic
10 acid, maleic acid, oxalic acid, para-bromophenylsulfonic acid, propionic acid, p-
toluenesulfonic acid, salicylic acid, stearic acid, succinic acid, tannic acid, tartaric acid,
thioglycolic acid, toluenesulfonic acid, uric acid, and the like. Salts of polyprotic acids,
such as sodium phosphate, disodium hydrogen phosphate, and sodium dihydrogen
phosphate can also be used. When the base is a salt, the cation can be any convenient and
15 pharmaceutically acceptable cation, such as ammonium, alkali metals, alkaline earth
metals, and the like. Preferred cations include sodium, potassium, lithium, magnesium,
calcium and ammonium.

Suitable acids are pharmaceutically acceptable organic or inorganic acids.
Examples of suitable inorganic acids include hydrochloric acid, hydrobromic acid,
20 hydriodic acid, sulfuric acid, nitric acid, boric acid, phosphoric acid, and the like.
Examples of suitable organic acids include acetic acid, acrylic acid, adipic acid, alginic
acid, alkanesulfonic acid, amino acids, ascorbic acid, benzoic acid, boric acid, butyric
acid, carbonic acid, citric acid, fatty acids, formic acid, fumaric acid, gluconic acid,
hydroquinosulfonic acid, isoascorbic acid, lactic acid, maleic acid, methanesulfonic acid,
25 oxalic acid, para-bromophenylsulfonic acid, propionic acid, p-toluenesulfonic acid,
salicylic acid, stearic acid, succinic acid, tannic acid, tartaric acid, thioglycolic acid,
toluenesulfonic acid, uric acid and the like.

Although a wide variety of absorption enhancing components, solubilizers and
additives can be used in the pharmaceutical systems of the present invention, in one
30 embodiment, it is preferred that the composition be water-free in the preconcentrate form.
In another embodiment, it is preferred that the composition be free of propylene glycol
diesters. In another embodiment, it is preferred that the composition be free of cholesterol.

1 Of course, combinations of these preferred embodiments are also within the scope of the invention, so that the composition may, for example, be free of several or all of water, propylene glycol diesters and cholesterol.

7. Dosage Forms

5 The pharmaceutical compositions of the present invention can be formulated as a preconcentrate in a liquid, semi-solid, or solid form, or as an aqueous or organic diluted preconcentrate. In the diluted form, the diluent can be water, an aqueous solution, a buffer, an organic solvent, a beverage, a juice, or mixtures thereof. If desired, the diluent can include components soluble therein, such as a hydrophilic therapeutic agent, an
10 enzyme inhibitor, solubilizers, additives, and the like.

The compositions can be processed according to conventional processes known to those skilled in the art, such as lyophilization, encapsulation, compression, melting, extrusion, balling, drying, chilling, molding, spraying, spray congealing, coating, comminution, mixing, homogenization, sonication, cryopelletization, spheronization, and
15 granulation, to produce the desired dosage form.

The dosage form is not particularly limited. Thus, compositions of the present invention can be formulated as pills, capsules, caplets, tablets, granules, pellets, beads or powders. Granules, pellets, beads and powders can, of course, be further processed to form pills, capsules, caplets or tablets.

20 The dosage form can be designed for immediate release, controlled release, extended release, delayed release or targeted delayed release. The definitions of these terms are known to those skilled in the art. Furthermore, the dosage form release profile can be effected by a polymeric matrix composition, a coated matrix composition, a multiparticulate composition, a coated multiparticulate composition, an ion-exchange
25 resin-based composition, an osmosis-based composition, or a biodegradable polymeric composition. Without wishing to be bound by theory, it is believed that the release may be effected through favorable diffusion, dissolution, erosion, ion-exchange, osmosis or combinations thereof.

30 When formulated as a capsule, the capsule can be a hard or soft gelatin capsule, a starch capsule, or a cellulosic capsule. Such dosage forms can further be coated with, for example, a seal coating, an enteric coating, an extended release coating, or a targeted delayed release coating.

1 The term "extended release coating" as used herein means a coating designed to
effect the delivery of a hydrophilic therapeutic agent, an enzyme inhibitor, or the carrier,
over an extended period of time. Preferably, the extended release coating is a pH-
independent coating formed of, for example, ethyl cellulose, hydroxypropyl cellulose,
5 methylcellulose, hydroxymethyl cellulose, hydroxyethyl cellulose, acrylic esters, or
sodium carboxymethyl cellulose. Various extended release dosage forms can be readily
designed by one skilled in art to achieve delivery of a hydrophilic therapeutic agent, an
absorption enhancing carrier or an enzyme inhibitor to both the small and large intestines,
to only the small intestine, or to only the large intestine, depending upon the choice of
10 coating materials and/or coating thickness.

Dosage forms of the compositions of the present invention can also be formulated
as enteric coated delayed release oral dosage forms, *i.e.*, as an oral dosage form of a
pharmaceutical composition as described herein which utilizes an enteric coating to effect
release of a hydrophilic therapeutic agent, enzyme inhibitor and/or absorption enhancing
15 carrier in the lower gastrointestinal tract. The enteric coated dosage form may be a
compressed or molded or extruded tablet/mold (coated or uncoated) containing granules,
pellets, beads or particles of the hydrophilic therapeutic agent, enzyme inhibitor and/or
absorption enhancing carrier, which are themselves coated or uncoated. The enteric coated
oral dosage form may also be a capsule (coated or uncoated) containing pellets, beads or
20 granules of the hydrophilic therapeutic agent, enzyme inhibitor and/or absorption
enhancing carrier which are themselves coated or uncoated.

The term "enteric coating" as used herein relates to a mixture of pharmaceutically
acceptable excipients which is applied to, combined with, mixed with or otherwise added
to the hydrophilic therapeutic agent, enzyme inhibitor and/or absorption enhancing carrier.
25 The coating may be applied to a compressed or molded or extruded tablet, a gelatin
capsule, and/or pellets, beads, granules or particles of the hydrophilic therapeutic agent,
enzyme inhibitor and/or absorption enhancing carrier. The coating may be applied through
an aqueous dispersion or after dissolving in appropriate solvent. Additional additives and
their levels, and selection of a primary coating material or materials will depend on the
30 following properties:

1. resistance to dissolution and disintegration in the stomach;

- 1 2. impermeability to gastric fluids and drug/carrier/enzyme while in the stomach;
3. ability to dissolve or disintegrate rapidly at the target intestine site;
4. physical and chemical stability during storage;
- 5 5. non-toxicity;
6. easy application as a coating (substrate friendly); and
7. economical practicality.

 The term "delayed release" as used herein refers to the delivery of the hydrophilic therapeutic agent, an enzyme inhibitor, and/or the absorption enhancing carrier, which is
10 effected by formulating the composition so that the release can be accomplished at some generally predictable location in the lower intestinal tract more distal to that which would have been accomplished if there had been no delayed release alterations. The preferred method for delay of release is coating. Coating prevents exposure of the hydrophilic therapeutic agent, enzyme inhibitor and/or absorption enhancing carrier to the epithelial
15 and mucosal tissue of the buccal cavity, pharynx, esophagus, and stomach, and to the enzymes associated with these tissues. This helps to protect the hydrophilic therapeutic agent, enzyme inhibitor and/or absorption enhancing carrier and the tissues from any adverse event prior to the delivery at the desired site of absorption. Furthermore, coated compositions of the present invention allow balancing enhancement effectiveness, active
20 protection, and safety liability through coating controlled dilution of the hydrophilic therapeutic agent, enzyme inhibitor and/or absorption enhancing carrier upon administration through delayed release or sustained release. Multiple enteric coatings targeted to release hydrophilic therapeutic agent, enzyme inhibitor and/or absorption enhancing carrier at various regions in the lower gastrointestinal tract would enable even
25 more effective and sustained improved delivery throughout the lower gastrointestinal tract.

 Any coatings should be applied to a sufficient thickness such that the entire coating does not dissolve in the gastrointestinal fluids at pH below about 5, but does dissolve at pH about 5 and above. It is expected that any anionic polymer exhibiting a pH-dependent
30 solubility profile can be used as an enteric coating in the practice of the present invention to achieve delivery of the hydrophilic therapeutic agent, enzyme inhibitor and/or absorption enhancing carrier to the lower gastrointestinal tract. The coating chosen should

1 be compatible with the hydrophilic therapeutic agent and the other selected components.
The preferred polymers for use in the present invention are anionic carboxylic polymers.
The more preferred polymers and compatible mixtures thereof, and some of their
properties, include, but are not limited to:

5 Shellac, also called purified lac, a refined product obtained from the resinous
secretion of an insect. This coating dissolves in media of pH >7.

Acrylic polymers (preferred). The performance of acrylic polymers (primarily
their solubility in biological fluids) can vary based on the degree and type of substitution.
Examples of suitable acrylic polymers include methacrylic acid copolymers and ammonio
10 methacrylate copolymers. The Eudragit series E, L, S, RL, RS and NE (Rohm Pharma)
are available as solubilized in organic solvent, aqueous dispersion, or dry powders. The
Eudragit series RL, NE, and RS are insoluble in the gastrointestinal tract but are
permeable and are used primarily for extended release. The Eudragit series E dissolve in
the stomach. The Eudragit series L, L-30D and S are insoluble in stomach and dissolve in
15 the intestine.

Cellulose Derivatives (also preferred). Examples of suitable cellulose derivatives
are:

ethyl cellulose;

20 reaction mixtures of partial acetate esters of cellulose with phthalic
anhydride. The performance can vary based on the degree and type of substitution.
Cellulose acetate phthalate (CAP) dissolves in pH > 6. Aquateric (FMC) is an
aqueous based system and is a spray dried CAP pseudolatex with particles < 1µm.
Other components in Aquateric can include pluronics, Tweens, and acetylated
monoglycerides;

25 cellulose acetate trimellitate (Eastman);

methylcellulose (Pharmacoat, Methocel);

hydroxypropyl methyl cellulose phthalate (HPMCP). The performance can
vary based on the degree and type of substitution. HP-50, HP-55, HP-55S, HP-
55F grades are suitable;

30 hydroxypropyl methyl cellulose succinate (HPMCS; AQOAT (Shin Etsu)).

The performance can vary based on the degree and type of substitution. Suitable
grades include AS-LG (LF), which dissolves at pH 5, AS-MG (MF), which dissolves at

1 pH 5.5, and AS-HG (HF), which dissolves at higher pH. These polymers are offered as granules, or as fine powders for aqueous dispersions;

Poly Vinyl Acetate Phthalate (PVAP). PVAP dissolves in pH >5, and it is much less permeable to water vapor and gastric fluids; and

5 Cotteric (by Colorcon).

Combinations of the above materials can also be used.

The coating can, and usually does, contain a plasticizer and possibly other coating excipients such as colorants, talc, and/or magnesium stearate, which are well known in the art. Suitable plasticizers include: triethyl citrate (Citroflex 2), triacetin (glyceryl triacetate), acetyl triethyl citrate (Citroflex A2), Carbowax 400 (polyethylene glycol 400), diethyl phthalate, tributyl citrate, acetylated monoglycerides, glycerol, fatty acid esters, propylene glycol, and dibutyl phthalate. In particular, anionic carboxylic acrylic polymers usually will contain 10-25% by weight of a plasticizer, especially dibutyl phthalate, polyethylene glycol, triethyl citrate and triacetin. Conventional coating techniques such as spray or pan coating are employed to apply coatings. The coating thickness must be sufficient to ensure that the oral dosage form remains intact until the desired site of topical delivery in the lower intestinal tract is reached.

Colorants, detackifiers, surfactants, antifoaming agents, lubricants, stabilizers such as hydroxy propyl cellulose, acid/base may be added to the coatings besides plasticizers to solubilize or disperse the coating material, and to improve coating performance and the coated product.

A particularly suitable methacrylic copolymer is Eudragit L.RTM, particularly L-30D.RTM and Eudragit 100-55.RTM, manufactured by Rohm Pharma, Germany. In Eudragit L-30 D.RTM, the ratio of free carboxyl groups to ester groups is approximately 1:1. Further, the copolymer is known to be insoluble in gastrointestinal fluids having pH below 5.5, generally 1.5-5.5, *i.e.*, the pH generally present in the fluid of the upper gastrointestinal tract, but readily soluble or partially soluble at pH above 5.5, *i.e.*, the pH generally present in the fluid of lower gastrointestinal tract.

Another methacrylic acid polymer which is suitable for use in coating the oral dosage forms and/or the granules, particles, pellets or beads of absorption enhancing carrier and/or hydrophilic therapeutic agent which can be employed in the compositions and methods described herein, either alone or in combination with other coatings, is

1 Eudragit S.RTM, manufactured by Rohm Pharma, Germany. Eudragit S.RTM. differs
from Eudragit L-30-D.RTM only insofar as the ratio of free carboxyl groups to ester
groups is approximately 1:2. Eudragit S.RTM is insoluble at pH below 5.5, but unlike
5 Eudragit L-30-D.RTM, is poorly soluble in gastrointestinal fluids having pH of 5.5-7.0,
such as is present in the small intestine media. This copolymer is soluble at pH 7.0 and
above, *i.e.*, the pH generally found in the colon. Eudragit S.RTM can be used alone as a
coating to provide delivery of the hydrophilic therapeutic agent and/or the absorption
enhancing carrier beginning at the large intestine via a delayed release mechanism. In
10 addition, Eudragit S.RTM, being poorly soluble in intestinal fluids below pH 7, can be
used in combination with Eudragit L-30-D.RTM, soluble in intestinal fluids above pH 5.5,
in order to effect a delayed release composition which can be formulated to deliver the
hydrophilic therapeutic agent and/or absorption enhancing carrier to various segments of
the intestinal tract. The more Eudragit L-30 D.RTM used the more proximal release and
15 delivery begins, and the more Eudragit S.RTM used, the more distal release and delivery
begins Both Eudragit L-30-D-RTM and Eudragit S.RTM can be substituted with other
pharmaceutically acceptable polymers with similar pH solubility characteristics.

Preferred materials include shellac, acrylic polymers, cellulosic derivatives,
polyvinyl acetate phthalate, and mixtures thereof. More preferred materials include
20 Eudragit series E, L, S, RL, RS, NE, L.RTM, L300.RTM, S.RTM, 100-55RTM, cellulose
acetate phthalate, Aquateric, cellulose acetate trimellitate, ethyl cellulose, hydroxypropyl
methyl cellulose phthalate, hydroxypropyl methyl cellulose succinate, poly vinyl acetate
phthalate, and Cotteric. Most preferred materials include Eudragit series L.RTM,
L300.RTM, S.RTM, L100-55RTM, cellulose acetate phthalate, Aquateric, ethyl cellulose,
25 hydroxypropyl methyl cellulose phthalate, hydroxypropyl methyl cellulose succinate, poly
vinyl acetate phthalate, and Cotteric.

Extended release and targeted delayed release coatings for dosage forms of the
compositions of the present invention are described more completely in U.S. Patent Nos.
5,622,721 and 5,686,105, the disclosures of which are incorporated herein by reference in
30 their entirety.

Although formulations specifically suited to oral administration are presently
preferred, the compositions of the present invention can also be formulated for topical,
transdermal, buccal, nasal, ocular, pulmonary, vaginal, rectal, transmucosal or parenteral

1 administration, as well as for oral administration. Thus, the dosage form can be a solution, suspension, emulsion, cream, ointment, lotion, suppository, spray, aerosol, paste, gel, drops, douche, ovule, wafer, troche, cachet, syrup, elixer, or other dosage form, as desired. If formulated as a suspension, the composition can further be processed in capsule form.

5 When formulated as a sprayable solution or dispersion, a dosage form of a multiparticulate carrier coated onto a substrate with the pharmaceutical compositions described herein can be used. The substrate can be a granule, a particle, a pellet or a bead, for example, and formed of a therapeutic agent or a pharmaceutically acceptable material. The multiparticulate carrier can be enteric coated with a pharmaceutically acceptable
10 material, such as the targeted delayed enteric coatings and extended release coatings of U.S. Patent Nos. 5,622,721 and 5,686,105, described above. The multiparticulate carrier, coated or uncoated, can further be processed by encapsulation, and the resultant capsule can also be coated, if desired.

15 Other additives may be included, such as are well-known in the art, to impart the desired consistency and other properties to the formulation.

8. Specific Embodiments

In all of the embodiments described herein, the components of the absorption enhancing carrier are present in amounts such that upon mixing with an aqueous diluent, either *in vitro* or *in vivo*, the carrier forms an aqueous dispersion having a small average
20 particle size. In a preferred embodiment, the dispersion is also substantially optically clear. In these preferred embodiments, the optical clarity or particle size in an aqueous dispersion defines the preferred relative concentrations of the components as described above, but does not restrict the dosage form of the compositions to an aqueous dispersion, nor does it limit the compositions of the invention to optically clear dosage forms. Thus,
25 the preferred concentrations of the components are determined by the particle size and/or optical clarity of a dispersion formed by the composition preconcentrate and an aqueous diluent in a dilution of about 10 to about 250-fold, as a preliminary matter. Once the appropriate concentrations are determined, the pharmaceutical compositions can be formulated as described in the preceding section, without regard to the optical clarity of
30 the ultimate formulation in these preferred embodiments.

In one particular embodiment, the present invention provides a triglyceride-free pharmaceutical system including an absorption enhancing composition including at least

1 two surfactants, at least one of which is hydrophilic. The surfactants are present in
amounts such that the carrier forms an aqueous dispersion having a small average particle
size. In one preferred aspect of this embodiment, the average particle size is less than
about 200 nm upon mixing with an aqueous diluent. In another preferred aspect of this
5 embodiment, the aqueous dispersion is substantially optically clear. Preferably, the
composition includes a mixture of hydrophilic and hydrophobic surfactants.

The pharmaceutical system also includes a hydrophilic therapeutic agent. The
hydrophilic therapeutic agent can be solubilized, suspended, or partially solubilized and
suspended, in the dosage form of the absorption enhancing composition. Alternatively,
10 the hydrophilic therapeutic agent can be provided in a separate dosage form, so that in use,
the dosage form of the absorption-enhancing composition and the dosage form of the
hydrophilic therapeutic agent are co-administered. In the latter aspect, the pharmaceutical
system can make use of any dosage form of a hydrophilic therapeutic agent, such as
commercially available dosage forms. The pharmaceutical system is particularly
15 advantageous, since the absorption enhancing pharmaceutical composition improves the
functionality of even conventionally formulated hydrophilic therapeutic agents.
Preferably, the dosage form of the absorption enhancing pharmaceutical composition, with
or without a hydrophilic therapeutic agent, is an orally administrable dosage form. If the
hydrophilic therapeutic agent is provided in a separate dosage form, it is preferred that the
20 dosage form of the hydrophilic therapeutic agent also be an orally administrable dosage
form.

In another aspect, the present invention provides a method of improving the
bioabsorption of a hydrophilic therapeutic agent administered to a patient, such as an
animal, preferably a mammal, and more preferably a human. The method includes the
25 steps of providing a dosage form of an absorption enhancing composition, providing a
hydrophilic therapeutic agent, and administering the dosage form of the absorption
enhancing composition and the hydrophilic therapeutic agent to the patient. The dosage
form of the absorption enhancing composition can be any of the dosage forms described
above. Similarly, the hydrophilic therapeutic agent can be provided solubilized,
30 suspended, or partially solubilized and suspended, in the dosage form of the absorption
enhancing composition, or can be provided in a separate dosage form. It is surprisingly
found that by administering a hydrophilic therapeutic agent contained within, or co-

1 administered with, a dosage form of an absorption enhancing composition of the present
invention, the rate and/or extent, or the consistency in the rate and/or extent of
bioabsorption of the hydrophilic therapeutic agent is unexpectedly enhanced. Thus, in one
5 aspect the method increases the rate and/or extent of bioabsorption. In another aspect, the
method increases the consistency of the rate and/or extent of bioabsorption. In this latter
aspect, the rate and/or extent of bioabsorption can be greater than or less than the rate that
would be seen using conventional methods.

In other embodiments, the absorption enhancing compositions in the
pharmaceutical systems and methods of the present invention can be free of water in the
10 preconcentrate form, free of propylene glycol diesters, and/or free of cholesterol. All of
the compositions, however, are substantially free of triglycerides.

9. Preparation of Pharmaceutical Compositions

The pharmaceutical compositions of the present invention can be prepared by
conventional methods well known to those skilled in the art. Of course, the specific
15 method of preparation will depend upon the ultimate dosage form. For dosage forms
substantially free of water, *i.e.*, when the composition is provided in a pre-concentrate
form for later dispersion *in vitro* or *in vivo* in an aqueous system, the composition is
prepared by simple mixing of the components to form a pre-concentrate. The mixing
process can be aided by gentle heating, if desired. For compositions in the form of an
20 aqueous dispersion, the pre-concentrate form is prepared, then the appropriate amount of
an aqueous diluent is added. Upon gentle mixing, an aqueous dispersion is formed. If any
water-soluble enzyme inhibitors or additives are included, these may be added first as part
of the pre-concentrate, or added later to the aqueous dispersion, as desired. The dosage
forms of the absorption enhancing compositions can be prepared with or without a
25 hydrophilic therapeutic agent, and a hydrophilic therapeutic agent may also be provided in
the diluent, if desired, or in a separate dosage form.

As previously noted, in another embodiment, the present invention includes a
multi-phase dispersion containing a hydrophilic therapeutic agent. In this embodiment, a
dosage form includes a hydrophilic therapeutic agent and an absorption enhancing
30 composition which forms an aqueous dispersion upon mixing with an aqueous diluent, and
an additional amount of non-solubilized hydrophilic therapeutic agent. Thus, the term
"multi-phase" as used herein to describe these compositions of the present invention

1 means a composition which when mixed with an aqueous diluent forms an aqueous phase
and a particulate dispersion phase. The composition components are as described above,
and can include any of the surfactants, therapeutic agents, solubilizers and additives
previously described. An additional amount of hydrophilic therapeutic agent is included
5 in the composition. This additional amount is not solubilized in the composition, and
upon mixing with an aqueous system is present as a separate dispersion phase. The
additional amount is optionally a milled, micronized, or precipitated form. Thus, upon
dilution, the composition contains two phases: an aqueous dispersion phase containing a
first, solubilized amount of the hydrophilic therapeutic agent, and a second, non-
10 solubilized amount of the hydrophilic therapeutic agent dispersed therein.

One skilled in the art will appreciate that a hydrophilic therapeutic agent may have
a greater solubility in the pre-concentrate composition than in the aqueous dispersion, so
that meta-stable, supersaturated solutions having apparent optical clarity but containing a
hydrophilic therapeutic agent in an amount in excess of its solubility in the aqueous
15 dispersion can be formed. Such super-saturated solutions, whether characterized as
aqueous dispersions (as initially formed) or as multi-phase solutions (as would be
expected if the meta-stable state breaks down), are also within the scope of the present
invention.

The multi-phase formulation can be prepared by the methods described above. A
20 pre-concentrate is prepared by simple mixing of the components, with the aid of gentle
heating, if desired. It is convenient to consider the hydrophilic therapeutic agent as
divided into two portions, a first solubilizable portion which will be solubilized and
contained within the clear aqueous dispersion upon dilution, and a second non-
solubilizable portion which will remain non-solubilized. When the ultimate dosage form
25 is non-aqueous, the first and second portions of the hydrophilic therapeutic agent are both
included in the pre-concentrate mixture. When the ultimate dosage form is aqueous, the
composition can be prepared in the same manner, and upon dilution in an aqueous system,
the composition will form the two phases as described above, with the second non-
solubilizable portion of the hydrophilic therapeutic agent dispersed or suspended in the
30 aqueous system, and the first solubilizable portion of the hydrophilic therapeutic agent
solubilized in the composition. Alternatively, when the ultimate dosage form is aqueous,
the pre-concentrate can be prepared including only the first, solubilizable portion of the

1 hydrophilic therapeutic agent. This pre-concentrate can then be diluted in an aqueous system to form an aqueous dispersion, to which is then added the second, non-solubilizable portion of the hydrophilic therapeutic agent to form a multi-phase aqueous composition.

5 **B. Characteristics of the Pharmaceutical Compositions and Methods**

The dispersions formed upon dilution of the pharmaceutical compositions of the present invention are believed to have some or all of the following characteristics:

Rapid formation: upon dilution with an aqueous diluent, the composition forms an aqueous dispersion of small particle size very rapidly; *i.e.*, the dispersion appears to form
10 instantaneously.

Optical clarity: in a preferred embodiment, the dispersions are essentially optically clear to the naked eye, and show no readily observable signs of heterogeneity, such as turbidity or cloudiness. More quantitatively, dispersions of the pharmaceutical compositions of the present invention have absorbances (400 nm) of less than about 0.3,
15 and generally less than about 0.1, at 100X dilution in this preferred embodiment. In the multi-phase embodiment of the compositions described herein, it should be appreciated that the optical clarity of the aqueous phase will be obscured by the dispersed particulate non-solubilized hydrophilic therapeutic agent.

Small Particle Size: dispersions of the pharmaceutical compositions of the present
20 invention contain particles of very small size. Preferably, the average size is less than about 200 nm, more preferably less than about 100 nm, still more preferably less than about 50 nm and most preferably less than about 20 nm. The small particle size promotes efficient transport of the absorption enhancing components to the absorption site.

Robustness to dilution: the dispersions are surprisingly stable to dilution in
25 aqueous solution. The absorption enhancing composition remains solubilized for at least the period of time relevant for absorption.

The unique pharmaceutical compositions and methods of the present invention present a number of significant and unexpected advantages, including:

Efficient transport: The particle sizes in the aqueous dispersions of the present
30 invention are much smaller than the larger particles characteristic of vesicular, emulsion or microemulsion phases. This reduced particle size enables more efficient transport through the intestinal aqueous boundary layer, and through the absorptive brush border membrane.

1 More efficient transport to absorptive sites leads to improved and more consistent
absorption of therapeutic agents. Moreover, the present invention allows absorption
enhancing components to be delivered to the absorption site along with the hydrophilic
therapeutic agent, to further enhance absorption.

5 No dependence on lipolysis: The lack of triglycerides provides pharmaceutical
compositions that are not dependent upon lipolysis, and upon the many poorly
characterized factors which affect the rate and extent of lipolysis, for effective presentation
of a therapeutic agent to an absorptive site. Such factors include the presence of
composition components which may inhibit lipolysis; patient conditions which limit
10 production of lipase, such as pancreatic lipase secretory diseases; and dependence of
lipolysis on stomach pH, endogenous calcium concentration, and presence of co-lipase or
other digestion enzymes. The lack of lipolysis dependence further provides transport
which is less prone to suffer from any lag time between administration and absorption
caused by the lipolysis process, enabling a more rapid onset of therapeutic action and
15 better bioperformance characteristics. In addition, pharmaceutical compositions of the
present invention can make use of hydrophilic surfactants which might otherwise be
avoided or limited due to their potential lipolysis inhibiting effects.

Non-dependence on bile and meal fat contents: Due to the higher solubilization
potential over bile salt micelles, the present compositions are less dependent on
20 endogenous bile and bile related patient disease states, and meal fat contents. These
advantages overcome meal-dependent absorption problems caused by poor patient
compliance with meal-dosage restrictions.

Faster dissolution and release: Due to the robustness of compositions of the
present invention to dilution, the components of the absorption enhancing composition
25 remain solubilized and thus do not suffer problems of precipitation or agglomeration in the
time frame relevant for absorption. In addition, the therapeutic agent is presented in small
particle carriers, and is not limited in dilution rate by entrapment in emulsion carriers.

Consistent performance: Aqueous dispersions of the present invention are
thermodynamically stable for the time period relevant for absorption, and can be more
30 predictably reproduced, thereby limiting variability in bioavailability-- a particularly
important advantage for therapeutic agents with a narrow therapeutic index.

1 Less prone to gastric emptying delays: Unlike conventional triglyceride-containing formulations, the present compositions are less prone to gastric emptying delays, resulting in faster absorption. Further, the particles in dispersions of the present invention are less prone to unwanted retention in the gastro-intestinal tract.

5 Better targeted absorption: The compositions of the present invention can be targeted to specific absorption sites through targeted enteric coating or extended release coating, thus minimizing dilution effects and optimizing activity of the hydrophilic therapeutic agent.

10 These and other advantages of the present invention, as well as aspects of preferred embodiments, are illustrated more fully in the Examples which follow.

EXAMPLES

Example 1: Preparation of Compositions

15 A simple pre-concentrate is prepared as follows. Predetermined weighed amounts of the components are stirred together to form a homogeneous mixture. For combinations that are poorly miscible, the mixture can be gently heated to aid in formation of the homogeneous mixture. If the composition is to include a hydrophilic therapeutic agent, the chosen hydrophilic therapeutic agent in a predetermined amount can be added and stirred until solubilized. Optionally, solubilizers or additives are included by simple mixing.

20 To form an aqueous dispersion of the pre-concentrate, a predetermined amount of an aqueous medium such as purified water, buffer solution, or aqueous simulated physiological solution, is added to the pre-concentrate, and the resultant mixture is stirred to form an aqueous dispersion. Of course, when the dosage form is an aqueous dispersion, any of the components that are readily water-soluble, including the hydrophilic therapeutic agent, can be provided in the diluent solution.

Examples 2-3: Membrane Transport and In Situ Absorption Studies

30 Compositions of the present invention were tested by two different methods, to demonstrate the improved delivery of hydrophilic therapeutic agents incorporated within or co-administered with compositions including an absorption enhancing carrier. In one set of studies, the relative permeability of membranes to hydrophilic therapeutic agents was compared with and without the presence of an absorption enhancing carrier ("Membrane Transport Study"). In a second set of studies, the relative absorption of a

1 hydrophilic therapeutic agent in rat mesenteric veins was compared with and without the presence of an absorption enhancing carrier ("Relative Absorption Study").

For Examples 2 and 3, the following compositions were used, as described in the following sections. For each sample composition, absorbance measurements were made at
 5 400 nm, using a UV-Visible spectrophotometer, at a dilution of 25X with distilled water. In addition, particle size measurements were made using a particle size analyzer, and the volume-weighted average particle sizes are shown along with sample characteristics in Table 19. The standard deviation of the particle size distribution is shown in parentheses next to the average particle size.

10

Table 19: Sample Compositions and Characterizations

Sample No.	Components	Amounts (g)	Absorbance	Size (nm)
15	Cremophor RH40	0.50	0.016	14.1 (2.5)
	Labrasol	0.20		
	Capmul MCM	0.30		
2	Tween 20	0.67	0.039	12.3 (2.1)
	Lauroglycol	0.16		
	Glycofurol	0.17		
20	Cremophor RH40	0.30	0.004	9.0 (1.6)
	Arlacel 186	0.20		
	Sodium taurocholate	0.18		
	Propylene glycol	0.32		
4	Cremophor RH40	0.54	0.167	17.6 (3.8)
	Span 80	0.26		
	PEG 400	0.20		
25	Cremophor RH40	0.06	2.497	2610 (564)
	Arlacel 186	0.62		
	Propylene glycol	0.32		
6	Cremophor RH40	0.49	-0.010	13.8 (2.3)
	Propylene glycol	0.51		

Note that Sample Nos. 5 and 6 are control samples. Sample No. 5 was observed to form a
 30 cloudy emulsion upon mixing with an aqueous diluent, and fails to show a small particle size. Sample No. 6 contains only one surfactant.

Example 2: Membrane Transport Studies

Experimental

The membrane transport studies of model hydrophobic therapeutic agents were carried out across the CACO-2 monolayers. The Caco-2 cell line, originating from a human carcinoma, was obtained from the American Type Culture collection and was grown to form confluent monolayers as described elsewhere (I.J. Hidalgo, T.J. Raub, and R.T. Borchardt, *Gastroenterology* 96:736-749 (1989)). All cells used in this study were between 50 and 60 passage number. The cells were measured for confluency by measurement of TEER (trans epithelial electrical resistance) values. Monolayers exhibiting similar TEER values consistent with "non leakiness" were used to study and compare transport characteristics of model actives in plain buffer and in presence of diluted compositions of the present invention.

In duplicate, all transport experiments were performed for 2 hrs at 37°C in pH 7.35 HBSS containing 25 mM glucose and 10 mM Hepes buffer. Prior to the experiments, the culture medium of Transwell grown Caco-2 cell monolayers was replaced with transport medium equilibrated at 37°C, and the cell monolayer was subsequently equilibrated before undertaking transport studies.

Two hydrophilic therapeutic agents, foscarnet and PEG-4000, were tested. Foscarnet sodium is a low molecular weight (192 g/mol) hydrophilic antiviral that inhibits viral DNA polymerase and reverse transcriptase. It is very soluble in water, shows pK_as of 0.5, 3.4 and 7.3, and has a log of octanol/water partition coefficient of -2.0 (at pH 7.4). Apical to basal transport of the model hydrophilic actives foscarnet sodium and polyethylene glycol 4000 (PEG-4000) was studied by spiking the transport medium, a plain buffer or a 100X buffer dilution of the composition under investigation, with one micro curie of radio-labeled active on the apical side. Basolateral appearance of the active was monitored by taking appropriate samples and assaying for radioactivity. Permeability coefficients (P) were calculated using the following equation:

$$P = (dQ/dt) / (AC_0)$$

where P is the permeability coefficient, dQ/dt is the flux across the monolayer (DPM/min), A is the surface area of the membrane, and C₀ is the initial concentration of the active.

1 Results:

Table 20 shows the apical to basal membrane transport of a conventional hydrophilic active, foscarnet sodium in Sample Nos. 1-3, and a model macromolecular hydrophilic active, PEG-4000, in Sample No. 4, compared to a plain buffer solution

5

Table 20: Permeability for a Conventional Hydrophilic Active

Sample No.	Active	$(P_{\text{sample}}^{\text{a}}/P_{\text{buffer}}^{\text{b}}) \times 100$
1	foscarnet sodium	1007
2	foscarnet sodium	195
3	foscarnet sodium	160
4	PEG-4000	188

^a permeability in the presence of 100X diluted composition

^b permeability in the presence of buffer only

Example 3: Relative Absorption Study

Experimental:

The sample preconcentrate solutions were diluted with standard hypotonic PBS pH 7.4 buffer. Two hydrophilic therapeutic agents were studied: a conventional hydrophilic active, acyclovir, and the model macromolecular active, PEG-4000.

20

For the acyclovir compositions, the compositions after dilution were spiked with 0.1 mM cold acyclovir, then 0.5 microliter of tritiated acyclovir (specific activity 18.9 Ci/mmol) was added to the diluted composition. The osmotic pressure was adjusted with sodium chloride as needed. The resulting aqueous isotonic dispersions were perfused through rat intestinal segments to assess absorption enhancement in a procedure described below. Appearance of the active was monitored in the mesenteric blood along with disappearance on the luminal side.

25

Surprisingly, appreciable levels of the conventional hydrophilic active were noted in the blood compared to control perfusion studies conducted with plain buffer and with the control samples 5 (milky emulsion-forming preconcentrate) and 6 (plain one surfactant concentrate), showing that the compositions of the present invention increased absorption characteristics of very hydrophilic actives.

30

1 For the model macromolecular active, radio labeled PEG-4000 was added to a
diluted (50X) pre-concentrate, and the resulting clear aqueous isotonic dispersion was
perfused through a rat intestinal segment to assess absorption enhancement in a procedure
described below. Appearance of the active was monitored in the mesenteric blood along
5 with disappearance on the luminal side. Surprisingly, as with the acyclovir, appreciable
levels of hydrophilic active were noted in the blood compared to control perfusion studies
conducted with plain buffer, showing the unexpected result that the compositions of the
present invention increased permeability characteristics of very hydrophilic
macromolecular actives.

10 Procedure:

Young adult (275-300 g) male Sprague Dawley rats were used. The procedures
were consistent with those reported by Winne et al., "In vivo studies of mucosal-serosal
transfer in rat jejunum", *Naunyn-Schmeideberg's Arch. Pharmacol.*, 329, 70 (1985).

15 Jugular vein cannulation: the animal was anesthetized using 2% halothane in 98%
oxygen via a halothane vaporizer (Vapomatic, A.M. Bickford, Inc., NY). An opening in
the jugular vein was made with a 21 gauge needle and a jugular cannula consisting of a 4
cm segment of silastic tubing connected to polyethylene tubing was inserted in the jugular
vein and secured with cyanoacrylate glue. For the donor rat, approximately 20 mL of
20 blood was freshly collected in the presence of heparin (1,000 units) and the collected
blood was infused at a rate of 0.2 mL/min through the jugular vein in the experimental rat
to replenish blood sampling.

Intestine cannulation: after the animal was anesthetized, its body temperature was
maintained at 37 °C using a heating pad. A vertical midline incision of approximately 3
cm was made through the skin to expose the small intestine. Approximately 6-10 cm
25 segment of ileum was located. Using electro-cautery, a small incision was made at the
ends of the segment and the luminal contents were flushed with saline maintained at 37
°C. Two 1.5 cm notched pieces of Teflon tubing were inserted into the intestinal lumen at
each incision and tightened using 4-0 silk. A warm isotonic buffer was passed through the
intestine using a 50-mL syringe. These teflon cannula were used to perfuse the drug
30 solution through the isolated intestinal segment using a syringe pump.

Mesenteric vein cannulation: the mesenteric vein draining blood from the resulting
isolated mesenteric cascade venule was then cannulated using a 24 gauge IV catheter and

1 secured in place using 4-0 silk sutures. The cannula was then connected to a polyethylene tubing 25 cm long where the blood was collected in a vial kept under the animal level. Blood samples were collected continuously over 60 to 90 min. The infusion of blood via the jugular vein was initiated to replenish blood loss.

5 **Results:**

I. Conventional Hydrophilic Active (acyclovir)

The experiment was performed twice for each of the test samples and control buffer compositions. For each formulation, the results of the two trials were averaged. The cumulative amount of radioactivity for the duration of the study as a fraction of total radioactivity exposed to the intestinal segment was monitored for each trial to assess absorption. The % relative absorption results for a conventional hydrophilic active (acyclovir) in presence of various diluted example compositions compared to a plain buffer are presented in Table 21. The relative absorption reported in Table 21 is 100 times the ratio of the fraction of the total amount administered in mesenteric blood when perfused with the 25X diluted compositions to the fraction of the total amount administered when perfused with the plain buffer, over the same time period.

Table 21: Relative Absorption of Acyclovir

Sample No.	% Relative Absorption
1	614
2	634
3	704
Control Samples:	
5	171
6	141

Surprisingly, appreciable bioenhancement was observed only for compositions that had at least one hydrophilic surfactant plus a second surfactant, and that formed very small dispersions upon dilution (Sample Nos. 1-3), showing that effective presentation of carrier at the absorption site is very critical. In contrast, compositions that contained the same surfactants but formed larger unstable emulsion upon dilution (Sample No. 5) due to poor choice of concentration, or contained only a single surfactant (Sample No. 6) resulted in only marginal bioenhancement over plain buffer.

II. Macromolecular Hydrophilic Active

The results for a macromolecular hydrophilic active is presented in Table 22. The experiment was performed twice for each composition. The relative absorption shown in the Table is for a 50X dilution

Table 22: Relative Absorption of a Macromolecular Active

Sample No.	% Relative Absorption
3	991

In comparison to negligible absorption of PEG 4000 in presence of plain buffer, the absorption of PEG 4000 in the presence of a composition of the present invention gave surprising high absorption. This demonstrates the improved absorption of macromolecules with compositions of the present invention.

Example 4: Absorption Enhancing Carriers

Typical surfactant ratios consistent with the invention that can be prepared are listed. Additives can be included as discussed herein, and the concentrations can be varied as desired to render the compositions easy to prepare, stable upon storage, bioacceptable and elegant, provided that the concentrations are such that the carrier forms an aqueous dispersion having a small particle size, upon dilution with an aqueous medium. Adequate enzyme inhibitor, bufferants, other additives and organic solubilizers can be included at pharmaceutically acceptable levels. Hydrophilic therapeutic agents can be added at levels convenient for therapeutic effect.

A: Compositions Having At least Two Hydrophilic Surfactants

Sodium taurocholate	0.18g
Cremophor RH 40	0.30g

Sodium chenodeoxycholate	0.30g
Tween 80	0.50g

Sodium Sarcocholate	0.15g
Crovol M-70	0.60g

Sodium lithocholate	0.30g
Labrasol	0.55g

Sodium glycocholate	0.10g
Tween 20	0.50g

68

1	Sodium ursodeoxycholate	0.30
	Incrocas-35	0.50
	Chenodeoxycholic acid	0.25g
	Cremophor RH 40	0.50g
5	Cremophor RH 40	0.60g
	Sodium caprate	0.10g
	Cremophor RH 40	0.50g
	Palmitoyl carnitine	0.20g
10	Solulan C-24	0.60g
	Sodium chenodeoxycholate	0.25g
	Taurocholate	0.20g
	Egg or Soy lecithin	0.09g
	Tween 20	0.30g
	Sodium taurocholate	0.20g
15	Tween 20	0.25g
	Egg lecithin	0.15g
	Chenodeoxycholate	0.18g
	C ₁₈ lysolipid	0.10g
20	Chenodeoxycholate	0.20g
	Oleic acid	0.10g
	Labrasol	0.20g
	Brij 35	0.75g

B: Compositions Having One Hydrophilic and One Hydrophobic Surfactant

25	Cremophor EL-P	0.83g
	Peceol	0.17g
	Cremophor EL-P	0.50g
	Propylene glycol monocaprate	0.20g
30	Cremophor EL-P	0.50g
	Imwitor 375	0.20g
	Cremophor EL-P	0.50g
	Nikkol MGM	0.18g
	Cremophor RH 40	0.50g

69

1	Arlacel 186	0.10g
	Cremophor RH 40	1.53g
	Arlacel 186	0.38
	HPB cyclodextrin	0.18g
5	Cremophor RH 40	0.55g
	Capmul MCM	0.80g
	Cremophor RH 40	0.50g
	Crodamol (ethyl oleate)	0.28g
10	Cremophor RH 40	0.50g
	Labrafil	0.40g
	Cremophor RH 40	0.22g
	Lauroglycol FCC	0.20g
	Cremophor RH40	0.60g
	Glyceryl monolaurate	0.20g
15	Cremophor RH-40	0.43g
	Myvacet 9-45	0.31g
	Cremophor RH-40	0.30g
	Pecceol	0.11g
20	Cremophor RH40	0.50g
	Propyleneglycol monololeate	0.20g
	Cremophor RH40	0.50g
	Softigen 701	0.10g
	Cremophor RH40	0.50g
	Sorbitan monocaprates	0.25g
25	Cremophor RH 60	0.54g
	Span 80	0.26g
	Cremophor RH 40	0.70g
	Volpo 3	0.30g
30	Crodet O40	0.68g
	Plurol Oleique	0.32g
	Crovol M-70	0.61g
	Crovol M-40	0.12g

70

1	Crovol M-70	0.38g
	Labrafil	0.60g
	Crovol M-70	0.65g
	Imwitor 988	0.15g
5	Crovol M-70	0.60g
	Linoleic acid	0.20g
	Emalex C-40	0.50g
	Gelucire 33/01	0.15g
10	Glycerox L	0.73g
	Myvacet 9-45	0.27g
	Incrocas 35	0.65g
	Arlacel 186	0.12g
	Incrocas 35	0.25g
	Gelucire 44/14	0.15g
15	Incrocas 35	0.83g
	Imwitor 988	0.20g
	Incrocas 35	0.31g
	Labrafil	0.11g
20	Labrasol	0.83g
	Lauroglycol	0.17g
	Lauroyl carnitine	0.15g
	Imwitor 312	0.15g
	Incrocas 35	0.50g
	Myvacet 9-45	0.38g
25	Incrocas-35	0.50g
	Span-20	0.15g
	Incrocas 35	0.51g
	Imwitor 988	0.22g
30	Kessco PEG 300DL	0.35g
	Gelucire 50/15	0.50g
	Kessco PEG 1540DO	0.65g
	Span 80	0.12

71

1	Labrasol	0.45g
	Span-20	0.25g
5	Myrj 45	0.50g
	Sorbitan monocaprylate	0.25g
5	Myrj 52	0.50g
	Imwitor 308	0.20g
10	Sucrose monolaurate	0.50g
	Capmul MCM	0.20g
10	Nikkol Decaglyn 1-L	0.55g
	Crovol M-40	0.33g
15	Nikkol Decaglyn 1-0	0.65g
	Capmul MCM	0.25g
15	Nikkol DHC	0.67g
	Nikkol TMGO-5	0.17g
15	Nikkol BPS-30	0.30g
	PEG-6 castor oil	0.15g
20	Tween 20	0.75g
	Drempol 6-1-0	0.15g
20	Tween 20	0.34g
	Lauroglycol FCC	0.11g
25	Tween 20	0.58g
	Plurol Oleique	0.21g
25	Tween 80	0.67g
	Lauroglycol	0.17g
25	Tagat O2	0.50g
	PGMG-03	0.05g
30	Tagat L2	0.68g
	Brij 30	0.32g
30	Poloxamer 188	0.85g
	Labrafil M2125CS	0.15g
30	Poloxamer 108	0.85g
	Capmul GMO-K	0.15g

72

1	Solulan C-24	0.58g
	Lauroglycol FCC	0.21g

C: Two Hydrophilic Surfactants and One Hydrophobic Surfactant

5	Cremophor EL	0.30g
	Labrasol	0.30g
	Capmul MCM	0.40g
	Cremophor RH-40	0.25g
	Labrasol	0.25g
10	Capmul GMO-K	0.11g
	Cremophor RH 40	0.30g
	Tween-20	0.20g
	Nikkol Decaglyn 3-O	0.50g
	Cremophor EL-P	0.45g
	Corvol M-40	0.25g
15	Sodium Docusate	0.15g
	Cremophor RH 40	0.65g
	Arlacel 186	0.15g
	Sodium dodecyl sulfate	0.10g
	Cremophor RH 40	0.50g
20	Peceol	0.20g
	Sodium docusate	0.20g
	Sodium Chenodeoxycholate	0.30g
	Cremophor RH 40	0.40g
	Arlacel 186	0.30g
	Cremophor RH 40	0.41g
25	Sodium taurocholate	0.26g
	Arlacel 186	0.27g
	Cremophor RH 40	0.50g
	Softigen 767	0.22g
	Arlacel 186	0.15 g
30	Cremophor RH 40	0.40g
	Arlacel 186	0.40g
	Tween 20	0.20g

73

1	Cremophor RH 40	0.35g
	Capmul MCM	0.30g
	Sodium chenodeoxycholate	0.30g
5	Kessco PEG 1000MO	0.30g
	Labrasol	0.30g
	Span 20	0.40g
	Polaxamer 188	0.65g
	Peceol	0.15g
	Sodium dodecyl sulfate	0.10g
10	Sodium taurocholate	0.17g
	Tween 20	0.66g
	Arlacel 186	0.17g
	Sodium taurocholate	0.17g
	Kessco PEG 1000MO	0.66g
	Plurol Oleique	0.17g
15	Sodium taurocholate	0.15g
	Tween 80	0.18g
	Arlacel 186	0.18g
	Taurochenodeoxycholate	0.15g
	Tween 20	0.40g
	Arlacel 186	0.15g
20	Chenodeoxycholic acid	0.25g
	Incrocas-35	0.30g
	Span 20	0.20g
	Saurcocholate	0.20g
	Cremophor RH 40	0.40g
	Arlacel 186	0.20g
25	Lithocholate	0.25g
	Incrocas-35	0.40g
	Myvacet 9-45	0.30g
	Tagat L2	0.45g
	Crovol A-40	0.25g
	Sodium docusate	0.15g
30	Tween -20	0.30g
	Arlacel 186	0.20g
	Sodium chenodeoxycholate	0.25g

74

1	Cremophor RH 40	0.40g
	Tween-20	0.25g
	Sodium caprate	0.25g
5	Cremophor RH40	0.40g
	Lauric acid	0.20g
	Incrocas-35	0.30g

D: One Hydrophilic and Two Hydrophobic Surfactants

10	Cremophor RH 40	0.50g
	Labrafil M2125CS	0.27g
	Crovol M-40	0.28g
15	Cremophor RH 40	1.53g
	Arlacel 186	0.38g
	Peceol	0.38g
	HPB beta cyclodextrin	0.38g
15	Cremophor RH 40	0.55g
	Labrafil M2125 CS	0.34g
	Span 80	0.2g
20	Cremophor RH 40	0.50g
	Labrafil M2125 Cs	0.27g
	Crovol M-40	0.28g

E: Two Hydrophilic and Two Hydrophobic Surfactants

25	Polaxamer 108	0.45g
	Span 20	0.25g
	Sodium docusate	0.15g
	Ethyl oleate	0.15g
25	Softigen 767	0.45g
	Imwitor 742	0.25g
	Sodium docusate	0.15g
	Ethyl oleate	0.15g

Example 5: Compositions with Hydrophilic Therapeutic Agent

30 Typical compositions having a hydrophilic therapeutic agent can have components and concentrations in the following exemplary, but not limiting ranges, in percent by weight unless otherwise indicated:

75

1	absorption enhancing composition	10-100%
	enzyme Inhibitor (<i>e.g.</i> , aprotinin)	0-10%
	solubilizer (<i>e.g.</i> , propylene glycol)	0-60%
	bufferant	0-50mM
5	hydrophilic polymer (<i>e.g.</i> , HPMC)	0-20% w/w
	other additives	0-50%

If formulated as an aqueous dosage form, a typical amount of water would be about 250 mL, or any other convenient amount.

Typical hydrophilic therapeutic agents and amounts in mg or IU/mL or G:

10	alendronate Sodium	5-50mg
	etidronate disodium	200-400 mg
	pamidronate disodium	30-90 mg
	aztreonam	20-500 mg
	valacyclovir	250-1000 mg
15	gancyclovir	250-500 mg
	famcyclovir	125-200 mg
	pericyclovir	125-1000 mg
	pyridostigmine	60 mg
	cromalyn sodium	0.1-2mg
20	nedocromil sodium	0.1-2 mg
	metformin hydrochloride	500-850 mg
	acarbose	50-100 mg
	amphotericin B	50-200 mg
	octreotide acetate	0.1 to 1 mg
25	cefoxitin sodium	200-1000 mg
	corticotropin:	25-1000 IU
	sodium heparin	20-5000 IU
	desmopressin acetate (DVAP)	0.1-1mg
	vasopressin	5-100 IU
30	salmon calcitonin	500 IU
	insulin	140 IU
	erythropoietin	14,000 mg

76

1 porcine somatotropin 50 mg
 recombinant growth hormone 30 IU
 oligonucleotide 1-500 mg

5 Of course, the amounts listed are chosen to be therapeutically effective amounts,
but the invention is not limited thereby.

 The present invention may be embodied in other specific forms without departing
from its spirit or essential characteristics. The described embodiments are to be
considered in all respects only as illustrative and not restrictive. The scope of the
invention is, therefore, indicated by the appended claims rather than by the foregoing
10 description. All changes which come within the meaning and range of equivalency of the
claims are to be embraced within their scope.

 What is claimed is:

15

20

25

30

- 1 1. A pharmaceutical system for enhanced absorption of a hydrophilic
therapeutic agent, the system comprising:
- (a) a dosage form of an absorption enhancing composition, the
composition comprising at least two surfactants, at least one of which is
5 hydrophilic; and
- (b) a hydrophilic therapeutic agent,
the pharmaceutical system being substantially free of triglycerides.
2. The pharmaceutical system of claim 1, wherein the hydrophilic surfactant
comprises at least one ionized ionizable surfactant;
- 10 3. The pharmaceutical system of claim 2, wherein the ionized ionizable
surfactant is the ionized form of a surfactant selected from the group consisting of bile
acids and salts, analogues, and derivatives thereof; lecithins, lysolecithin, phospholipids,
lysophospholipids and derivatives thereof; carnitine fatty acid ester salts; salts of
alkylsulfates; salts of fatty acids; sodium docusate; acyl lactylates; mono-,diacetylated
15 tartaric acid esters of mono-,diglycerides; succinylated monoglycerides; citric acid esters
of mono-,diglycerides; and mixtures thereof.
4. The pharmaceutical system of claim 2, wherein the ionized ionizable
surfactant is the ionized form of a surfactant selected from the group consisting of lecithin,
lysolecithin, phosphatidylcholine, phosphatidylethanolamine, phosphatidylglycerol,
20 phosphatidic acid, phosphatidylserine, lysophosphatidylcholine,
lysophosphatidylethanolamine, lysophosphatidylglycerol, lysophosphatidic acid,
lysophosphatidylserine, PEG-phosphatidylethanolamine, PVP-phosphatidylethanolamine,
lactic esters of fatty acids, stearyl-2-lactylate, stearyl lactylate, succinylated
monoglycerides, mono/diacetylated tartaric acid esters of mono/diglycerides, citric acid
25 esters of mono/diglycerides, cholate, taurocholate, glycocholate, deoxycholate,
taurodeoxycholate, chenodeoxycholate, glycodeoxycholate, glycochenodeoxycholate,
taurochenodeoxycholate, ursodeoxycholate, lithocholate, tauroursodeoxycholate,
glycoursodeoxycholate, cholylsarcosine, N-methyl taurocholate, caproate, caprylate,
caprate, laurate, myristate, palmitate, oleate, ricinoleate, linoleate, linolenate, stearate,
30 lauryl sulfate, tetraacetyl sulfate, docusate, lauroyl carnitine, palmitoyl carnitine, myristoyl
carnitine, and salts and mixtures thereof.

1 5. The pharmaceutical system of claim 2, wherein the ionized ionizable
surfactant is the ionized form of a surfactant selected from the group consisting of lecithin,
lysolecithin, phosphatidylcholine, phosphatidylethanolamine, phosphatidylglycerol,
lysophosphatidylcholine, PEG-phosphatidylethanolamine, lactic esters of fatty acids,
5 stearoyl-2-lactylate, stearyl lactylate, succinylated monoglycerides, mono/diacetylated
tartaric acid esters of mono/diglycerides, citric acid esters of mono/diglycerides, cholate,
taurocholate, glycocholate, deoxycholate, chenodeoxycholate, lithocholate,
ursodeoxycholate, taurodeoxycholate, glycodeoxycholate, cholylsarcosine, caproate,
caprylate, caprate, laurate, oleate, lauryl sulfate, docusate, lauroyl carnitine, palmitoyl
10 carnitine, myristoyl carnitine, and salts and mixtures thereof.

 6. The pharmaceutical system of claim 2, wherein the ionized ionizable
surfactant is the ionized form of a surfactant selected from the group consisting of lecithin,
lactic esters of fatty acids, stearyl-2-lactylate, stearyl lactylate, succinylated
monoglycerides, mono/diacetylated tartaric acid esters of mono/diglycerides, citric acid
15 esters of mono/diglycerides, chenodeoxycholate, lithocholate, ursodeoxycholate,
taurocholate, caprylate, caprate, oleate, lauryl sulfate, docusate, lauroyl carnitine,
palmitoyl carnitine, myristoyl carnitine, and salts and mixtures thereof.

 7. The pharmaceutical system of claim 1, wherein the hydrophilic surfactant
comprises at least one non-ionic hydrophilic surfactant having an HLB value greater than
20 or equal to about 10.

 8. The pharmaceutical system of claim 7, wherein the non-ionic surfactant is
selected from the group consisting of alkylglucosides; alkylmaltosides;
alkylthioglucosides; lauryl macrogolglycerides; polyoxyethylene alkyl ethers;
polyoxyethylene alkylphenols; polyethylene glycol fatty acids esters; polyethylene glycol
25 glycerol fatty acid esters; polyoxyethylene sorbitan fatty acid esters; polyoxyethylene-
polyoxypropylene block copolymers; polyglycerol fatty acid esters; polyoxyethylene
glycerides; polyoxyethylene sterols, derivatives, and analogues thereof; polyoxyethylene
vegetable oils; polyoxyethylene hydrogenated vegetable oils; reaction mixtures of polyols
and at least one member of the group consisting of fatty acids, glycerides, vegetable oils,
30 hydrogenated vegetable oils, and sterols; sugar esters, sugar ethers; sucroglycerides; and
mixtures thereof.

- 1 9. The pharmaceutical system of claim 7, wherein the non-ionic hydrophilic
surfactant is selected from the group consisting of polyoxyethylene alkylethers;
polyethylene glycol fatty acids esters; polyethylene glycol glycerol fatty acid esters;
polyoxyethylene sorbitan fatty acid esters; polyoxyethylene-polyoxypropylene block
5 copolymers; polyglycerol fatty acid esters; polyoxyethylene glycerides; polyoxyethylene
vegetable oils; polyoxyethylene hydrogenated vegetable oils; reaction mixtures of polyols
and at least one member of the group consisting of fatty acids, glycerides, vegetable oils,
hydrogenated vegetable oils, and sterols; and mixtures thereof.
- 10 10. The pharmaceutical system of claim 9, wherein the glyceride is a
monoglyceride, diglyceride, triglyceride, or a mixture thereof.
11. The pharmaceutical system of claim 9, wherein the reaction mixture
comprises the transesterification products of a polyol and at least one member of the group
consisting of fatty acids, glycerides, vegetable oils, hydrogenated vegetable oils, and
sterols.
- 15 12. The pharmaceutical system of claim 9, wherein the polyol is glycerol,
ethylene glycol, polyethylene glycol, sorbitol, propylene glycol, pentaerythritol, a
saccharide, or a mixture thereof.
13. The pharmaceutical system of claim 7, wherein the hydrophilic surfactant is
PEG-10 laurate, PEG-12 laurate, PEG-20 laurate, PEG-32 laurate, PEG-32 dilaurate,
20 PEG-12 oleate, PEG-15 oleate, PEG-20 oleate, PEG-20 dioleate, PEG-32 oleate, PEG-200
oleate, PEG-400 oleate, PEG-15 stearate, PEG-32 distearate, PEG-40 stearate, PEG-100
stearate, PEG-20 dilaurate, PEG-25 glyceryl trioleate, PEG-32 dioleate, PEG-20 glyceryl
laurate, PEG-30 glyceryl laurate, PEG-20 glyceryl stearate, PEG-20 glyceryl oleate, PEG-
30 glyceryl oleate, PEG-30 glyceryl laurate, PEG-40 glyceryl laurate, PEG-40 palm kernel
25 oil, PEG-50 hydrogenated castor oil, PEG-40 castor oil, PEG-35 castor oil, PEG-60 castor
oil, PEG-40 hydrogenated castor oil, PEG-60 hydrogenated castor oil, PEG-60 corn oil,
PEG-6 caprate/caprylate glycerides, PEG-8 caprate/caprylate glycerides, polyglyceryl-10
laurate, PEG-30 cholesterol, PEG-25 phyto sterol, PEG-30 soya sterol, PEG-20 trioleate,
PEG-40 sorbitan oleate, PEG-80 sorbitan laurate, polysorbate 20, polysorbate 80, POE-9
30 lauryl ether, POE-23 lauryl ether, POE-10 oleyl ether, POE-20 oleyl ether, POE-20 stearyl
ether, tocopheryl PEG-100 succinate, PEG-24 cholesterol, polyglyceryl-10 oleate, Tween
40, Tween 60, sucrose monostearate, sucrose monolaurate, sucrose monopalmitate, PEG

- 1 10-100 nonyl phenol series, PEG 15-100 octyl phenol series, a poloxamer, or a mixture thereof.
14. The pharmaceutical system of claim 7, wherein the hydrophilic surfactant is PEG-20 laurate, PEG-20 oleate, PEG-35 castor oil, PEG-40 palm kernel oil, PEG-40
5 hydrogenated castor oil, PEG-60 corn oil, PEG-25 glyceryl trioleate, polyglyceryl-10 laurate, PEG-6 caprate/caprylate glycerides, PEG-8 caprate/caprylate glycerides, PEG-30 cholesterol, polysorbate 20, polysorbate 80, POE-9 lauryl ether, POE-23 lauryl ether, POE-10 oleyl ether, PEG-24 cholesterol, sucrose monostearate, sucrose monolaurate, a poloxamer, or a mixture thereof.
- 10 15. The pharmaceutical system of claim 7, wherein the hydrophilic surfactant is PEG-35 castor oil, PEG-40 hydrogenated castor oil, PEG-60 corn oil, PEG-25 glyceryl trioleate, PEG-6 caprate/caprylate glycerides, PEG-8 caprate/caprylate glycerides, polysorbate 20, polysorbate 80, tocopheryl PEG-1000 succinate, PEG-24 cholesterol, a poloxamer, or a mixture thereof.
- 15 16. The pharmaceutical system of claim 1, wherein the composition comprises at least two hydrophilic surfactants.
17. The pharmaceutical system of claim 1, wherein the composition comprises at least one hydrophilic surfactant and at least one hydrophobic surfactant.
18. The pharmaceutical system of claim 17, wherein the hydrophobic
20 surfactant comprises an un-ionized ionizable surfactant.
19. The pharmaceutical system of claim 18, wherein the un-ionized ionizable surfactant is the un-ionized form of a surfactant selected from the group consisting of bile acids and analogues and derivatives thereof; lecithins, lysolecithin, phospholipids, lysophospholipids and derivatives thereof; carnitine fatty acid esters; alkylsulfates; fatty
25 acids; acyl lactylates; mono-,diacetylated tartaric acid esters of mono-,diglycerides; succinylated monoglycerides; citric acid esters of mono-,diglycerides; and mixtures thereof.
20. The pharmaceutical system of claim 18, wherein the un-ionized ionizable
30 surfactant is the un-ionized form of a surfactant selected from the group consisting of lecithin, lysolecithin, phosphatidylcholine, phosphatidylethanolamine, phosphatidylglycerol, phosphatidic acid, phosphatidylserine, lysophosphatidylcholine, lysophosphatidylethanolamine, lysophosphatidylglycerol, lysophosphatidic acid,

1 lysophosphatidylserine, PEG-phosphatidylethanolamine, PVP-phosphatidylethanolamine,
lactylic esters of fatty acids, stearyl-2-lactylate, stearyl lactylate, succinylated
monoglycerides, mono/diacetylated tartaric acid esters of mono/diglycerides, citric acid
5 esters of mono/diglycerides, cholic acid, taurocholic acid, glycocholic acid, deoxycholic
acid, taurodeoxycholic acid, chenodeoxycholic acid, glycodeoxycholic acid,
glycochenodeoxycholic acid, taurochenodeoxycholic acid, ursodeoxycholic acid,
lithocholic acid, tauroursodeoxycholic acid, glyoursodeoxycholic acid, cholylsarcosine,
N-methyl taurocholic acid, caproic acid, caprylic acid, capric acid, lauric acid, myristic
10 acid, palmitic acid, oleic acid, ricinoleic acid, linoleic acid, linolenic acid, stearic acid,
lauryl sulfate, tetraacetyl sulfate, lauroyl carnitine, palmitoyl carnitine, myristoyl carnitine,
and mixtures thereof.

21. The pharmaceutical system of claim 18, wherein the un-ionized ionizable
surfactant is the un-ionized form of a surfactant selected from the group consisting of
lecithin, lysolecithin, phosphatidylcholine, phosphatidylethanolamine,
15 phosphatidylglycerol, lysophosphatidylcholine, PEG-phosphatidylethanolamine, lactylic
esters of fatty acids, stearyl-2-lactylate, stearyl lactylate, succinylated monoglycerides,
mono/diacetylated tartaric acid esters of mono/diglycerides, citric acid esters of
mono/diglycerides, cholic acid, taurocholic acid, glycocholic acid, deoxycholic acid,
chenodeoxycholic acid, lithocholic acid, ursodeoxycholic acid, taurodeoxycholic acid,
20 glycodeoxycholic acid, cholylsarcosine, caproic acid, caprylic acid, capric acid, lauric
acid, oleic acid, lauryl sulfate, lauroyl carnitine, palmitoyl carnitine, myristoyl carnitine,
and mixtures thereof.

22. The pharmaceutical system of claim 18, wherein the un-ionized ionizable
surfactant is the un-ionized form of a surfactant selected from the group consisting of
25 lecithin, lactylic esters of fatty acids, stearyl-2-lactylate, stearyl lactylate, succinylated
monoglycerides, mono/diacetylated tartaric acid esters of mono/diglycerides, citric acid
esters of mono/diglycerides, chenodeoxycholic acid, lithocholic acid, ursodeoxycholic
acid, taurocholic acid, caprylic acid, capric acid, oleic acid, lauryl sulfate, docusate,
lauroyl carnitine, palmitoyl carnitine, myristoyl carnitine, and mixtures thereof.

30 23. The pharmaceutical system of claim 17 wherein the hydrophobic surfactant
comprises at least one compound having an HLB value less than about 10.

1 24. The pharmaceutical system of claim 23, wherein the hydrophobic
surfactant is selected from the group consisting of alcohols; polyoxyethylene alkylethers;
fatty acids; bile acids; glycerol fatty acid esters; acetylated glycerol fatty acid esters; lower
alcohol fatty acids esters; polyethylene glycol fatty acids esters; polyethylene glycol
5 glycerol fatty acid esters; polypropylene glycol fatty acid esters; polyoxyethylene
glycerides; lactic acid derivatives of mono/diglycerides; propylene glycol diglycerides;
sorbitan fatty acid esters; polyoxyethylene sorbitan fatty acid esters; polyoxyethylene-
polyoxypropylene block copolymers; transesterified vegetable oils; sterols; sterol
derivatives; sugar esters; sugar ethers; sucroglycerides; polyoxyethylene vegetable oils;
10 polyoxyethylene hydrogenated vegetable oils; reaction mixtures of polyols and at least one
member of the group consisting of fatty acids, glycerides, vegetable oils, hydrogenated
vegetable oils, and sterols; and mixtures thereof.

 25. The pharmaceutical system of claim 23, wherein the hydrophobic
surfactant is selected from the group consisting of fatty acids; bile acids; lower alcohol
15 fatty acid esters; polyethylene glycol glycerol fatty acid esters; polypropylene glycol fatty
acid esters; polyoxyethylene glycerides; glycerol fatty acid esters; acetylated glycerol fatty
acid esters; lactic acid derivatives of mono/diglycerides; sorbitan fatty acid esters;
polyoxyethylene sorbitan fatty acid esters; polyoxyethylene-polyoxypropylene block
copolymers; polyoxyethylene vegetable oils; polyoxyethylene hydrogenated vegetable
20 oils; reaction mixtures of polyols and at least one member of the group consisting of fatty
acids, glycerides, vegetable oils, hydrogenated vegetable oils, and sterols; and mixtures
thereof.

 26. The pharmaceutical system of claim 23, wherein the hydrophobic
surfactant is selected from the group consisting of bile acids; lower alcohol fatty acids
25 esters; polypropylene glycol fatty acid esters; propylene glycol fatty acid esters; glycerol
fatty acid esters; acetylated glycerol fatty acid esters; lactic acid derivatives of
mono/diglycerides; sorbitan fatty acid esters; polyoxyethylene vegetable oils; and mixtures
thereof.

 27. The pharmaceutical system of claim 23, wherein the hydrophobic
30 surfactant is a glycerol fatty acid ester, an acetylated glycerol fatty acid ester, or a mixture
thereof.

- 1 28. The pharmaceutical system of claim 27, wherein the glycerol fatty acid ester is a monoglyceride, diglyceride, or a mixture thereof.
29. The pharmaceutical system of claim 28, wherein the fatty acid of the glycerol fatty acid ester is a C₆ to C₂₂ fatty acid or a mixture thereof.
- 5 30. The pharmaceutical system of claim 23, wherein the hydrophobic surfactant is a reaction mixture of a polyol and at least one member of the group consisting of fatty acids, glycerides, vegetable oils, hydrogenated vegetable oils, and sterols.
31. The pharmaceutical system of claim 30, wherein the reaction mixture is a transesterification product of a polyol and at least one member of the group consisting of
- 10 fatty acids, glycerides, vegetable oils, hydrogenated vegetable oils, and sterols.
32. The pharmaceutical system of claim 30, wherein the polyol is polyethylene glycol, sorbitol, propylene glycol, pentaerythritol, a saccharide, or a mixture thereof.
33. The pharmaceutical system of claim 23, wherein the hydrophobic surfactant is selected from the group consisting of myristic acid; oleic acid; lauric acid;
- 15 stearic acid; palmitic acid; PEG 1-4 stearate; PEG 2-4 oleate; PEG-4 dilaurate; PEG-4 dioleate; PEG-4 distearate; PEG-6 dioleate; PEG-6 distearate; PEG-8 dioleate; PEG 3-16 castor oil; PEG 5-10 hydrogenated castor oil; PEG 6-20 corn oil; PEG 6-20 almond oil; PEG-6 olive oil; PEG-6 peanut oil; PEG-6 palm kernel oil; PEG-6 hydrogenated palm kernel oil; PEG-4 capric/caprylic triglyceride, mono, di, tri, tetra esters of vegetable oil
- 20 and sorbitol; pentaerythrityl di, tetra stearate, isostearate, oleate, caprylate, or caprate; polyglyceryl 2-4 oleate, stearate, or isostearate; polyglyceryl 4-10 pentaoleate; polyglyceryl-3 dioleate; polyglyceryl-6 dioleate; polyglyceryl-10 trioleate; polyglyceryl-3 distearate; propylene glycol mono- or diesters of a C₆ to C₂₂ fatty acid; monoglycerides of a C₆ to C₂₂ fatty acid; acetylated monoglycerides of C₆ to C₂₂ fatty acid; diglycerides of C₆
- 25 to C₂₂ fatty acids; lactic acid derivatives of monoglycerides; lactic acid derivatives of diglycerides; cholesterol; phytosterol; PEG 5-20 soya sterol; PEG-6 sorbitan tetra, hexastearate; PEG-6 sorbitan tetraoleate; sorbitan monolaurate; sorbitan monopalmitate; sorbitan mono, trioleate; sorbitan mono, tristearate; sorbitan monoisostearate; sorbitan sesquioleate; sorbitan sesquistearate; PEG 2-5 oleyl ether; POE 2-4 lauryl ether; PEG-2 cetyl ether; PEG-2 stearyl ether; sucrose distearate; sucrose dipalmitate; ethyl oleate;
- 30 isopropyl myristate; isopropyl palmitate; ethyl linoleate; isopropyl linoleate; poloxamers;

1 cholic acid; ursodeoxycholic acid; glycocholic acid; taurocholic acid; lithocholic acid; deoxycholic acid; chenodeoxycholic acid; and mixtures thereof.

34. The pharmaceutical system of claim 23, wherein the hydrophobic
surfactant is selected from the group consisting of oleic acid; lauric acid; glyceryl
5 monocaprate; glyceryl monocaprylate; glyceryl monolaurate; glyceryl monooleate;
glyceryl dicaprate; glyceryl dicaprylate; glyceryl dilaurate; glyceryl dioleate; acetylated
monoglycerides; propylene glycol oleate; propylene glycol laurate; polyglyceryl-3 oleate;
polyglyceryl-6 dioleate; PEG-6 corn oil; PEG-20 corn oil; PEG-20 almond oil; sorbitan
monooleate; sorbitan monolaurate; POE-4 lauryl ether; POE-3 oleyl ether; ethyl oleate;
10 poloxamers; cholic acid; ursodeoxycholic acid; glycocholic acid; taurocholic acid;
lithocholic acid; deoxycholic acid; chenodeoxycholic acid; and mixtures thereof.

35. The pharmaceutical system of claim 1, wherein each of the at least two
surfactants is selected from the group consisting of sodium lauryl sulfate, oleic acid,
linoleic acid, monoolein, lecithin, lysolecithin, deoxycholate, taurodeoxycholate,
15 glycochenodeoxycholate, polyoxyethylene X-lauryl ether, where X is from 9 to 20,
sodium tauro-24,25-dihydrofusidate, polyoxyethylene ether, polyoxyethylene sorbitan
esters, p-t-octylphenoxypolyoxyethylene, N-lauryl- β -D-maltopyranoside, 1-
dodecylazacycloheptane-2-azone, and phospholipids, and is present in an amount of
greater than 10% by weight, based on the total weight of the pharmaceutical system.

20 36. The pharmaceutical system of claim 1, wherein the hydrophilic therapeutic
agent is a drug, a vitamin, a nutritional supplement, a cosmeceutical, a diagnostic agent, or
a mixture thereof.

37. The pharmaceutical system of claim 1, wherein the hydrophilic therapeutic
agent has an apparent water solubility of at least about 1 mg/mL.

25 38. The pharmaceutical system of claim 1, wherein the hydrophilic therapeutic
agent is a hydrophilic drug, a cytokine, a peptidomimetic, a peptide, a protein, a toxoid, a
serum, an antibody, a vaccine, a nucleoside, a nucleotide, a portion of genetic material, a
nucleic acid, or a mixture thereof.

30 39. The pharmaceutical system of claim 1, wherein the hydrophilic therapeutic
agent is selected from the hydrophilic members of the group consisting of analgesics, anti-
inflammatory agents, anthelmintics, anti-arrhythmic agents, anti-asthma agents, anti-
bacterial agents, anti-viral agents, anti-coagulants, anti-depressants, anti-diabetics, anti-

1 epileptics, anti-fungal agents, anti-gout agents, anti-hypertensive agents, anti-malarials,
anti-migraine agents, anti-muscarinic agents, anti-neoplastic agents, immunosuppressants,
anti-protozoal agents, anti-thyroid agents, anti-tussives, anxiolytic, sedatives, hypnotics,
neuroleptics, β -Blockers, cardiac inotropic agents, corticosteroids, diuretics, anti-
5 parkinsonian agents, gastro-intestinal agents, histamine H₂-receptor antagonists,
keratolytics, lipid regulating agents, muscle relaxants, anti-anginal agents, nutritional
agents, analgesics, sex hormones, stimulants, cytokines, peptidomimetics, peptides,
proteins, toxoids, sera, antibodies, vaccines, nucleosides, nucleotides, genetic material,
nucleic acids, and mixtures thereof.

10 40. The pharmaceutical system of claim 1, wherein the hydrophilic therapeutic
agent is selected from the group consisting of acarbose; acyclovir; acetyl cysteine;
acetylcholine chloride; alatrofloxacin; alendronate; alglucerase; amantadine
hydrochloride; ambenomium; amifostine; amiloride hydrochloride; aminocaproic acid;
amphotericin B; antihemophilic factor (human); antihemophilic factor (porcine);
15 antihemophilic factor (recombinant); aprotinin; asparaginase; atenolol; atracurium
besylate; atropine; azithromycin; aztreonam; BCG vaccine; bacitracin; becalermine;
belladonna; bepridil hydrochloride; bleomycin sulfate; calcitonin human; calcitonin salmon;
carboplatin; capecitabine; capreomycin sulfate; cefamandole nafate; cefazolin sodium;
cefepime hydrochloride; cefixime; cefonicid sodium; cefoperazone; cefotetan disodium;
20 cefotaxime; cefoxitin sodium; ceftizoxime; ceftriaxone; cefuroxime axetil; cephalixin;
cephapirin sodium; cholera vaccine; chronic gonadotropin; cidofovir; cisplatin;
cladribine; clidinium bromide; clindamycin and clindamycin derivatives; ciprofloxacin;
clondronate; colistimethate sodium; colistin sulfate; corticotropin; cosyntropin; cromalyn
sodium; cytarabine; daltaperin sodium; danaproid; deferoxamine; denileukin difitox;
25 desmopressin; diatrizoate meglumine and diatrizoate sodium; dicyclomine; didanosine;
dirithromycin; dopamine hydrochloride; dornase alpha; doxacurium chloride; doxorubicin;
editronate disodium; elanaprilat; enkephalin; enoxacin; enoxaprin sodium; ephedrine;
epinephrine; epoetin alpha; erythromycin; esmol hydrochloride; factor IX; famciclovir;
fludarabine; fluoxetine; foscarnet sodium; ganciclovir; granulocyte colony stimulating
30 factor; granulocyte-macrophage stimulating factor; growth hormones- recombinant
human; growth hormone- bovine; gentamycin; glucagon; glycopyrolate; gonadotropin
releasing hormone and synthetic analogs thereof; GnRH; gonadorelin; grepafloxacin;

1 hemophilus B conjugate vaccine; Hepatitis A virus vaccine inactivated; Hepatitis B virus
 vaccine inactivated; heparin sodium; indinavir sulfate; influenza virus vaccine;
 interleukin-2; interleukin-3; insulin-human; insulin lispro; insulin procine; insulin NPH;
 insulin aspart; insulin glargine; insulin detemir; interferon alpha; interferon beta;
 5 ipratropium bromide; isofosfamide; japanese encephalitis virus vaccine; lamivudine;
 leucovorin calcium; leuprolide acetate; levofloxacin; lincomycin and lincomycin
 derivatives; lobucavir; lomefloxacin; loracarbef; mannitol; measles virus vaccine;
 meningococcal vaccine; menotropins; mephenzolate bromide; mesalmine; methanamine;
 methotrexate; methscopolamine; metformin hydrochloride; metoprolol; mezocillin
 10 sodium; mivacurium chloride; mumps viral vaccine; nedocromil sodium; neostigmine
 bromide; neostigmine methyl sulfate; neotontin; norfloxacin; octreotide acetate; ofloxacin;
 olpadronate; oxytocin; pamidronate disodium; pancuronium bromide; paroxetine;
 pefloxacin; pentamidine isethionate; pentostatin; pentoxifylline; periciclovir;
 pentagastrin; phentolamine mesylate; phenylalanine; physostigmine salicylate; plague
 15 vaccine; piperacillin sodium; platelet derived growth factor-human; pneumococcal vaccine
 polyvalent; poliovirus vaccine inactivated; poliovirus vaccine live (OPV); polymixin B
 sulfate; pralidoxine chloride; pramlintide; pregabalin; propofenone; propenthaline
 bromide; pyridostigmine bromide; rabies vaccine; residronate; ribavarin; rimantadine
 hydrochloride; rotavirus vaccine; salmetrol xinafoate; sincalide; small pox vaccine;
 20 solatol; somatostatin; sparfloxacin; spectinomycin; stavudine; streptokinase; streptozocin;
 suxamethonium chloride; tacrine hydrochloride; terbutaline sulfate; thiopeta; ticarcillin;
 tiludronate; timolol; tissue type plasminogen activator; TNFR:Fc; TNK-tPA; trandolapril;
 trimetrexate gluconate; trospectinomycin; trovafloxacin; tubocurarine chloride; tumor
 necrosis factor; typhoid vaccine live; urea; urokinase; vancomycin; valaciclovir; valsartan;
 25 varicella virus vaccine live; vasopressin and vasopressin derivatives; vecoronium bromide;
 vinblastin; vincristine; vinorelbine; vitamin B12 ; warfarin sodium; yellow fever vaccine;
 zalcitabine; zanamavir; zolandronate; and zidovudine.

41. The pharmaceutical system of claim 1, wherein the hydrophilic therapeutic
 agent is selected from the group consisting of acarbose; acyclovir; atracurium besylate;
 30 alendronate; alglucerase; amantadine hydrochloride; amphotericin B; antihemophilic
 factor (human); antihemophilic factor (porcine); antihemophilic factor (recombinant;
 azithromycin; calcitonin human; calcitonin salmon; capecitabine; cefazolin sodium;

1 cefonicid sodium; cefoperazone; cefoxitin sodium; ceftizoxime; ceftriaxone; cefuroxime
 axetil; cephalixin; chrionic gonadotropin; cidofovir; cladribine ; clindamycin and
 clindamycin derivatives; cortocotropin; cosyntropin; cromalyn sodium; cytarabine;
 5 daltaperin sodium; danaproid; desmopressin; didanosine; dirithromycin; editronate
 disodium; enoxaprin sodium; epoetin alpha; factor IX; famciclovir; fludarabine; foscarnet
 sodium; ganciclovir; granulocyte colony stimulating factor; granulocyte-macrophage
 stimulating factor; growth hormones- recombinant human; growth hormone- Bovine;
 gentamycin; glucagon; gonadotropin releasing hormone and synthetic analogs thereof;
 GnRH; gonadorelin; hemophilus B conjugate vaccine; Hepatitis A virus vaccine
 10 inactivated; Hepatitis B virus vaccine inactivated; heparin sodium; indinavir sulfate;
 influenza virus vaccine; interleukin-2; interleukin-3; insulin-human; insulin lispro; insulin
 procine; insulin NPH; insulin aspart; insulin glargine; insulin detemir; interferon alpha;
 interferon beta; ipratropium bromide; isofosfamide; lamivudine; leucovorin calcium;
 leuprolide acetate; lincomycin and lincomycin derivatives; metformin hydrochloride;
 15 nedocromil sodium; neostigmine bromide; neostigmine methyl sulfate; neutontin;
 octreotide acetate; olpadronate; pamidronate disodium; pancuronium bromide;
 pentamidine isethionate; pentagastrin; physostigmine salicylate; poliovirus vaccine live
 (OPV); pyridostigmine bromide; residronate; ribavarin; rimantadine hydrochloride;
 rotavirus vaccine; salmetrol xinafoate; somatostatin; spectinomycin; stavudine;
 20 streptokinase; ticarcillin; tiludronate; tissue type plasminogen activator; TNFR:Fc; TNK-
 tPA; trimetrexate gluconate; trospectinomycin; tumor necrosis factor; typhoid vaccine
 live; urokinase; vancomycin; valaciclovir; vasopressin and vasopressin derivatives;
 vinblastin; vincristine; vinorelbine; warfarin sodium; zalcitabine; zanamavir; and
 zidovudine.

25 42. The pharmaceutical system of claim 1, wherein the hydrophilic therapeutic
 agent is selected from the group consisting of acarbose; alendronate; amantadine
 hydrochloride; azithromycin; calcitonin human; calcitonin salmon; ceftriaxone;
 cefuroxime axetil; chrionic gonadotropin; cromalyn sodium; daltaperin sodium;
 danaproid; desmopressin; didanosine; editronate disodium; enoxaprin sodium; epoetin
 30 alpha; factor IX; famciclovir; foscarnet sodium; ganciclovir; granulocyte colony
 stimulating factor; granulocyte-macrophage stimulating factor; growth hormones-
 recombinant human; growth hormone- Bovine; glucagon; gonadotropin releasing hormone

1 and synthetic analogs thereof; GnRH; gonadorelin; heparin sodium; indinavir sulfate;
influenza virus vaccine; interleukin-2; interleukin-3; insulin-human; insulin lispro; insulin
procine interferon alpha; interferon beta; leuprolide acetate; metformin hydrochloride;
5 nedocromil sodium; neostigmine bromide; neostigmine methyl sulfate; neotontin;
octreotide acetate; olpadronate; pamidronate disodium; residronate; rimantadine
hydrochloride; salmetrol xinafoate; somatostatin; stavudine; ticarcillin; tiludronate; tissue
type plasminogen activator; TNFR:Fc; TNK-tPA; tumor necrosis factor; typhoid vaccine
live; vancomycin; valaciclovir; vasopressin and vasopressin derivatives; zalcitabine;
zanamavir and zidovudine.

10 43. The pharmaceutical system of claim 1, wherein the composition further
comprises a solubilizer.

44. The pharmaceutical system of claim 43, wherein the solubilizer is selected
from the group consisting of alcohols, polyols, amides, esters, propylene glycol ethers and
mixtures thereof.

15 45. The pharmaceutical system of claim 1, wherein the composition further
comprises an antioxidant, a bufferant, an antifoaming agent, a detackifier, a preservative, a
chelating agent, a viscomodulator, a tonicifier, a flavorant, a colorant, an odorant, an
opacifier, a suspending agent, a binder, a filler, a plasticizer, a lubricant, or a mixture
thereof.

20 46. The pharmaceutical system of claim 1, wherein the composition further
comprises an amount of an enzyme inhibiting agent sufficient to at least partially inhibit
enzymatic degradation of the hydrophilic therapeutic agent.

25 47. The pharmaceutical system of claim 46, wherein the enzyme inhibiting
agent is P-aminobenzamidine, FK-448, camostat mesylate, sodium glycocholate, an amino
acid, a modified amino acid, a peptide, a modified peptide, a polypeptide protease
inhibitor, a complexing agent, a mucoadhesive polymer, a polymer-inhibitor conjugate, or
a mixture thereof.

30 48. The pharmaceutical system of claim 46, wherein the enzyme inhibiting
agent is selected from the group consisting of P-aminobenzamidine, FK-448, camostat
mesylate, sodium glycocholate, aminoboronic acid derivatives, n-acetylcysteine,
bacitracin, phosphinic acid dipeptide derivatives, pepstatin, antipain, leupeptin,
chymostatin, elastatin, bestatin, hosphoramindon, puromycin, cytochalasin potatocarboxy

1 peptidase inhibitor, amastatin, protinin, Bowman-Birk inhibitor, soybean trypsin inhibitor,
chicken egg white trypsin inhibitor, chicken ovoidinhibitor, human pancreatic trypsin
inhibitor, EDTA, EGTA, 1,10-phenanthroline, hydroxyquinoline, polyacrylate derivatives,
chitosan, cellulose, chitosan-EDTA, chitosan-EDTA-antipain, polyacrylic acid-
5 bacitracin, carboxymethyl cellulose-pepstatin, polyacrylic acid-Bowman-Birk inhibitor,
and mixtures thereof.

49. The pharmaceutical system of claim 1, wherein the composition further
comprises an aqueous medium comprising water, an aqueous palatable diluent or an
aqueous beverage.

10 50. The pharmaceutical system of claim 49, wherein the therapeutic agent is
provided to the system in the aqueous medium.

51. The pharmaceutical system of claim 49, wherein the aqueous medium
further comprises an amount of an enzyme inhibiting agent sufficient to at least partially
inhibit enzymatic degradation of the hydrophilic therapeutic agent, the enzyme inhibiting
15 agent being solubilized, suspended, or partially solubilized and partially suspended, in the
aqueous medium.

52. The pharmaceutical system of claim 1, wherein the composition further
comprises a pharmaceutically acceptable acid.

53. The pharmaceutical system of claim 52, wherein the acid is selected from
20 the group consisting of hydrochloric acid, hydrobromic acid, hydriodic acid, sulfuric acid,
carbonic acid, nitric acid, boric acid, phosphoric acid, acetic acid, acrylic acid, adipic acid,
alginic acid, alkanesulfonic acid, an amino acid, ascorbic acid, benzoic acid, boric acid,
butyric acid, carbonic acid, citric acid, a fatty acid, formic acid, fumaric acid, gluconic
acid, hydroquinosulfonic acid, isoascorbic acid, lactic acid, maleic acid, methanesulfonic
25 acid, oxalic acid, para-bromophenylsulfonic acid, propionic acid, p-toluenesulfonic acid,
salicylic acid, stearic acid, succinic acid, tannic acid, tartaric acid, thioglycolic acid,
toluenesulfonic acid, uric acid, and mixtures thereof.

54. The pharmaceutical system of claim 1, wherein the composition further
comprises a pharmaceutically acceptable base.

30 55. The pharmaceutical system of claim 54, wherein the base is an amino acid,
an amino acid ester, ammonium hydroxide, potassium hydroxide, sodium hydroxide,
sodium hydrogen carbonate, aluminum hydroxide, calcium carbonate, magnesium

1 hydroxide, magnesium aluminum silicate, synthetic aluminum silicate, synthetic
hydrotalcite, magnesium aluminum hydroxide, diisopropylethylamine, ethanolamine,
ethylenediamine, triethanolamine, triethylamine, triisopropanolamine, or a salt of a
5 pharmaceutically acceptable cation and acetic acid, acrylic acid, adipic acid, alginic acid,
alkanesulfonic acid, an amino acid, ascorbic acid, benzoic acid, boric acid, butyric acid,
carbonic acid, citric acid, a fatty acid, formic acid, fumaric acid, gluconic acid,
hydroquinosulfonic acid, isoascorbic acid, lactic acid, maleic acid, methanesulfonic acid,
oxalic acid, para-bromophenylsulfonic acid, propionic acid, p-toluenesulfonic acid,
10 salicylic acid, stearic acid, succinic acid, tannic acid, tartaric acid, thioglycolic acid,
toluenesulfonic acid, and uric acid, or a mixture thereof.

56. The pharmaceutical system of claim 1, wherein the at least two surfactants
are present in amounts such that the composition forms an aqueous dispersion having an
average particle size of less than about 200 nm upon mixing with an aqueous diluent.

57. The pharmaceutical system of claim 56, wherein the average particle size is
15 less than about 100 nm.

58. The pharmaceutical system of claim 56, wherein the average particle size is
less than about 50 nm.

59. The pharmaceutical system of claim 1, wherein the at least two surfactants
are present in amounts such that the composition forms a substantially optically clear
20 aqueous dispersion upon mixing with an aqueous diluent.

60. The pharmaceutical system of claim 1, wherein the system is substantially
free of polyethylene glycol diesters.

61. The pharmaceutical system of claim 1, wherein the system is substantially
free of cholesterol.

25 62. The pharmaceutical system of claim 1, wherein the dosage form is
substantially free of water.

63. The pharmaceutical system of claim 1 in the form of a preconcentrate in a
liquid, semi-solid, or solid form, or as an aqueous or organic diluted preconcentrate.

30 64. The pharmaceutical system of claim 1, wherein the dosage form of the
composition is processed by balling, lyophilization, encapsulation, extruding,
compression, melting, molding, spraying, spray congealing, coating, comminution,

1 mixing, cryopelletization, spheronization, homogenization, sonication, granulation, or a combination thereof.

65. The pharmaceutical system of claim 1, wherein the dosage form of the composition of is as a pill, capsule, caplet, tablet, granule, pellet, bead or powder.

5 66. The pharmaceutical system of claim 1, wherein the dosage form of the composition is a starch capsule, a cellulosic capsule, a hard gelatin capsule or a soft gelatin capsule.

67. The pharmaceutical system of claim 1, wherein the dosage form is formulated for immediate release, controlled release, extended release, delayed release, 10 targeted release, or targeted delayed release.

68. The pharmaceutical system of claim 65, which further comprises at least one enteric coating, seal coating, extended release coating, or targeted delayed release coating.

69. The pharmaceutical system of claim 68, wherein the coating is formed of a 15 material selected from the group consisting of shellac, acrylic polymers, cellulosic derivatives, polyvinyl acetate phthalate, and mixtures thereof.

70. The pharmaceutical system of claim 68, wherein the coating is formed of a material selected from the group consisting of Eudragit E, Eudragit L, Eudragit S, Eudragit RL, Eudragit RS, Eudragit NE, Eudragit L.RTM, Eudragit L300.RTM, Eudragit 20 S.RTM, Eudragit L100-55RTM, cellulose acetate phthalate, Aquateric, cellulose acetate trimellitate, ethyl cellulose, hydroxypropyl methyl cellulose phthalate, hydroxypropyl methyl cellulose succinate, polyvinylacetate phthalate, Cotteric, and mixtures thereof.

71. The pharmaceutical system of claim 68, wherein the coating is formed of a material selected from the group consisting of Eudragit L.RTM, Eudragit L300.RTM, 25 Eudragit S.RTM, Eudragit L100-55RTM, cellulose acetate phthalate, Aquateric, ethyl cellulose, hydroxypropyl methyl cellulose phthalate, hydroxypropyl methyl cellulose succinate, polyvinylacetate phthalate, Cotteric, and mixtures thereof.

72. The pharmaceutical system of claim 1, wherein the dosage form of the composition is a solution, suspension, emulsion, cream, ointment, lotion, suppository, 30 spray, aerosol, paste, gel, drops, douche, ovule, wafer, troche, cachet, syrup or elixir.

73. The pharmaceutical system of claim 1, wherein the dosage form is a multiparticulate carrier coated onto a substrate with the composition.

- 1 74. The pharmaceutical system of claim 73, wherein the substrate is a particle,
a granule, a pellet or a bead, and is formed of the therapeutic agent, a pharmaceutically
acceptable material, or a mixture thereof.
- 5 75. The pharmaceutical system of claim 73, wherein the multiparticulate carrier
is coated with at least one enteric coating, seal coating, extended release coating, or
targeted delayed release coating.
76. The pharmaceutical system of claim 73, wherein the dosage form is further
processed by encapsulation, compression, extrusion, molding, spheronization or
cryopelletization.
- 10 77. The pharmaceutical system of claim 73, wherein the dosage form is further
processed to form a starch capsule, a cellulosic capsule, a hard gelatin capsule, or a soft
gelatin capsule.
78. The pharmaceutical system of claim 77, wherein the capsule is coated with
at least one enteric coating, seal coating, extended release coating, or targeted delayed
15 release coating.
79. The pharmaceutical system of claim 1, wherein the hydrophilic therapeutic
agent is present in the dosage form of the composition.
80. The pharmaceutical system of claim 79, wherein the hydrophilic
therapeutic agent is solubilized in the composition, suspended in the composition, or
20 partially solubilized and partially suspended in the composition.
81. The pharmaceutical system of claim 1, wherein the hydrophilic therapeutic
agent is present in a dosage form separate from the dosage form of the composition.
82. The pharmaceutical system of claim 1, wherein the dosage form of the
composition is formulated for oral, mucosal, nasal, pulmonary, vaginal, transmembrane,
25 buccal or rectal administration.
83. The pharmaceutical system of claim 81, wherein the dosage form of the
hydrophilic therapeutic agent is formulated for oral, mucosal, nasal, pulmonary, vaginal,
transmembrane, buccal or rectal administration.
- 30 84. A pharmaceutical system for enhanced absorption of a hydrophilic
therapeutic agent, the system comprising:

1 (a) a dosage form of an absorption enhancing composition, the composition comprising at least one hydrophilic surfactant and at least one hydrophobic surfactant; and

(b) a hydrophilic therapeutic agent,
5 the pharmaceutical system being substantially free of triglycerides.

85. The pharmaceutical system of claim 84, wherein the hydrophilic surfactant comprises at least one ionized ionizable surfactant;

86. The pharmaceutical system of claim 85, wherein the ionized ionizable surfactant is the ionized form of a surfactant selected from the group consisting of bile
10 acids and salts, analogues, and derivatives thereof; lecithins, lysolecithin, phospholipids, lysophospholipids and derivatives thereof; carnitine fatty acid ester salts; salts of alkylsulfates; salts of fatty acids; sodium docusate; acyl lactylates; mono-,diacetylated tartaric acid esters of mono-,diglycerides; succinylated monoglycerides; citric acid esters of mono-,diglycerides; and mixtures thereof.

15 87. The pharmaceutical system of claim 85, wherein the ionized ionizable surfactant is the ionized form of a surfactant selected from the group consisting of lecithin, lysolecithin, phosphatidylcholine, phosphatidylethanolamine, phosphatidylglycerol, phosphatidic acid, phosphatidylserine, lysophosphatidylcholine, lysophosphatidylethanolamine, lysophosphatidylglycerol, lysophosphatidic acid,
20 lysophosphatidylserine, PEG-phosphatidylethanolamine, PVP-phosphatidylethanolamine, lactic esters of fatty acids, stearyl-2-lactylate, stearyl lactylate, succinylated monoglycerides, mono/diacetylated tartaric acid esters of mono/diglycerides, citric acid esters of mono/diglycerides, cholate, taurocholate, glycocholate, deoxycholate, taurodeoxycholate, chenodeoxycholate, glycodeoxycholate, glycochenodeoxycholate,
25 taurochenodeoxycholate, ursodeoxycholate, lithocholate, tauroursodeoxycholate, glyoursodeoxycholate, cholylsarcosine, N-methyl taurocholate, caproate, caprylate, caprate, laurate, myristate, palmitate, oleate, ricinoleate, linoleate, linolenate, stearate, lauryl sulfate, tetraacetyl sulfate, docusate, lauroyl carnitine, palmitoyl carnitine, myristoyl carnitine, and salts and mixtures thereof.

30 88. The pharmaceutical system of claim 85, wherein the ionized ionizable surfactant is the ionized form of a surfactant selected from the group consisting of lecithin, lysolecithin, phosphatidylcholine, phosphatidylethanolamine, phosphatidylglycerol,

1 lysophosphatidylcholine, PEG-phosphatidylethanolamine, lactic esters of fatty acids,
stearoyl-2-lactylate, stearoyl lactylate, succinylated monoglycerides, mono/diacetylated
tartaric acid esters of mono/diglycerides, citric acid esters of mono/diglycerides, cholate,
taurocholate, glycocholate, deoxycholate, chenodeoxycholate, lithocholate,
5 ursodeoxycholate, taurodeoxycholate, glycodeoxycholate, cholylsarcosine, caproate,
caprylate, caprate, laurate, oleate, lauryl sulfate, docusate, lauroyl carnitine, palmitoyl
carnitine, myristoyl carnitine, and salts and mixtures thereof.

89. The pharmaceutical system of claim 85, wherein the ionized ionizable
surfactant is the ionized form of a surfactant selected from the group consisting of lecithin,
10 lactic esters of fatty acids, stearoyl-2-lactylate, stearoyl lactylate, succinylated
monoglycerides, mono/diacetylated tartaric acid esters of mono/diglycerides, citric acid
esters of mono/diglycerides, chenodeoxycholate, lithocholate, ursodeoxycholate,
taurocholate, caprylate, caprate, oleate, lauryl sulfate, docusate, lauroyl carnitine,
palmitoyl carnitine, myristoyl carnitine, and salts and mixtures thereof.

15 90. The pharmaceutical system of claim 84, wherein the hydrophilic surfactant
comprises at least one non-ionic hydrophilic surfactant having an HLB value greater than
or equal to about 10.

91. The pharmaceutical system of claim 90, wherein the non-ionic surfactant is
selected from the group consisting of alkylglucosides; alkylmaltosides;
20 alkylthioglucosides; lauryl macrogolglycerides; polyoxyethylene alkyl ethers;
polyoxyethylene alkylphenols; polyethylene glycol fatty acids esters; polyethylene glycol
glycerol fatty acid esters; polyoxyethylene sorbitan fatty acid esters; polyoxyethylene-
polyoxypropylene block copolymers; polyglycerol fatty acid esters; polyoxyethylene
glycerides; polyoxyethylene sterols, derivatives, and analogues thereof; polyoxyethylene
25 vegetable oils; polyoxyethylene hydrogenated vegetable oils; reaction mixtures of polyols
and at least one member of the group consisting of fatty acids, glycerides, vegetable oils,
hydrogenated vegetable oils, and sterols; sugar esters, sugar ethers; sucroglycerides; and
mixtures thereof.

92. The pharmaceutical system of claim 90, wherein the non-ionic hydrophilic
30 surfactant is selected from the group consisting of polyoxyethylene alkylethers;
polyethylene glycol fatty acids esters; polyethylene glycol glycerol fatty acid esters;
polyoxyethylene sorbitan fatty acid esters; polyoxyethylene-polyoxypropylene block

1 copolymers; polyglycerol fatty acid esters; polyoxyethylene glycerides; polyoxyethylene vegetable oils; polyoxyethylene hydrogenated vegetable oils; reaction mixtures of polyols and at least one member of the group consisting of fatty acids, glycerides, vegetable oils, hydrogenated vegetable oils, and sterols; and mixtures thereof.

5 93. The pharmaceutical system of claim 92, wherein the glyceride is a monoglyceride, diglyceride, triglyceride, or a mixture thereof.

94. The pharmaceutical system of claim 92, wherein the reaction mixture comprises the transesterification products of a polyol and at least one member of the group consisting of fatty acids, glycerides, vegetable oils, hydrogenated vegetable oils, and sterols.

10 95. The pharmaceutical system of claim 92, wherein the polyol is glycerol, ethylene glycol, polyethylene glycol, sorbitol, propylene glycol, pentaerythritol, a saccharide, or a mixture thereof.

15 96. The pharmaceutical system of claim 90, wherein the hydrophilic surfactant is PEG-10 laurate, PEG-12 laurate, PEG-20 laurate, PEG-32 laurate, PEG-32 dilaurate, PEG-12 oleate, PEG-15 oleate, PEG-20 oleate, PEG-20 dioleate, PEG-32 oleate, PEG-200 oleate, PEG-400 oleate, PEG-15 stearate, PEG-32 distearate, PEG-40 stearate, PEG-100 stearate, PEG-20 dilaurate, PEG-25 glyceryl trioleate, PEG-32 dioleate, PEG-20 glyceryl laurate, PEG-30 glyceryl laurate, PEG-20 glyceryl stearate, PEG-20 glyceryl oleate, PEG-20 30 glyceryl oleate, PEG-30 glyceryl laurate, PEG-40 glyceryl laurate, PEG-40 palm kernel oil, PEG-50 hydrogenated castor oil, PEG-40 castor oil, PEG-35 castor oil, PEG-60 castor oil, PEG-40 hydrogenated castor oil, PEG-60 hydrogenated castor oil, PEG-60 corn oil, PEG-6 caprate/caprylate glycerides, PEG-8 caprate/caprylate glycerides, polyglyceryl-10 laurate, PEG-30 cholesterol, PEG-25 phyto sterol, PEG-30 soya sterol, PEG-20 trioleate, 25 PEG-40 sorbitan oleate, PEG-80 sorbitan laurate, polysorbate 20, polysorbate 80, POE-9 lauryl ether, POE-23 lauryl ether, POE-10 oleyl ether, POE-20 oleyl ether, POE-20 stearyl ether, tocopheryl PEG-100 succinate, PEG-24 cholesterol, polyglyceryl-10 oleate, Tween 40, Tween 60, sucrose monostearate, sucrose monolaurate, sucrose monopalmitate, PEG 10-100 nonyl phenol series, PEG 15-100 octyl phenol series, a poloxamer, or a mixture 30 thereof.

97. The pharmaceutical system of claim 90, wherein the hydrophilic surfactant is PEG-20 laurate, PEG-20 oleate, PEG-35 castor oil, PEG-40 palm kernel oil, PEG-40

1 hydrogenated castor oil, PEG-60 corn oil, PEG-25 glyceryl trioleate, polyglyceryl-10
laurate, PEG-6 caprate/caprylate glycerides, PEG-8 caprate/caprylate glycerides, PEG-30
cholesterol, polysorbate 20, polysorbate 80, POE-9 lauryl ether, POE-23 lauryl ether,
5 POE-10 oleyl ether, PEG-24 cholesterol, sucrose monostearate, sucrose monolaurate, a
poloxamer, or a mixture thereof.

98. The pharmaceutical system of claim 90, wherein the hydrophilic surfactant
is PEG-35 castor oil, PEG-40 hydrogenated castor oil, PEG-60 corn oil, PEG-25 glyceryl
trioleate, PEG-6 caprate/caprylate glycerides, PEG-8 caprate/caprylate glycerides,
10 polysorbate 20, polysorbate 80, tocopheryl PEG-1000 succinate, PEG-24 cholesterol, a
poloxamer, or a mixture thereof.

99. The pharmaceutical system of claim 84, wherein the composition
comprises at least two hydrophilic surfactants.

100. The pharmaceutical system of claim 84, wherein the hydrophobic
surfactant comprises an un-ionized ionizable surfactant.

15 101. The pharmaceutical system of claim 100, wherein the un-ionized ionizable
surfactant is the un-ionized form of a surfactant selected from the group consisting of bile
acids and analogues and derivatives thereof; lecithins, lysolecithin, phospholipids,
lysophospholipids and derivatives thereof; carnitine fatty acid esters; alkylsulfates; fatty
acids; acyl lactylates; mono-,diacetylated tartaric acid esters of mono-,diglycerides;
20 succinylated monoglycerides; citric acid esters of mono-,diglycerides; and mixtures
thereof.

102. The pharmaceutical system of claim 100, wherein the un-ionized ionizable
surfactant is the un-ionized form of a surfactant selected from the group consisting of
lecithin, lysolecithin, phosphatidylcholine, phosphatidylethanolamine,
25 phosphatidylglycerol, phosphatidic acid, phosphatidylserine, lysophosphatidylcholine,
lysophosphatidylethanolamine, lysophosphatidylglycerol, lysophosphatidic acid,
lysophosphatidylserine, PEG-phosphatidylethanolamine, PVP-phosphatidylethanolamine,
lactylic esters of fatty acids, stearyl-2-lactylate, stearyl lactylate, succinylated
monoglycerides, mono/diacetylated tartaric acid esters of mono/diglycerides, citric acid
30 esters of mono/diglycerides, cholic acid, taurocholic acid, glycocholic acid, deoxycholic
acid, taurodeoxycholic acid, chenodeoxycholic acid, glycodeoxycholic acid,
glycochenodeoxycholic acid, taurochenodeoxycholic acid, ursodeoxycholic acid,

1 lithocholic acid, tauroursodeoxycholic acid, glyoursodeoxycholic acid, cholylsarcosine,
N-methyl taurocholic acid, caproic acid, caprylic acid, capric acid, lauric acid, myristic
acid, palmitic acid, oleic acid, ricinoleic acid, linoleic acid, linolenic acid, stearic acid,
lauryl sulfate, tetraacetyl sulfate, lauroyl carnitine, palmitoyl carnitine, myristoyl carnitine,
5 and mixtures thereof.

103. The pharmaceutical system of claim 100, wherein the un-ionized ionizable
surfactant is the un-ionized form of a surfactant selected from the group consisting of
lecithin, lysolecithin, phosphatidylcholine, phosphatidylethanolamine,
phosphatidylglycerol, lysophosphatidylcholine, PEG-phosphatidylethanolamine, lactic
10 esters of fatty acids, stearyl-2-lactylate, stearyl lactylate, succinylated monoglycerides,
mono/diacetylated tartaric acid esters of mono/diglycerides, citric acid esters of
mono/diglycerides, cholic acid, taurocholic acid, glycocholic acid, deoxycholic acid,
chenodeoxycholic acid, lithocholic acid, ursodeoxycholic acid, taurodeoxycholic acid,
glycodeoxycholic acid, cholylsarcosine, caproic acid, caprylic acid, capric acid, lauric
15 acid, oleic acid, lauryl sulfate, lauroyl carnitine, palmitoyl carnitine, myristoyl carnitine,
and mixtures thereof.

104. The pharmaceutical system of claim 100, wherein the un-ionized ionizable
surfactant is the un-ionized form of a surfactant selected from the group consisting of
lecithin, lactic esters of fatty acids, stearyl-2-lactylate, stearyl lactylate, succinylated
20 monoglycerides, mono/diacetylated tartaric acid esters of mono/diglycerides, citric acid
esters of mono/diglycerides, chenodeoxycholic acid, lithocholic acid, ursodeoxycholic
acid, taurocholic acid, caprylic acid, capric acid, oleic acid, lauryl sulfate, docusate,
lauroyl carnitine, palmitoyl carnitine, myristoyl carnitine, and mixtures thereof.

105. The pharmaceutical system of claim 84 wherein the hydrophobic surfactant
25 comprises at least one compound having an HLB value less than about 10.

106. The pharmaceutical system of claim 105, wherein the hydrophobic
surfactant is selected from the group consisting of alcohols; polyoxyethylene alkylethers;
fatty acids; bile acids; glycerol fatty acid esters; acetylated glycerol fatty acid esters; lower
alcohol fatty acids esters; polyethylene glycol fatty acids esters; polyethylene glycol
30 glycerol fatty acid esters; polypropylene glycol fatty acid esters; polyoxyethylene
glycerides; lactic acid derivatives of mono/diglycerides; propylene glycol diglycerides;
sorbitan fatty acid esters; polyoxyethylene sorbitan fatty acid esters; polyoxyethylene-

1 polyoxypropylene block copolymers; transesterified vegetable oils; sterols; sterol
derivatives; sugar esters; sugar ethers; sucroglycerides; polyoxyethylene vegetable oils;
polyoxyethylene hydrogenated vegetable oils; reaction mixtures of polyols and at least one
5 member of the group consisting of fatty acids, glycerides, vegetable oils, hydrogenated
vegetable oils, and sterols; and mixtures thereof.

107. The pharmaceutical system of claim 105, wherein the hydrophobic
surfactant is selected from the group consisting of fatty acids; bile acids; lower alcohol
fatty acid esters; polyethylene glycol glycerol fatty acid esters; polypropylene glycol fatty
acid esters; polyoxyethylene glycerides; glycerol fatty acid esters; acetylated glycerol fatty
10 acid esters; lactic acid derivatives of mono/diglycerides; sorbitan fatty acid esters;
polyoxyethylene sorbitan fatty acid esters; polyoxyethylene-polyoxypropylene block
copolymers; polyoxyethylene vegetable oils; polyoxyethylene hydrogenated vegetable
oils; reaction mixtures of polyols and at least one member of the group consisting of fatty
acids, glycerides, vegetable oils, hydrogenated vegetable oils, and sterols; and mixtures
15 thereof.

108. The pharmaceutical system of claim 105, wherein the hydrophobic
surfactant is selected from the group consisting of bile acids; lower alcohol fatty acids
esters; polypropylene glycol fatty acid esters; propylene glycol fatty acid esters; glycerol
fatty acid esters; acetylated glycerol fatty acid esters; lactic acid derivatives of
20 mono/diglycerides; sorbitan fatty acid esters; polyoxyethylene vegetable oils; and mixtures
thereof.

109. The pharmaceutical system of claim 105, wherein the hydrophobic
surfactant is a glycerol fatty acid ester, an acetylated glycerol fatty acid ester, or a mixture
thereof.

25 110. The pharmaceutical system of claim 109, wherein the glycerol fatty acid
ester is a monoglyceride, diglyceride, or a mixture thereof.

111. The pharmaceutical system of claim 110, wherein the fatty acid of the
glycerol fatty acid ester is a C₆ to C₂₂ fatty acid or a mixture thereof.

30 112. The pharmaceutical system of claim 105, wherein the hydrophobic
surfactant is a reaction mixture of a polyol and at least one member of the group consisting
of fatty acids, glycerides, vegetable oils, hydrogenated vegetable oils, and sterols.

1 113. The pharmaceutical system of claim 112, wherein the reaction mixture is a transesterification product of a polyol and at least one member of the group consisting of fatty acids, glycerides, vegetable oils, hydrogenated vegetable oils, and sterols.

5 114. The pharmaceutical system of claim 112, wherein the polyol is polyethylene glycol, sorbitol, propylene glycol, pentaerythritol, a saccharide, or a mixture thereof.

10 115. The pharmaceutical system of claim 105, wherein the hydrophobic surfactant is selected from the group consisting of myristic acid; oleic acid; lauric acid; stearic acid; palmitic acid; PEG 1-4 stearate; PEG 2-4 oleate; PEG-4 dilaurate; PEG-4 dioleate; PEG-4 distearate; PEG-6 dioleate; PEG-6 distearate; PEG-8 dioleate; PEG 3-16 castor oil; PEG 5-10 hydrogenated castor oil; PEG 6-20 corn oil; PEG 6-20 almond oil; PEG-6 olive oil; PEG-6 peanut oil; PEG-6 palm kernel oil; PEG-6 hydrogenated palm kernel oil; PEG-4 capric/caprylic triglyceride, mono, di, tri, tetra esters of vegetable oil and sorbitol; pentaerythrityl di, tetra stearate, isostearate, oleate, caprylate, or caprate; 15 polyglyceryl 2-4 oleate, stearate, or isostearate; polyglyceryl 4-10 pentaoleate; polyglyceryl-3 dioleate; polyglyceryl-6 dioleate; polyglyceryl-10 trioleate; polyglyceryl-3 distearate; propylene glycol mono- or diesters of a C₆ to C₂₂ fatty acid; monoglycerides of a C₆ to C₂₂ fatty acid; acetylated monoglycerides of C₆ to C₂₂ fatty acid; diglycerides of C₆ to C₂₂ fatty acids; lactic acid derivatives of monoglycerides; lactic acid derivatives of 20 diglycerides; cholesterol; phytosterol; PEG 5-20 soya sterol; PEG-6 sorbitan tetra, hexastearate; PEG-6 sorbitan tetraoleate; sorbitan monolaurate; sorbitan monopalmitate; sorbitan mono, trioleate; sorbitan mono, tristearate; sorbitan monoisostearate; sorbitan sesquioleate; sorbitan sesquistearate; PEG 2-5 oleyl ether; POE 2-4 lauryl ether; PEG-2 cetyl ether; PEG-2 stearyl ether; sucrose distearate; sucrose dipalmitate; ethyl oleate; 25 isopropyl myristate; isopropyl palmitate; ethyl linoleate; isopropyl linoleate; poloxamers; cholic acid; ursodeoxycholic acid; glycocholic acid; taurocholic acid; lithocholic acid; deoxycholic acid; chenodeoxycholic acid; and mixtures thereof.

30 116. The pharmaceutical system of claim 105, wherein the hydrophobic surfactant is selected from the group consisting of oleic acid; lauric acid; glyceryl monocaprate; glyceryl monocaprylate; glyceryl monolaurate; glyceryl monooleate; glyceryl dicaprate; glyceryl dicaprylate; glyceryl dilaurate; glyceryl dioleate; acetylated monoglycerides; propylene glycol oleate; propylene glycol laurate; polyglyceryl-3 oleate;

1 polyglyceryl-6 dioleate; PEG-6 corn oil; PEG-20 corn oil; PEG-20 almond oil; sorbitan monooleate; sorbitan monolaurate; POE-4 lauryl ether; POE-3 oleyl ether; ethyl oleate; poloxamers; cholic acid; ursodeoxycholic acid; glycocholic acid; taurocholic acid; lithocholic acid; deoxycholic acid; chenodeoxycholic acid; and mixtures thereof.

5 117. The pharmaceutical system of claim 84, wherein the hydrophobic and hydrophilic surfactants are selected from the hydrophobic and hydrophilic members, respectively, of the group consisting of sodium lauryl sulfate, oleic acid, linoleic acid, monoolein, lecithin, lysolecithin, deoxycholate, taurodeoxycholate, glycochenodeoxycholate, polyoxyethylene X-lauryl ether, where X is from 9 to 20,
10 sodium tauro-24,25-dihydrofusidate, polyoxyethylene ether, polyoxyethylene sorbitan esters, p-t-octylphenoxypolyoxyethylene, N-lauryl- β -D-maltopyranoside, 1-dodecylazacycloheptane-2-azone, and phospholipids, and are each present in an amount of greater than 10% by weight, based on the total weight of the pharmaceutical system.

15 118. The pharmaceutical system of claim 84, wherein the hydrophilic therapeutic agent is a drug, a vitamin, a nutritional supplement, a cosmeceutical, a diagnostic agent, or a mixture thereof.

119. The pharmaceutical system of claim 84, wherein the hydrophilic therapeutic agent has an apparent water solubility of at least about 1 mg/mL.

20 120. The pharmaceutical system of claim 84, wherein the hydrophilic therapeutic agent is a hydrophilic drug, a cytokine, a peptidomimetic, a peptide, a protein, a toxoid, a serum, an antibody, a vaccine, a nucleoside, a nucleotide, a portion of genetic material, a nucleic acid, or a mixture thereof.

25 121. The pharmaceutical system of claim 84, wherein the hydrophilic therapeutic agent is selected from the hydrophilic members of the group consisting of analgesics, anti-inflammatory agents, anthelmintics, anti-arrhythmic agents, anti-asthma agents, anti-bacterial agents, anti-viral agents, anti-coagulants, anti-depressants, anti-diabetics, anti-epileptics, anti-fungal agents, anti-gout agents, anti-hypertensive agents, anti-malarials, anti-migraine agents, anti-muscarinic agents, anti-neoplastic agents, immunosuppressants, anti-protozoal agents, anti-thyroid agents, anti-tussives, anxiolytic,
30 sedatives, hypnotics, neuroleptics, β -Blockers, cardiac inotropic agents, corticosteroids, diuretics, anti-parkinsonian agents, gastro-intestinal agents, histamine H₁-receptor antagonists, keratolytics, lipid regulating agents, muscle relaxants, anti-anginal agents,

1 nutritional agents, analgesics, sex hormones, stimulants, cytokines, peptidomimetics, peptides, proteins, toxoids, sera, antibodies, vaccines, nucleosides, nucleotides, genetic material, nucleic acids, and mixtures thereof.

5 122. The pharmaceutical system of claim 84, wherein the hydrophilic therapeutic agent is selected from the group consisting of acarbose; acyclovir; acetyl cysteine; acetylcholine chloride; alatrofloxacin; alendronate; alglucerase; amantadine hydrochloride; ambenonium; amifostine; amiloride hydrochloride; aminocaproic acid; amphotericin B; antihemophilic factor (human); antihemophilic factor (porcine); antihemophilic factor (recombinant); aprotinin; asparaginase; atenolol; atracurium
10 besylate; atropine; azithromycin; aztreonam; BCG vaccine; bacitracin; becalermin; belladonna; bepridil hydrochloride; bleomycin sulfate; calcitonin human; calcitonin salmon; carboplatin; capecitabine; capreomycin sulfate; cefamandole nafate; cefazolin sodium; cefepime hydrochloride; cefixime; cefonicid sodium; cefoperazone; cefotetan disodium; cefotaxime; cefoxitin sodium; ceftizoxime; ceftriaxone; cefuroxime axetil; cephalixin;
15 cephapirin sodium; cholera vaccine; chroric gonadotropin; cidofovir; cisplatin; cladribine; clidinium bromide; clindamycin and clindamycin derivatives; ciprofloxacin; clondronate; colistimethate sodium; colistin sulfate; cortocotropin; cosyntropin; cromalyn sodium; cytarabine; daltaperin sodium; danaproid; deforoxamine; denileukin diftitox; desmopressin; diatrizoate meglumine and diatrizoate sodium; dicyclomine; didanosine;
20 dirithromycin; dopamine hydrochloride; dornase alpha; doxacurium chloride; doxorubicin; editronate disodium; elanaprilat; enkephalin; enoxacin; enoxaprin sodium; ephedrine; epinephrine; epoetin alpha; erythromycin; esmol hydrochloride; factor IX; famciclovir; fludarabine; fluoxetine; foscarnet sodium; ganciclovir; granulocyte colony stimulating factor; granulocyte-macrophage stimulating factor; growth hormones- recombinant
25 human; growth hormone- bovine; gentamycin; glucagon; glycopyrolate; gonadotropin releasing hormone and synthetic analogs thereof; GnRH; gonadorelin; grepafloxacin; hemophilus B conjugate vaccine; Hepatitis A virus vaccine inactivated; Hepatitis B virus vaccine inactivated; heparin sodium; indinavir sulfate; influenza virus vaccine; interleukin-2; interleukin-3; insulin-human; insulin lispro; insulin procine; insulin NPH;
30 insulin aspart; insulin glargine; insulin detemir; interferon alpha; interferon beta; ipratropium bromide; isofosfamide; japanese encephalitis virus vaccine; lamivudine; leucovorin calcium; leuprolide acetate; levofloxacin; lincomycin and lincomycin

1 derivatives; lobucavir; lomefloxacin; loracarbef; mannitol; measles virus vaccine;
 meningococcal vaccine; menotropins; mephenzolate bromide; mesalmine; methanamine;
 methotrexate; methscopolamine; metformin hydrochloride; metoprolol; mezocillin
 5 sodium; mivacurium chloride; mumps viral vaccine; nedocromil sodium; neostigmine
 bromide; neostigmine methyl sulfate; neotontin; norfloxacin; octreotide acetate; ofloxacin;
 olpadronate; oxytocin; pamidronate disodium; pancuronium bromide; paroxetine;
 pefloxacin; pentamidine isethionate; pentostatin; pentoxifylline; periciclovir;
 pentagastrin; phentolamine mesylate; phenylalanine; physostigmine salicylate; plague
 vaccine; piperacillin sodium; platelet derived growth factor-human; pneumococcal vaccine
 10 polyvalent; poliovirus vaccine inactivated; poliovirus vaccine live (OPV); polymixin B
 sulfate; pralidoxine chloride; pramlintide; pregabalin; propofenone; propenthaline
 bromide; pyridostigmine bromide; rabies vaccine; residronate; ribavarin; rimantadine
 hydrochloride; rotavirus vaccine; salmetrol xinafoate; sincalide; small pox vaccine;
 solatol; somatostatin; sparfloxacin; spectinomycin; stavudine; streptokinase; streptozocin;
 15 suxamethonium chloride; tacrine hydrochloride; terbutaline sulfate; thiopeta; ticarcillin;
 tiludronate; timolol; tissue type plasminogen activator; TNFR:Fc; TNK-tPA; trandolapril;
 trimetrexate gluconate; trospectinomycin; trovafloxacin; tubocurarine chloride; tumor
 necrosis factor; typhoid vaccine live; urea; urokinase; vancomycin; valaciclovir; valsartan;
 varicella virus vaccine live; vasopressin and vasopressin derivatives; vecoronium bromide;
 20 vinblastin; vincristine; vinorelbine; vitamin B12 ; warfarin sodium; yellow fever vaccine;
 zalcitabine; zanamavir; zoladronate; and zidovudine.

123. The pharmaceutical system of claim 84, wherein the hydrophilic
 therapeutic agent is selected from the group consisting of acarbose; acyclovir; atracurium
 besylate; alendronate; alglucerase; amantadine hydrochloride; amphotericin B;
 25 antihemophilic factor (human); antihemophilic factor (porcine); antihemophilic factor
 (recombinant; azithromycin; calcitonin human; calcitonin salmon; capecitabine; cefazolin
 sodium; cefonicid sodium; cefoperazone; cefoxitin sodium; ceftizoxime; ceftriaxone;
 cefuroxime axetil; cephalixin; chrionic gonadotropin; cidofovir; cladribine ; clindamycin
 and clindamycin derivatives; corticotropin; cosyntropin; cromalyn sodium; cytarabine;
 30 daltaperin sodium; danaproid; desmopressin; didanosine; dirithromycin; editronate
 disodium; enoxaprin sodium; epoetin alpha; factor IX; famiciclovir; fludarabine; foscarnet
 sodium; ganciclovir; granulocyte colony stimulating factor; granulocyte-macrophage

1 stimulating factor; growth hormones- recombinant human; growth hormone- Bovine;
gentamycin; glucagon; gonadotropin releasing hormone and synthetic analogs thereof;
GnRH; gonadorelin; hemophilus B conjugate vaccine; Hepatitis A virus vaccine
inactivated; Hepatitis B virus vaccine inactivated; heparin sodium; indinavir sulfate;
5 influenza virus vaccine; interleukin-2; interleukin-3; insulin-human; insulin lispro; insulin
procine; insulin NPH; insulin aspart; insulin glargine; insulin detemir; interferon alpha;
interferon beta; ipratropium bromide; isofosfamide; lamivudine; leucovorin calcium;
leuprolide acetate; lincomycin and lincomycin derivatives; metformin hydrochloride;
nedocromil sodium; neostigmine bromide; neostigmine methyl sulfate; neutontin;
10 octreotide acetate; olpadronate; pamidronate disodium; pancuronium bromide;
pentamidine isethionate; pentagastrin; physostigmine salicylate; poliovirus vaccine live
(OPV); pyridostigmine bromide; residronate; ribavarin; rimantadine hydrochloride;
rotavirus vaccine; salmetrol xinafoate; somatostatin; spectinomycin; stavudine;
streptokinase; ticarcillin; tiludronate; tissue type plasminogen activator; TNFR:Fc; TNK-
15 tPA; trimetrexate gluconate; trospectinomycin; tumor necrosis factor; typhoid vaccine
live; urokinase; vancomycin; valaciclovir; vasopressin and vasopressin derivatives;
vinblastin; vincristine; vinorelbine; warfarin sodium; zalcitabine; zanamavir; and
zidovudine.

124. The pharmaceutical system of claim 84, wherein the hydrophilic
20 therapeutic agent is selected from the group consisting of acarbose; alendronate;
amantadine hydrochloride; azithromycin; calcitonin human; calcitonin salmon;
ceftriaxone; cefuroxime axetil; chrionic gonadotropin; cromalyn sodium; daltaperin
sodium; danaproid; desmopressin; didanosine; editronate disodium; enoxaprin sodium;
epoetin alpha; factor IX; famciclovir; foscarnet sodium; ganciclovir; granulocyte colony
25 stimulating factor; granulocyte-macrophage stimulating factor; growth hormones-
recombinant human; growth hormone- Bovine; glucagon; gonadotropin releasing hormone
and synthetic analogs thereof; GnRH; gonadorelin; heparin sodium; indinavir sulfate;
influenza virus vaccine; interleukin-2; interleukin-3; insulin-human; insulin lispro; insulin
procine interferon alpha; interferon beta; leuprolide acetate; metformin hydrochloride;
30 nedocromil sodium; neostigmine bromide; neostigmine methyl sulfate; neutontin;
octreotide acetate; olpadronate; pamidronate disodium; residronate; rimantadine
hydrochloride; salmetrol xinafoate; somatostatin; stavudine; ticarcillin; tiludronate; tissue

1 type plasminogen activator; TNFR:Fc; TNK-tPA; tumor necrosis factor; typhoid vaccine
live; vancomycin; valaciclovir; vasopressin and vasopressin derivatives; zalcitabine;
zanamavir and zidovudine.

5 125. The pharmaceutical system of claim 84, wherein the composition further
comprises a solubilizer.

126. The pharmaceutical system of claim 125, wherein the solubilizer is selected
from the group consisting of alcohols, polyols, amides, esters, propylene glycol ethers and
mixtures thereof.

10 127. The pharmaceutical system of claim 84, wherein the composition further
comprises an antioxidant, a bufferant, an antifoaming agent, a detackifier, a preservative, a
chelating agent, a viscomodulator, a tonicifier, a flavorant, a colorant, an odorant, an
opacifier, a suspending agent, a binder, a filler, a plasticizer, a lubricant, or a mixture
thereof.

15 128. The pharmaceutical system of claim 84, wherein the composition further
comprises an amount of an enzyme inhibiting agent sufficient to at least partially inhibit
enzymatic degradation of the hydrophilic therapeutic agent.

20 129. The pharmaceutical system of claim 128, wherein the enzyme inhibiting
agent is P-aminobenzamidine, FK-448, camostat mesylate, sodium glycocholate, an amino
acid, a modified amino acid, a peptide, a modified peptide, a polypeptide protease
inhibitor, a complexing agent, a mucoadhesive polymer, a polymer-inhibitor conjugate, or
a mixture thereof.

25 130. The pharmaceutical system of claim 128, wherein the enzyme inhibiting
agent is selected from the group consisting of P-aminobenzamidine, FK-448, camostat
mesylate, sodium glycocholate, aminoboronic acid derivatives, n-acetylcysteine,
30 bacitracin, phosphinic acid dipeptide derivatives, pepstatin, antipain, leupeptin,
chymostatin, elastatin, bestatin, hosphoramindon, puromycin, cytochalasin potatocarboxy
peptidase inhibitor, amastatin, protinin, Bowman-Birk inhibitor, soybean trypsin inhibitor,
chicken egg white trypsin inhibitor, chicken ovinhibitor, human pancreatic trypsin
inhibitor, EDTA, EGTA, 1,10-phenanthroline, hydroxyquinoline, polyacrylate derivatives,
chitosan, cellulose, chitosan-EDTA, chitosan-EDTA-antipain, polyacrylic acid-
bacitracin, carboxymethyl cellulose-pepstatin, polyacrylic acid-Bowman-Birk inhibitor,
and mixtures thereof.

1 131. The pharmaceutical system of claim 84, wherein the composition further comprises an aqueous medium comprising water, an aqueous palatable diluent or an aqueous beverage.

5 132. The pharmaceutical system of claim 131, wherein the therapeutic agent is provided to the system in the aqueous medium.

 133. The pharmaceutical system of claim 131, wherein the aqueous medium further comprises an amount of an enzyme inhibiting agent sufficient to at least partially inhibit enzymatic degradation of the hydrophilic therapeutic agent, the enzyme inhibiting agent being solubilized, suspended, or partially solubilized and partially suspended, in the
10 aqueous medium.

 134. The pharmaceutical system of claim 84, wherein the composition further comprises a pharmaceutically acceptable acid.

 135. The pharmaceutical system of claim 134, wherein the acid is selected from the group consisting of hydrochloric acid, hydrobromic acid, hydriodic acid, sulfuric acid,
15 carbonic acid, nitric acid, boric acid, phosphoric acid, acetic acid, acrylic acid, adipic acid, alginic acid, alkanesulfonic acid, an amino acid, ascorbic acid, benzoic acid, boric acid, butyric acid, carbonic acid, citric acid, a fatty acid, formic acid, fumaric acid, gluconic acid, hydroquinosulfonic acid, isoascorbic acid, lactic acid, maleic acid, methanesulfonic acid, oxalic acid, para-bromophenylsulfonic acid, propionic acid, p-toluenesulfonic acid,
20 salicylic acid, stearic acid, succinic acid, tannic acid, tartaric acid, thioglycolic acid, toluenesulfonic acid, uric acid, and mixtures thereof.

 136. The pharmaceutical system of claim 84, wherein the composition further comprises a pharmaceutically acceptable base.

 137. The pharmaceutical system of claim 136, wherein the base is an amino
25 acid, an amino acid ester, ammonium hydroxide, potassium hydroxide, sodium hydroxide, sodium hydrogen carbonate, aluminum hydroxide, calcium carbonate, magnesium hydroxide, magnesium aluminum silicate, synthetic aluminum silicate, synthetic hydrotalcite, magnesium aluminum hydroxide, diisopropylethylamine, ethanolamine, ethylenediamine, triethanolamine, triethylamine, triisopropanolamine, or a salt of a
30 pharmaceutically acceptable cation and acetic acid, acrylic acid, adipic acid, alginic acid, alkanesulfonic acid, an amino acid, ascorbic acid, benzoic acid, boric acid, butyric acid, carbonic acid, citric acid, a fatty acid, formic acid, fumaric acid, gluconic acid,

- 1 hydroquinosulfonic acid, isoascorbic acid, lactic acid, maleic acid, methanesulfonic acid, oxalic acid, para-bromophenylsulfonic acid, propionic acid, p-toluenesulfonic acid, salicylic acid, stearic acid, succinic acid, tannic acid, tartaric acid, thioglycolic acid, toluenesulfonic acid, and uric acid, or a mixture thereof.
- 5 138. The pharmaceutical system of claim 84, wherein the at least two surfactants are present in amounts such that the composition forms an aqueous dispersion having an average particle size of less than about 200 nm upon mixing with an aqueous diluent.
139. The pharmaceutical system of claim 138, wherein the average particle size is less than about 100 nm.
- 10 140. The pharmaceutical system of claim 138, wherein the average particle size is less than about 50 nm.
141. The pharmaceutical system of claim 84, wherein the at least two surfactants are present in amounts such that the composition forms an substantially optically clear aqueous dispersion upon mixing with an aqueous diluent.
- 15 142. The pharmaceutical system of claim 84, wherein the system is substantially free of polyethylene glycol diesters.
143. The pharmaceutical system of claim 84, wherein the system is substantially free of cholesterol.
- 20 144. The pharmaceutical system of claim 84, wherein the dosage form is substantially free of water.
145. The pharmaceutical system of claim 84 in the form of a preconcentrate in a liquid, semi-solid, or solid form, or as an aqueous or organic diluted preconcentrate.
- 25 146. The pharmaceutical system of claim 84, wherein the dosage form of the composition is processed by balling, lyophilization, encapsulation, extruding, compression, melting, molding, spraying, spray congealing, coating, comminution, mixing, cryopelletization, spheronization, homogenization, sonication, granulation, or a combination thereof.
147. The pharmaceutical system of claim 84, wherein the dosage form of the composition of is as a pill, capsule, caplet, tablet, granule, pellet, bead or powder.
- 30 148. The pharmaceutical system of claim 84, wherein the dosage form of the composition is a starch capsule, a cellulosic capsule, a hard gelatin capsule or a soft gelatin capsule.

1 149. The pharmaceutical system of claim 84, wherein the dosage form is formulated for immediate release, controlled release, extended release, delayed release, targeted release, or targeted delayed release.

5 150. The pharmaceutical system of claim 147, which further comprises at least one enteric coating, seal coating, extended release coating, or targeted delayed release coating.

 151. The pharmaceutical system of claim 150, wherein the coating is formed of a material selected from the group consisting of shellac, acrylic polymers, cellulosic derivatives, polyvinyl acetate phthalate, and mixtures thereof.

10 152. The pharmaceutical system of claim 150, wherein the coating is formed of a material selected from the group consisting of Eudragit E, Eudragit L, Eudragit S, Eudragit RL, Eudragit RS, Eudragit NE, Eudragit L.RTM, Eudragit L300.RTM, Eudragit S.RTM, Eudragit L100-55RTM, cellulose acetate phthalate, Aquateric, cellulose acetate trimellitate, ethyl cellulose, hydroxypropyl methyl cellulose phthalate, hydroxypropyl
15 methyl cellulose succinate, polyvinylacetate phthalate, Cotteric, and mixtures thereof.

 153. The pharmaceutical system of claim 150, wherein the coating is formed of a material selected from the group consisting of Eudragit L.RTM, Eudragit L300.RTM, Eudragit S.RTM, Eudragit L100-55RTM, cellulose acetate phthalate, Aquateric, ethyl cellulose, hydroxypropyl methyl cellulose phthalate, hydroxypropyl methyl cellulose
20 succinate, polyvinylacetate phthalate, Cotteric, and mixtures thereof.

 154. The pharmaceutical system of claim 84, wherein the dosage form of the composition is a solution, suspension, emulsion, cream, ointment, lotion, suppository, spray, aerosol, paste, gel, drops, douche, ovule, wafer, troche, cachet, syrup or elixir.

25 155. The pharmaceutical system of claim 84, wherein the dosage form is a multiparticulate carrier coated onto a substrate with the composition.

 156. The pharmaceutical system of claim 155, wherein the substrate is a particle, a granule, a pellet or a bead, and is formed of the therapeutic agent, a pharmaceutically acceptable material, or a mixture thereof.

30 157. The pharmaceutical system of claim 155, wherein the multiparticulate carrier is coated with at least one enteric coating, seal coating, extended release coating, or targeted delayed release coating.

1 158. The pharmaceutical system of claim 155, wherein the dosage form is further processed by encapsulation, compression, extrusion, molding, spheronization or cryopelletization.

5 159. The pharmaceutical system of claim 155, wherein the dosage form is further processed to form a starch capsule, a cellulosic capsule, a hard gelatin capsule, or a soft gelatin capsule.

 160. The pharmaceutical system of claim 159, wherein the capsule is coated with at least one enteric coating, seal coating, extended release coating, or targeted delayed release coating.

10 161. The pharmaceutical system of claim 84, wherein the hydrophilic therapeutic agent is present in the dosage form of the composition.

 162. The pharmaceutical system of claim 161, wherein the hydrophilic therapeutic agent is solubilized in the composition, suspended in the composition, or partially solubilized and partially suspended in the composition.

15 163. The pharmaceutical system of claim 84, wherein the hydrophilic therapeutic agent is present in a dosage form separate from the dosage form of the composition.

 164. The pharmaceutical system of claim 84, wherein the dosage form of the composition is formulated for oral, mucosal, pulmonary, nasal, vaginal, transmembrane, buccal or rectal administration.

 165. The pharmaceutical system of claim 163, wherein the dosage form of the hydrophilic therapeutic agent is formulated for oral, mucosal, pulmonary, nasal, vaginal, transmembrane, buccal or rectal administration.

25 166. An absorption enhancing composition for co-administration to a patient with a hydrophilic therapeutic agent, the composition comprising an effective amount of an absorption enhancer comprising at least two surfactants, at least one of which is hydrophilic, the absorption enhancing composition being substantially triglyceride free.

30 167. The composition of claim 166, wherein the effective amount is an amount sufficient to increase the rate, the extent, or both the rate and extent, of bioabsorption of a hydrophilic therapeutic agent, when the composition and the hydrophilic therapeutic agent are administered to a patient.

1 168. The composition of claim 166, wherein the effective amount is an amount
sufficient to improve the consistency of the rate, the extent, or both the rate and extent, of
bioabsorption of a hydrophilic therapeutic agent. when the composition and the
hydrophilic therapeutic agent are administered to a patient.

5 169. The composition of claim 166, which further comprises a hydrophilic
therapeutic agent.

 170. A method of controlling the rate, the extent, or both the rate and extent, of
bioabsorption of a hydrophilic therapeutic agent administered to a patient, the method
comprising:

10 (a) providing a dosage form of an absorption enhancing composition,
the composition comprising at least two surfactants, at least one of which is
hydrophilic, and being substantially free of triglycerides;

 (b) providing a hydrophilic therapeutic agent; and

 (c) administering the dosage form of the absorption enhancing
15 composition and the hydrophilic therapeutic agent to the patient.

 171. The method of claim 170, wherein the hydrophilic therapeutic agent is
provided in the dosage form of the absorption enhancing composition.

 172. The method of claim 171, wherein the hydrophilic therapeutic agent is
solubilized, suspended, or partially solubilized and partially suspended, in the dosage form
20 of the absorption enhancing composition.

 173. The method of claim 170, wherein the hydrophilic therapeutic agent is
provided in a dosage form separate from the dosage form of the absorption enhancing
composition.

 174. The method of claim 173, wherein the step of administering comprises
25 administering the dosage form of the absorption enhancing composition and co-
administering the dosage form of the hydrophilic therapeutic agent.

 175. The method of claim 170, wherein the dosage form of the absorption
enhancing composition is formulated for oral, mucosal, pulmonary, nasal, vaginal,
transmembrane, buccal or rectal administration.

30 176. The method of claim 173, wherein the dosage form of the hydrophilic
therapeutic agent is formulated for oral, mucosal, pulmonary, nasal, vaginal,
transmembrane, buccal or rectal administration.

1

177. The method of claim 170, wherein the patient is a mammal.

178. The method of claim 170, wherein the patient is a human.

5

10

15

20

25

30

INTERNATIONAL SEARCH REPORT

International application No.

PCT/US00/18807

A. CLASSIFICATION OF SUBJECT MATTER

IPC(7) : A61K 9/00, 9/14, 9/16, 9/20, 9/22, 9/28, 9/48

US CL : 424/451, 456, 457, 464, 489

According to International Patent Classification (IPC) or to both national classification and IPC

B. FIELDS SEARCHED

Minimum documentation searched (classification system followed by classification symbols)

U.S. : 424/451, 456, 457, 464, 489

Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched
NONE

Electronic data base consulted during the international search (name of data base and, where practicable, search terms used)

Please See Extra Sheet.

C. DOCUMENTS CONSIDERED TO BE RELEVANT

Category*	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
X	US 5,858,398 A (CHO) 12 January 1999, abstract, columns 11-18, examples and claims.	1-178

☐ Further documents are listed in the continuation of Box C.
 ☐ See patent family annex.

* Special categories of cited documents:	*T* later document published after the international filing date or priority date and not in conflict with the application but cited to understand the principle or theory underlying the invention
A document defining the general state of the art which is not considered to be of particular relevance	*X* document of particular relevance; the claimed invention cannot be considered novel or cannot be considered to involve an inventive step when the document is taken alone
E earlier document published on or after the international filing date	*Y* document of particular relevance; the claimed invention cannot be considered to involve an inventive step when the document is combined with one or more other such documents, such combination being obvious to a person skilled in the art
L document which may throw doubts on priority claim(s) or which is cited to establish the publication date of another citation or other special reason (as specified)	*G* document member of the same patent family
O document referring to an oral disclosure, use, exhibition or other means	
P document published prior to the international filing date but later than the priority date claimed	

Date of the actual completion of the international search 28 SEPTEMBER 2000	Date of mailing of the international search report 20 NOV 2000
--	---

Name and mailing address of the ISA/US
Commissioner of Patents and Trademarks
Box PCT
Washington, D.C. 20231

Facsimile No. (703) 305-3230

Authorized officer

GOLLAMUDI S KISHORE

Telephone No. (703) 308-1235

INTERNATIONAL SEARCH REPORT

International application No.

PCT/US00/18807

B. FIELDS SEARCHED

Electronic data bases consulted (Name of data base and where practicable terms used):

WEST

search terms: hydrophilic, hydrophobic, surfactants, phospholipids, sterols, cholesterol, pills, tablets, capsules, powders, beads.